



**Region 6 Behavioral Healthcare  
Criminal Justice-Behavioral Health Information Sharing  
Request for Proposals  
Clarification and Enhancement Request**

All clarification questions and recommendations for enhancement regarding this Request for Proposals (RFP) must be submitted in writing to the RFP Coordinator, Angela Raya, at [araya@regionsix.com](mailto:araya@regionsix.com).

All questions/recommendations must be received by **4:00 p.m. (CST) on June 21, 2024**.

Written responses from Region 6 Behavioral Healthcare (Region 6) will be provided within two (2) business days to all organizations that submitted a Notification of Intent to Respond.

<b>Organization’s Question/Recommendation:</b>														
<ol style="list-style-type: none"> <li>How does Region 6 currently share data with their community partners? And how has that process impacted Region 6’s ability to achieve its goals?</li> <li>Who are the people involved? Can you break down the following by organization (Region 6 vs. Community Partner) and technical ability (?             <table border="1" data-bbox="151 793 1461 1255"> <thead> <tr> <th>Role</th> <th>Number of users</th> <th>Technical Ability</th> </tr> </thead> <tbody> <tr> <td>a. End-users</td> <td></td> <td> <ul style="list-style-type: none"> <li>Basic (Web browsing)</li> <li>Intermediate (Microsoft Excel)</li> <li>Advanced (Tableau + analytics tools)</li> <li>Expert (Architects, engineers, developers)</li> </ul> </td> </tr> <tr> <td>b. Project team</td> <td></td> <td> <ul style="list-style-type: none"> <li>Basic (Web browsing)</li> <li>Intermediate (Microsoft Excel)</li> <li>Advanced (Tableau + analytics tools)</li> <li>Expert (Architects, engineers, developers)</li> </ul> </td> </tr> <tr> <td>c. System administrators</td> <td></td> <td> <ul style="list-style-type: none"> <li>Basic (Web browsing)</li> <li>Intermediate (Microsoft Excel analysts)</li> <li>Advanced (Tableau + analytics tools)</li> <li>Expert (Architects, engineers, developers)</li> </ul> </td> </tr> </tbody> </table> </li> </ol>			Role	Number of users	Technical Ability	a. End-users		<ul style="list-style-type: none"> <li>Basic (Web browsing)</li> <li>Intermediate (Microsoft Excel)</li> <li>Advanced (Tableau + analytics tools)</li> <li>Expert (Architects, engineers, developers)</li> </ul>	b. Project team		<ul style="list-style-type: none"> <li>Basic (Web browsing)</li> <li>Intermediate (Microsoft Excel)</li> <li>Advanced (Tableau + analytics tools)</li> <li>Expert (Architects, engineers, developers)</li> </ul>	c. System administrators		<ul style="list-style-type: none"> <li>Basic (Web browsing)</li> <li>Intermediate (Microsoft Excel analysts)</li> <li>Advanced (Tableau + analytics tools)</li> <li>Expert (Architects, engineers, developers)</li> </ul>
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<ol style="list-style-type: none"> <li>End-users</li> <li>Project team</li> <li>Solution owners &amp; system administrators</li> </ol> <p align="center"><b>I. <u>Privacy &amp; Compliance</u></b></p> <ol style="list-style-type: none"> <li>Who will “own” (be responsible for) the data that lives in the data warehouse solution?</li> <li>Will community partners expect data to be removed/redacted by request?</li> <li>What are the data sharing agreements and/or regulations with which Region 6 will need to comply?</li> <li>Will the solution need to support capturing patient consent and/or opting-out of their data being shared?</li> <li>Does Region 6 have a current data governance program in place to manage access to sensitive data (e.g. PII, HIPAA)? If so, what tools are used to manage and enforce that program?</li> <li>Does Region 6 have a data governance software solution in place (i.e., data catalogue, data quality solution, lineage)? If not, does the solution require them?</li> <li>What are Region 6’s data retention policies? How long should data in the data warehouse solution be maintained? When should it be removed from production? When should data be removed permanently?</li> </ol> <p align="center"><b>II. <u>Infrastructure</u></b></p> <ol style="list-style-type: none"> <li>Does Region 6 have a preference to host the data warehouse solution in the cloud or on-premise?</li> <li>Which cloud provider(s) does Region 6 currently use today, if any?</li> </ol>														

- a. Amazon Web Services
- b. Microsoft Azure
- c. Google Cloud Platform
- d. Other

12. Does Region 6 have a preference for cloud provider and/or hosting region for the data warehouse solution?

- a. Amazon Web Services
- b. Microsoft Azure
- c. Google Cloud Platform
- d. Other

13. For each of the Partner agencies, please list the software that generates the data to be integrated and the database type that stores it?

- a. Douglas County Community Health Center
- b. Douglas County Jail
- c. Douglas County Sheriff
- d. Omaha Fire Department
- e. Omaha Police Department
- f. Sarpy County Jail
- g. Sarpy County Sheriff

### III. Architecture

14. Do any source systems in the current technical environment NOT support API access for data imports/exports? If not, what methods are available?

- a. Direct database access
- b. SFTP
- c. File imports/exports (scheduled)
- d. File imports/exports (manual)

15. What data does Region 6 expect the data warehouse solution to send back to their partner's source systems?

16. What is the expected size of the total data set the data warehouse solution will need to support?

- a. How many patients does Region 6 expect to capture in the data warehouse?
  - <1K
  - 1K-100K
  - 100K-1M
  - 1M-50M
  - 50M+
- b. How many activities/transactions/files per patient?
- c. What is the average size per file (MB)?

### IV. End-user experience

17. Would end-users prefer to work in their existing systems (i.e. log into Collaborate)?

18. Which of the following features should be made available to end-users, system administrators, or only be available to the vendor?

- a. Onboarding new community partners (End-User / Admin / Vendor-Only)
- b. Connecting to new source systems (End-User / Admin / Vendor-Only)
- c. Onboarding new users from existing community partners (End-User / Admin / Vendor-Only)
- d. Creating & updating reports, dashboards, and other visualizations (End-User / Admin / Vendor-Only)
- e. Querying data from the data warehouse solution (End-User / Admin / Vendor-Only)
- f. Exporting data from the data warehouse solution (End-User / Admin / Vendor-Only)
- g. Bulk importing data into the data warehouse solution (End-User / Admin / Vendor-Only)

19. What platforms will the data warehouse solution need to be accessed from?

- a. Desktop client (Microsoft or Mac)
- b. Web browser (which ones)
- c. Mobile browser (which ones)
- d. Mobile app

V. Support, Maintenance, Improvements

- 20. Once the solution is live, what capabilities does Region 6 expect to be able to do on their own, and what do they expect the vendor to support?
- 21. At any point, will Region 6 expect the the ability to offboard the Selected Vendor and move ongoing support in-house or to a new vendor?

VI. Security

- 22. What security systems are in place today that require integration (i.e., encryption technology, masking technology, etc.)
- 23. Do you have security scanning technology that is enabled for data movement? Anything else?
- 24. What type of directory solution will need to be leveraged (i.e., Active Directory or other) for single sign-on in the solution or do you only rely on Okta?
- 25. Do you have an authorization and authentication solution in place that this solution the new system will need to integrate into?

**Region 6's Answer/Response:**

- 1. For the scope and scale of this project, Region 6 Behavioral Healthcare does not currently collect or share client-level information with Partners listed within the RFP. Partners may choose to share information with one another individually, but Region 6 does not currently have a role in the information sharing process.
- 2. Those involved in the Information Sharing Project are:
  - a. End Users - The number of End Users was estimated to be 500 across the Partners. Technical ability of all end users will vary.
  - b. Project Team – The best estimate for the number of individuals on the Project Team is 10, but this will ultimately depend on the solution selected. The Project Team consists of a mix of individuals from the Partners and Region 6 and technical ability will vary.
  - c. Solution Owners & System Administrators – The number of Solution Owners and System Administrators is unknown at this time, as the position is not yet defined.
- 3. Region 6 Behavioral Healthcare will own the metadata. Community Partners will maintain ownership of their own data.
- 4. At this time, Partners and Region 6 are looking for assistance in determining the best method to manage information i.e., releases of information, advance health directives, etc. where authorization may be revoked by the consumer at any point in the process. Respondent should be able to assist with navigating federal and state laws around the retention of data related to revocation of authorizations. Initial intent included a strong permission management system to manage these requests.
- 5. There is not currently a data sharing agreement in place. For a list of known regulations, see page 12, section 6 of the RFP.
- 6. Region 6 Behavioral Healthcare and Partners will consider solutions that do or do not support capturing patient consent and/or opting out of data. The RFP requests information on whether the solution allows for document signing and document storage. Depending on the proposed solution, Region 6 Behavioral

Healthcare and Partners will then determine the structure of processes of consents or releases of information.

7. Region 6 has policies and procedures for Region 6 staff encapsulated within Region 6's IT security plan. Partners will have their own data governance policies and procedures not managed by Region 6.
8. No, Region 6 does not have a data governance software solution in place. The RFP does not specify whether or not the solution requires data governance software.
9. Region 6 has various data retention policies, depending on the type of data. However, data retention policies specific to this project will be determined through a data sharing agreement with Partners.
10. Region 6 and Partners preference is cloud-based/Software as a Solution (SaaS), but will consider on-premise hosting. The respondent should include how their solution is hosted within the proposal response.
11. Region 6 currently utilizes Microsoft Azure. Partners' current cloud provider(s) utilization may be determined during the requirements gathering process.
12. Region 6 and Partners do not have a preference for a cloud provider and/or hosting region for the data warehouse solution. Respondents should detail available options in their proposal response.
13. Known software and databases are included on page 4, section 2 of the RFP. Additional data-generating software utilized may be determined during the requirements gathering process.
14. Whether any source systems in the current technical environment support API access for data imports/exports is currently unknown and will be determined in the requirements gathering process.
15. At this time, the intention of the solution is not to send data back to the Partners' source systems.
16. The expected size of the total data set the data warehouse solution will need to support is unknown and may be determined during the requirements gathering process.
  - a. The current estimate for the number of patients to capture within the data warehouse is 1K-100K.
  - b. The number of activities/transactions/files per patient is unknown at this time.
  - c. The average size per file is unknown at this time.
17. Yes, the intention is that most data will be entered into Partners' existing systems.
18. The following selections are based on information known to Region 6 at this time and are subject to change based on the selected respondent and solution.
  - a. Onboarding new community partners - Admin / Vendor
  - b. Connecting to new source systems - Vendor-Only
  - c. Onboarding new users from existing community partners - Admin
  - d. Creating & updating reports, dashboards, and other visualizations - Admin
  - e. Querying data from the data warehouse solution - End-User / Admin
  - f. Exporting data from the data warehouse solution - Admin
  - g. Bulk importing data into the data warehouse solution - Admin / Vendor
19. The platforms the data warehouse solution will need to be available on have not been determined. All platforms will be considered as part of the solution proposal.
20. Region 6 has no expectations related to responsibilities of Region 6 versus the Respondent once the solution is live. Respondents should include available options within the proposal response.

21. Region 6 has no expectations related to offboarding the Respondent and moving ongoing support in-house or to a new Respondent. Respondents should include available options within the proposal response.
22. Any security systems currently in place that will require integration will need to be identified during the requirements gathering process, as Region 6 and Partners may have different systems in place.
23. Whether any security scanning technology is enabled for data movement is unknown and may be identified during the requirements gathering process.
24. The type of directory solution needed to be leveraged for single sign-on in the solution may be identified during the requirements gathering process, as Region 6 and Partners may have different systems in place.
25. Any authorization and authentication solutions currently in place that the solution will need to integrate into will need to be identified during the requirements gathering process, as Region 6 and Partners may have different systems in place.