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Chapter 1: System Information

Purpose

Welcome to the Centralized Data System (CDS). This manual is designed to instruct you on the use of this database and to help you with entering encounters correctly. The primary purpose of the CDS is to track and report data that describe treatment/services expressly funded through the Division of Behavioral Health (DBH). The CDS was not designed to track funding for behavioral health services through Medicaid or any other payer source.

Protected Data

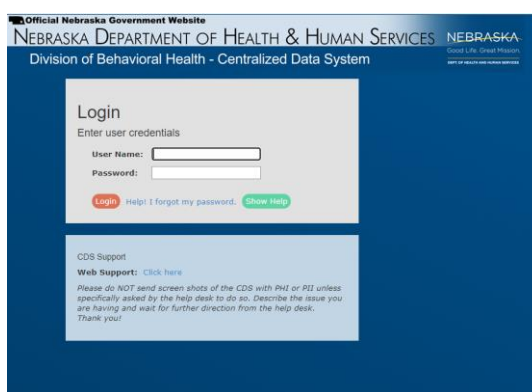
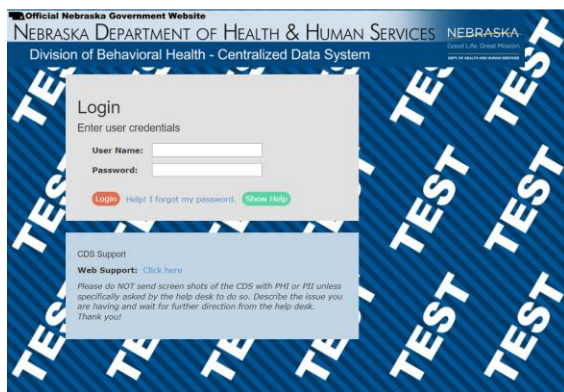
You are entrusted with protected data including confidential and highly sensitive *Personally Identifiable Information (PII)*, *Personal Health Information (PHI)*, and *Federal Tax Information (FTI)* of our clients, consumers and business partners. The HIPAA Security Rule requires DHHS to guard the integrity, confidentiality, and availability of *electronic PHI (ePHI)*. Protecting this information is an essential part of our mission.

HIPAA

The Health Insurance Portability & Accountability Act of 1996 is referred to as HIPAA. If you are a DHHS employee, contractor, volunteer, temporary employee, trainee, sub-grantee, internal contractor, or any other type of worker performing work for DHHS under direct supervision of DHHS, you must complete the DHHS HIPAA Privacy & Security training course.

Test vs. Production Sites

The CDS has two sites: the **Test Site** and the **Production Site** (or Live Site). Both sites use the same credentialing process, so once you receive your credentials, you should be able to access either site.



Test Site: <https://dbhcads-tst-dhhs.ne.gov/Account/Login>

The test site is recognizable by the striped background and the words “TEST”. It is designed for you to practice using functions and tools of the CDS without needing to use PHI. Use the test site for educational and training purposes only.

Do not place PHI in the test site. Use aliases or made-up names (and numbers) on the test site. Occasionally, new features appear on the test site before placement on the Production site.

Production Site: <https://dbhcads-dhhs.ne.gov/Account/Login>

The production site (or live site) has a solid background and is the primary source of data for DBH. The production site contains real consumer information (PHI).

You are expected to exercise great care when using the production site so as not to expose PHI. For example:

- Do not allow others to use your login credentials for either site
- Do not leave CDS open on an unlocked computer
- If someone comes into view, close/hide CDS or change the screen
- Do not leave notes with PHI in plain view

General Information about the CDS

Data Warehouse

The CDS updates newly entered data for real time access every fifteen (15) minutes.

The *data warehouse*, which is essentially the permanent storage unit, automatically updates each night at midnight. This means for example, that data entered in the CDS on a Monday will be reflected in reports and analyses drawn from the CDS warehouse from the following day and onward.

Data Accuracy

The DBH relies on the accuracy and completeness of data entered by the contracted agency staff for each person who receives DBH-funded treatment/services. This is especially important to fulfill the primary purpose of the CDS to track and report data that describe treatment/services.

There are some data elements that are required for all persons who receive DBH-funded treatment/services because these are tracked on the national level and are mandatory for funding (e.g. federal sources like SAMHSA), and thus are used for planning and are expected in Annual Reports. Some of the **required data** pertain to age, gender, ethnicity, race, trauma, veteran status, living arrangement, and employment status.

National Outcome Measures (NOMS)

Center for Mental Health Services (CMHS) grantees providing direct services to clients are required to collect data from/about each client who receives grant-funded services. For each episode of care, an attempt must be made to interview the client at three data collection points: baseline (initiation of grant-supported services), at reassessment, and at clinical discharge.

In instances where a grantee is unable to reach the client (or caregiver or proxy) for the interview, the client declined or refused consent, or the client was unable to provide consent, the grantee is still required to use the NOMs tool to capture administrative data that can be completed by the grantee staff.

NOMs are a key component of the data strategy. The NOMs have introduced a set of 10 measurable outcomes for three areas: mental health services, substance abuse treatment, and substance abuse prevention. (Please refer to [Chapter 25](#) for more information about NOMs)

Connection to the Electronic Billing System (EBS)

The Electronic Billing System (EBS) is connected with the CDS to minimize duplicative efforts for data entry and billing.

Help Desks

CDS Help Desk

Questions about the meaning of fields within a drop-down menu, data elements being captured, or any of the processes of CDS should be brought to the attention of the DBH through the CDS Help Desk, or through regional data user groups. Accuracy is of paramount importance, and in that spirit, changes to data elements can be made using the processes outlined in this manual.

The **CDS Help Desk** can be reached at (800) 324-7966.

DHHS Help Desk

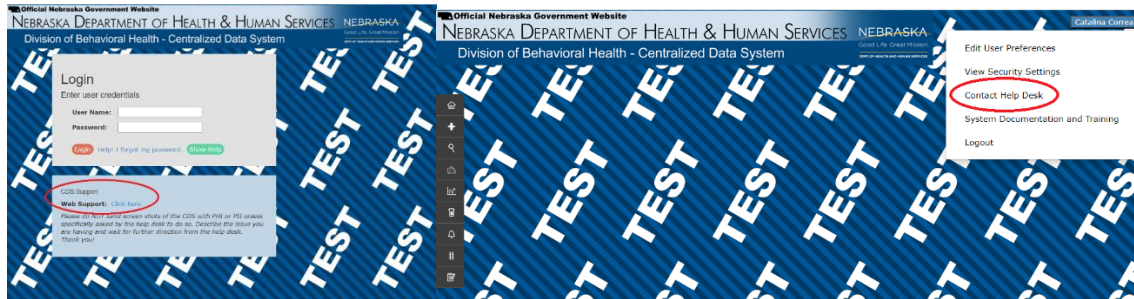
For help with login, especially when logging in for the first time or after an extended absence, please call the **DHHS Help Desk** at (800) 722-1715.

An update to your password as an end user is not typically a Help Desk issue. To update or change your password please use the [PASSMAN application](https://passman-dhhs.ne.gov) located at <https://passman-dhhs.ne.gov>.

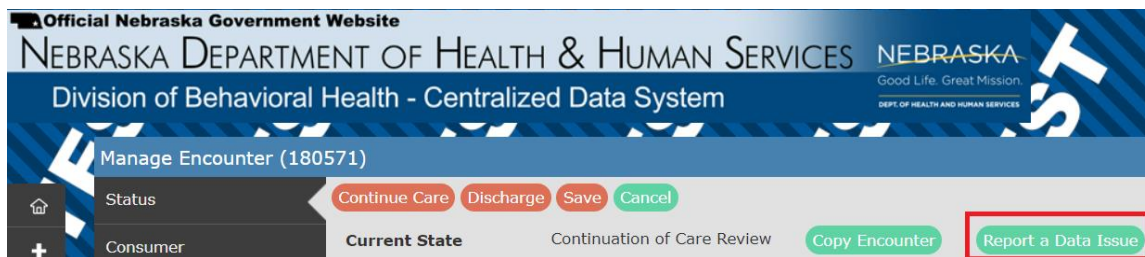
Options for issues with the operation of the Centralized Data System:

- Please contact your *Regional Super User*
- Please call the *CDS Help Desk* at (800) 324-7966

- Please select the option for *Web Support* found on the login page, or under the drop-down menu that appears under the end user's name.



Additionally, all encounters have a Report a Data Issue button, which can be found on the right-hand side of the Manage Encounter box.



An end user that wants to report a data issue should click on the Report a Data Issue button and a pop-up window will appear.

Instructions ask the end user to provide a summary of the data issue, a suggested resolution, and contact information in the event of clarifying questions from DBH to resolve the data issue. Once the end user is satisfied with the request, they can click on the Submit button. A **secure e-mail** is then sent to the DHHS.DBHCDS@nebraska.gov mailbox and a copy appears in the Alerts tab of the CDS under Report a Data Issue. Additionally, the end user can remove the encounter from the system by clicking on Remove Encounter from the System. This would be used in cases where information is entered in error and needs to be removed from CDS reports.

Data requests might also include correcting spelling and correcting entries by requesting a different response to a variable. End users can change *everything* within an active encounter besides social security number. After discharge, the encounter is locked and only state staff can make changes to the encounter.

The DBH relies on the information from the end user to be as accurate as possible. For that reason, CDS does have the capacity to accept updates to almost all of the variables during the course of treatment. Additionally, CDS reminds end users of the need to keep records updated on a periodical basis, through the CDS alerts system. See the segment on **Alerts** for more information.

Browsers

The following browsers are currently supported for accessing the CDS.

Google Chrome

Google Chrome is a supported browser. We have done extensive testing using Google Chrome; however, we cannot guarantee that any browser will stay compatible due to a vigorous amount of updates. Should you find any issues with your Google Chrome browser, please contact the CDS Help Desk.

Microsoft Edge

During the development phase, Microsoft Edge was tested and the system responded well to this browser. However, this browser may not necessarily continue to be supported. Should you find any issues with your Microsoft Edge browser, please contact the CDS Help Desk.

Internet Explorer

Internet Explorer has been the recommended browser for CDS. The system is built to be compatible with IE9 and above. Some functions of the CDS are known to work better in Google Chrome or Microsoft Edge. Internet Explorer is currently a stable browser for the CDS. Should you find any issues with your browser, please contact the CDS Help Desk.

Firefox

During the development phase, Firefox was tested and the system responded well to this browser. However, this browser may not necessarily continue to be supported. Should you find any issues with your Firefox browser, please contact the CDS Help Desk.

Chapter 2: Obtaining User ID and CDS Security Security Levels

The table below depicts the four security levels for end users in the Centralized Data System (CDS). Super Users provide CDS assignments based on a person's role within the agency. Super Users keep assignments up to date for the agency/location.

Level	Description of Security Level
Read Data	Permissions to only view data, can't update data.
Update Data	Can view and update data.
Report Data	Can access reports that are permitted to be access at the appropriate level.
Access PHI	Permits the user to view data fields identified as containing Personal Health Information (PHI). Otherwise, these fields will be masked or omitted.

The [System Documentation and Training](#) link, found on the CDS Home tab, contains the spreadsheet used to assign security levels, and a confidentiality agreement that all end users must sign and provide to the Division of Behavioral Health (DBH).



Security settings for the test site may differ from those of the production site. Depending on the security level, end users may have access to the test site.

CDS requires a User ID and password. To obtain a User ID, contact your local agency Super User. The Super User and persons seeking a User ID will determine the individual's role in the agency and security settings needed, based on the user's work responsibilities. Each potential user must sign a confidentiality statement available from the agency/location Super User. The agency Super User sends the CDS Access Template and confidentiality statement to DBH and the Regional Super User. Once

received, DBH works together with the DHHS Help Desk to assign a User ID and temporary password.

To ensure confidentiality requirements, it is important to promptly update User ID information. Super Users are responsible for staying in contact with their HR administrators to ensure updates to CDS user accounts. New User IDs can take up to ten (10) working days to be added to the production and test site. Super users must report any CDS users who no longer work for the organization or persons who change positions in the organization that may require changes to security levels. Ensuring the removal of these users helps protect CDS from possible misuse.

User ID and Passwords

DHHS Information System and Technology (IS&T) staff assign User IDs. Once established, new users receive a secure e-mail that contains the User ID and a temporary password. The secure e-mail contains instructions for first login. On first login, new users create their own password and set their security questions. The website URL for changing or resetting a password is <https://passman-dhhs.ne.gov>.

Inactive Users

CDS requires that Users log in at least once every sixty (60) days in order to show that they are actively using the CDS. Failure to log in during this period will result in the user's security access being restricted. Restricted accounts will not be able to log into the system until they are reactivated by the CDS Security Administrator.

CDS Passwords Changes

CDS requires password change every sixty (60) days. Fifteen (15) days prior to the expiration of a user's password, Passman generates a reminder e-mail to the end user to change his/her password. Failure to complete the password change in a timely manner will result in the loss of access to the CDS.

The DHHS Help Desk (800-722-1715) can assist end users having difficulty with their log-in.

DHHS IS&T Help Desk telephone number: (800) 722-1715

Chapter 3: Home Page View

Accessing the CDS

This is a view of the login page for the Centralized Data System (CDS) from the Production Site (Live). <https://dbhcads-dhhs.ne.gov/Account/Login>

The screenshot shows the login page for the Centralized Data System (CDS) from the Nebraska Department of Health & Human Services. The page has a dark blue header with the text "Official Nebraska Government Website" and "NEBRASKA DEPARTMENT OF HEALTH & HUMAN SERVICES". Below the header, it says "Division of Behavioral Health - Centralized Data System". The main content area is a light gray box with the title "Login" and the instruction "Enter user credentials". There are two input fields: "User Name:" and "Password:". Below the input fields, there is a red "Login" button, a blue link "Help! I forgot my password.", and a green "Show Help" button. At the bottom of the page, there is a light blue box with the text "CDS Support" and "Web Support: [Click here](#)". Below this, there is a disclaimer: "Please do NOT send screen shots of the CDS with PHI or PII unless specifically asked by the help desk to do so. Describe the issue you are having and wait for further direction from the help desk. Thank you!"

Login / Help

1. Enter your user name and password and click on Login.
2. Options if you need additional help:
 - a. If you forgot your password, click Help! I forgot my Password which is an active link to the Password Management Station, then follow the instructions there.

>Welcome to DHHS Password Management Station

Primary Account

User ID:

[Continue](#)

- b. Click on the green [Show Help](#) button, to reveal Help Resources available to you.

Login

Enter user credentials

User Name:

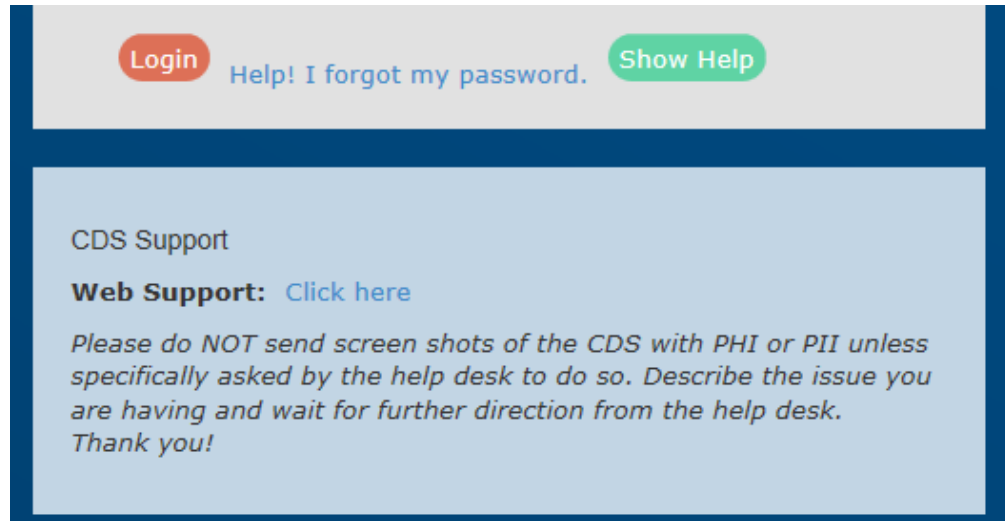
Password:

[Login](#) [Help! I forgot my password.](#)

Help Resources:

- [CDS File Spec 2019-01-16](#)
- [CDS File Spec 2019-06-11](#)
- [CDS File Spec 2019-12-05](#)
- [CDS File Spec 2020-01-17](#)
- [CDS Response File Cheat Sheet](#)
- [DBHCDS_01_LoggingIn](#)

- c. To access the CDS Support, click on the link to [Web Support: Click Here](#).



This link will take you the CDS Help Desk (a different web page) with purple header instead of the blue associated with the CDS. This page is powered by Orion.

Please note: This initial contact with the Help Desk from the login page is not HIPAA compliant. When you are submitting information the Help Desk from this option, **do not send protected health information (PHI)**.

Submit a request

Your email address

What is the subject of your request

Type in a few words what your request is about

Select Today's Date

Date of your request

Which product are requesting help with?

Select the product you have questions about

What is your Request or Issue

Please state in a few words what your Request or Issue is about.

Description

In order to assist you best, Please describe your issue with as much detail as possible. A member of our support staff will respond as soon as possible.

Phone Number

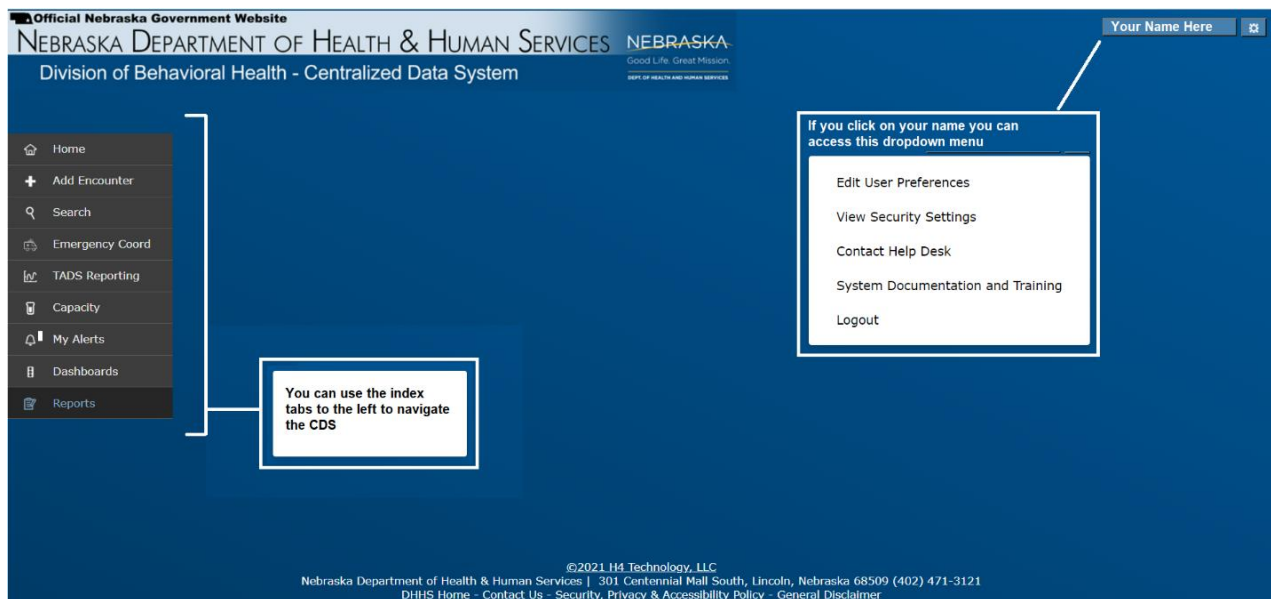
Please enter (Area code) with a phone number - Use this format XXX-XXX-XXXX

[Submit](#)

[? Help](#)

Initial Landing Page

This is the general layout of the initial landing page to the CDS:



User ID drop-down menu choices (top right)

Edit User Preferences

Edit user preferences is used to set the default options for what appears on the end user's home page.

View Security Settings

The security settings lists the location and level of security for the named end user.

Contact Help Desk

This link brings up contact information to contact the CDS Help Desk and a form for reporting system issues.

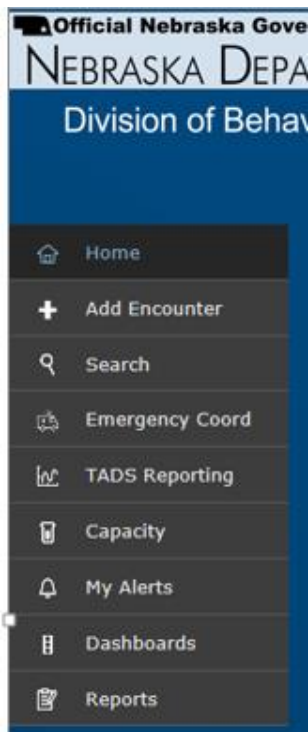
System Documentation and Training

This is an index of training and instructional documents and videos designed to enhance the end user experience on the CDS.

Logout

Selecting this option will end the current CDS session and return to the CDS sign on page.

Left Index Tabs



Most actions within the CDS begin with clicking on one of the Left Index tabs.

Not all Left Index tabs will be available to all end users. The end user's security level will determine which Left Index tabs will be available to them.

The Left Index tabs constitute the major sections of this manual.

Within each of the sections will be subsections that focus on specific activities. For example, working with the Add Encounter section will have subsections on adding, searching, discharging, etc.

Home

This is the first page when the end user signs onto the CDS.

The Home page can be adjusted by using the Edit User Preferences option of the drop-down menu that appears when the end user clicks on their name in the upper right-hand corner.

Add Encounter

This is the largest of the sections. To start a new encounter, click on the Add Encounter Left Index tab.

A screenshot of the 'Consumer Identification' form. It features a blue header bar with the title 'Consumer Identification'. Below the header, there are two main input areas. The left area is labeled 'Consumer ID' and contains a single text input field. To the right of this field is the text 'OR'. The right area contains several input fields: 'Last Name' (text), 'First Name' (text), 'Date of Birth' (date picker), 'Zip Code' (text), 'SSN' (text), and 'Gender' (a dropdown menu with '-- Select --' as the current selection). At the bottom of the form, there are two red buttons: 'Search' and 'Create New Consumer Record'.

Search

This Left Index tab opens a variety of search functions within the CDS.

Search

Encounters

Waitlist

Admissions

Appeals

Reviews

Discharges

First Name

Middle Name

Last Name

Name Suffix

SSN

Birth Date

Zip Code

Consumer ID

Encounter #

Encounter Status

-- Any Active Status --

Service Provided

-- All Services --

Funding Region

-- All Regions --

Provider

-- All Providers --

Priority Population

-- All Priority Populations --

County of Residence

-- All Counties --

Search

Export Results

Clear

If you are to search by the Encounter Status, please note that the default is to search by *Any Active Status*. If you are uncertain about the status of the encounter, use *Any Status* which would include encounters that are either active or discharged.

Emergency Coordinator

This Left Index tab is intended for use by the Emergency Coordinators of the regions. Important information about activities of the emergency system is entered. These include:

EPCs
Dropped EPCs
IP Commits
OP Commits
OP Warrants
Other Warrants
Holding Time
Continuances
Complaints
Actions

TADS Reporting

The Turn Around Documents (TADS) Left Index tab serves as a utilization, billing and reporting tool for agencies, regions, and the state. End users will enter units of service for which reimbursement is requested by encounter and by service level.

Capacity

The Capacity Left Index tab opens the CDS Capacity and Utilization Management portal. Agencies/Locations can enter capacity levels of services under contract with the division or regions.

The forms for Capacity and Utilization are expected to be completed weekly by providers. The reports include counts of the previous week's actual utilization.

My Alerts

The My Alerts Left Index tool serves as an indicator to end users to review records. Alerts are sent regarding continued care reviews, continued stay reviews, and appealed authorization action.

Dashboards

The Left Index tab of Dashboards is a self-service data management tool that is available by invitation only to certain end users.

Reports

The Reports Left Index tab is where end users will find a variety of standard or canned reports for different purposes. The reports listed are designed to generate data for review by multiple levels of end users. While several users will have access to some of these reports, they may not be available to all users depending on authorized access and security level.

Chapter 4: Action Buttons

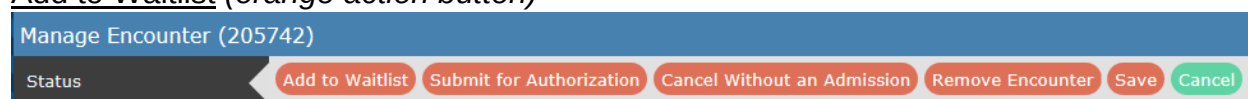
Action Buttons

Action buttons usually appear in CDS in orange or green. These buttons perform a function upon the information contained in the CDS window. Each button has a specific function depending on where it occurs within the sequence of windows in CDS.

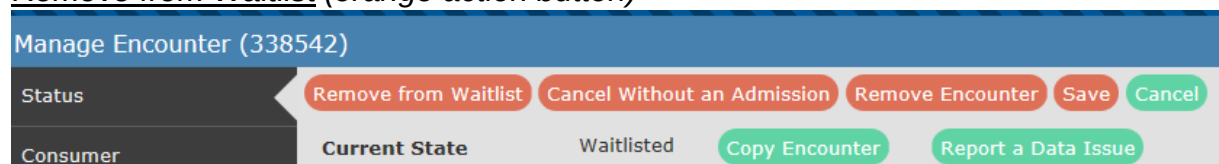
Add to Waitlist and Remove from Waitlist

As the names imply, when working with the waitlist, depending on the need, a user can add a consumer to a waitlist or remove a consumer from a waitlist.

Add to Waitlist (orange action button)



Remove from Waitlist (orange action button)



Cancel Buttons

The Centralized Data System (CDS) provides several Cancel buttons for use on Encounters.

Green Cancel buttons appear on the top bar of the window on the status line (see *examples above*) or at the bottom of the window next to another (see *example below with the appeal*).

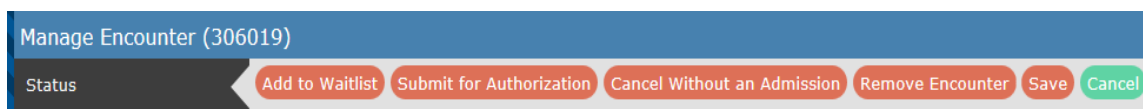
Use the green Cancel button in the same manner as you would closing out a web page when you click on the red X button. This action closes the screen, and similarly, any information not previously saved will be lost. The green Cancel button works the same way throughout the CDS – clicking it cancels the action and returns the user to the previous window.

In the example below, the Cancel button on the bar for the Status tab for a discharge-ready Encounter.



Below is the Cancel button on the Manage Encounter screen of an authorized Encounter. Note, there are buttons to Cancel Without an Admission as well as to Remove Encounter.

Cancel Without an Admission retains the Encounter in the database and includes the Encounter in counts for reporting purposes. The Remove Encounter button retains the Encounter in CDS, but it is not included in any report counts.

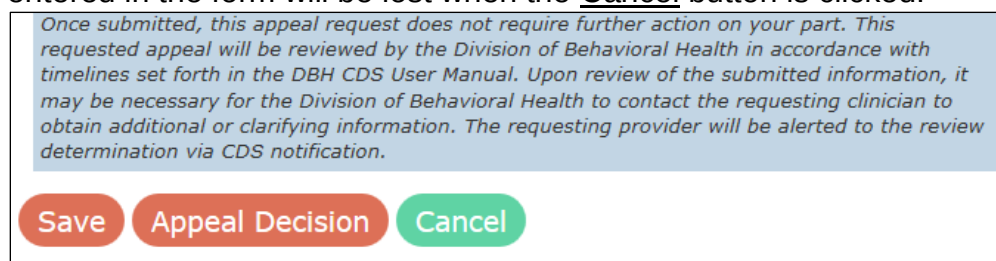


Manage Encounter (306019)

Status

Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel

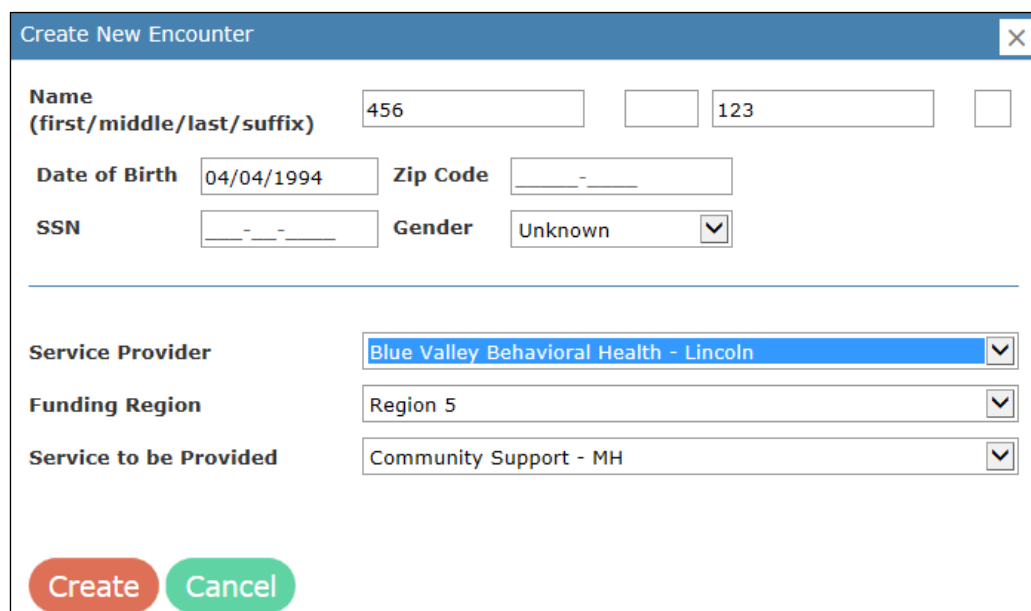
There is a Cancel button at the bottom of the Appeal Decision window. All information entered in the form will be lost when the Cancel button is clicked.



Once submitted, this appeal request does not require further action on your part. This requested appeal will be reviewed by the Division of Behavioral Health in accordance with timelines set forth in the DBH CDS User Manual. Upon review of the submitted information, it may be necessary for the Division of Behavioral Health to contact the requesting clinician to obtain additional or clarifying information. The requesting provider will be alerted to the review determination via CDS notification.

Save Appeal Decision Cancel

There is also a Cancel button at the bottom of the Create New Encounter window. This will cancel the current selections and return you to the previous screen.



Create New Encounter

Name (first/middle/last/suffix) 456 123

Date of Birth 04/04/1994 Zip Code - -

SSN - - - Gender Unknown

Service Provider Blue Valley Behavioral Health - Lincoln

Funding Region Region 5

Service to be Provided Community Support - MH

Create Cancel

Below, the Cancel button appears on the Capacity Management screen. Hitting the Cancel button returns the user to the previous screen and does not save any of the information entered.

Capacity Management

Select the Provider Location and Reporting Week. For all services listed, please enter the Total Agency capacity available and Total Agency capacity used (from all funding sources) during the week selected. Please also enter the Region capacity available and Region capacity used for each Region in which you have a contract for services. Percent Used (capacity) will be auto-filled from the values you have entered. Please double check for accuracy and make any corrections necessary.

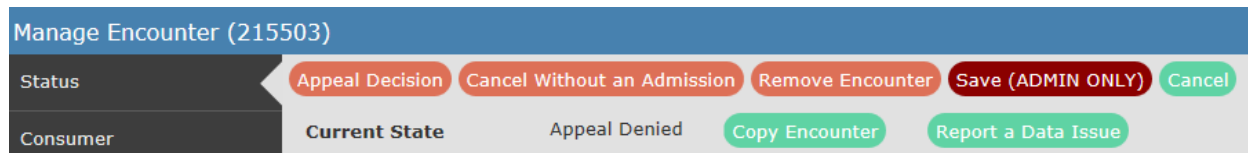
Provider Location: Charles Drew - 111 N. 17th St, Omaha
Week (Monday-Sunday): 10/22/2018 - 10/28/2018

Save
Cancel
Print

Capacity For	Provider Location <<				Region 6 <<			
Services	Capacity Available	Capacity Used	% Used	Updated	Capacity Available	Capacity Used	% Used	Updated

Cancel Request

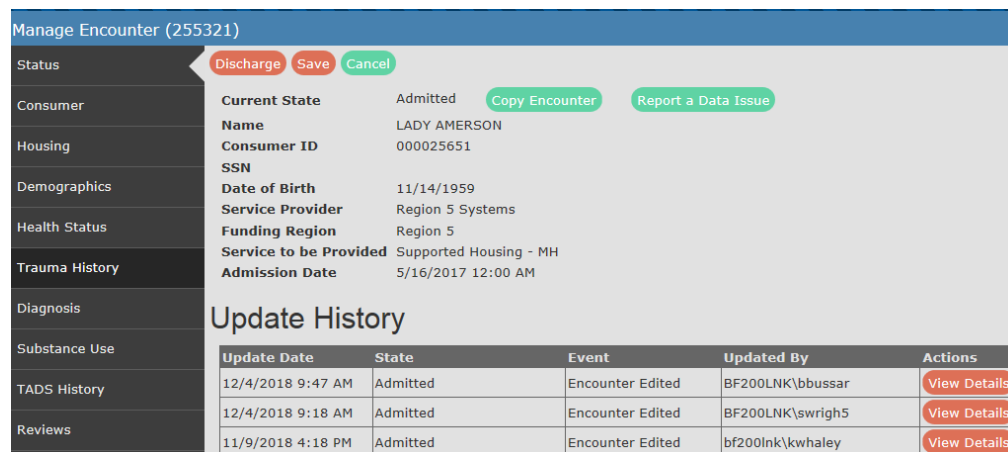
This will completely cancel any request for funding or tracking a service. Once Cancel is confirmed, you cannot add or edit the Encounter. Cancel or Cancel Without an Admission serve as acknowledging the acceptance of a denial during an authorized service request.



Save Button

The Save button saves the information entered in the window and returns the end user to the previous page or the status history details of the Manage Encounter window.

The Save button might seem hidden as one scrolls down the page, so be sure to scroll up to the top of the page to click on the Save button. The Save button on the Manage Encounter page is a final check to ensure that the end user did not forget to Save on any of the Consumer Index tabs as the end user enters information. Use this button to save the work from all the Consumer Index tabs.



Update Date	State	Event	Updated By	Actions
12/4/2018 9:47 AM	Admitted	Encounter Edited	BF200LNK\bussar	View Details
12/4/2018 9:18 AM	Admitted	Encounter Edited	BF200LNK\swrigh5	View Details
11/9/2018 4:18 PM	Admitted	Encounter Edited	bf200lnk\kwhaley	View Details

The Save button is also located on the top of the TADS Reporting window. Save completes the action once an end user enters the number of units requested for reimbursement.

TADS Reporting

Search Encounters

Service: Assessment - SUD Funding Region: Region 1 Provider: -- All Providers -- Month: 10/2018 **Search**

Save

Assessment - SUD

Encounter #	Name	SSN	Admission Date	Service Details	Last Update	Sent to EBS
363733	Azus, Lual		10/29/2018	Adult - 45 minutes 1.00 +Add	11/1/2018 11:51:58 AM	11/1/2018 11:47:11 PM
354501	BARTYZEL, cazares		7/18/2018	Adult - 45 minutes 0 +Add		
365164	Botti, AUDEN		10/22/2018	Adult - 45 minutes 1.00 +Add	11/5/2018 11:19:24 AM	11/5/2018 11:45:30 PM
360123	CHARGING THUNDER, RATISHA		8/27/2018	Adult - 45 minutes 0 +Add		

Again, if the list of open records is long, it is necessary to scroll up to the Save button at the top of the service listings.

In the Capacity Management window, the Save button is located near the upper right-hand corner of the window. To complete the data entry, the end user concludes by clicking on the Save button.

Capacity Management

Select the Provider Location and Reporting Week. For all services listed, please enter the Total Agency capacity available and Total Agency capacity used (from all funding sources) during the week selected. Please also enter the Region capacity available and Region capacity used for each Region in which you have a contract for services. Percent Used (capacity) will be auto-filled from the values you have entered. Please double check for accuracy and make any corrections necessary.

Provider Location: ARCH - 604 S. 37th St, Omaha NE Week (Monday-Sunday): 11/26/2018 - 12/2/2018

Save **Cancel** **Print**

Capacity For: Provider Location << Region 6 <<

Run Report and Run Report in New Window

The two buttons used after entering the parameters of a report allow you to display the report in either the current window (Run Report) or in a new window (Run Report in New window).

Reports

Back ADMIN004 New EPC Admissions by Provider

Title:

Date Range: Month from 1/2017 12/2018

Region: -- All Regions --

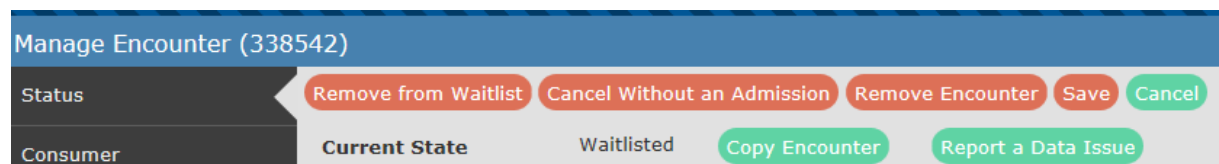
Duplicated ☐

Run Report **Run Report in New Window**

The Run Report in New window opens a new web browser window and shows the information there. Whether to display in a new window or not is a personal preference. When running multiple reports at once, this can be a nice feature to use, as it allows access to each report generated.

Report a Data Issue

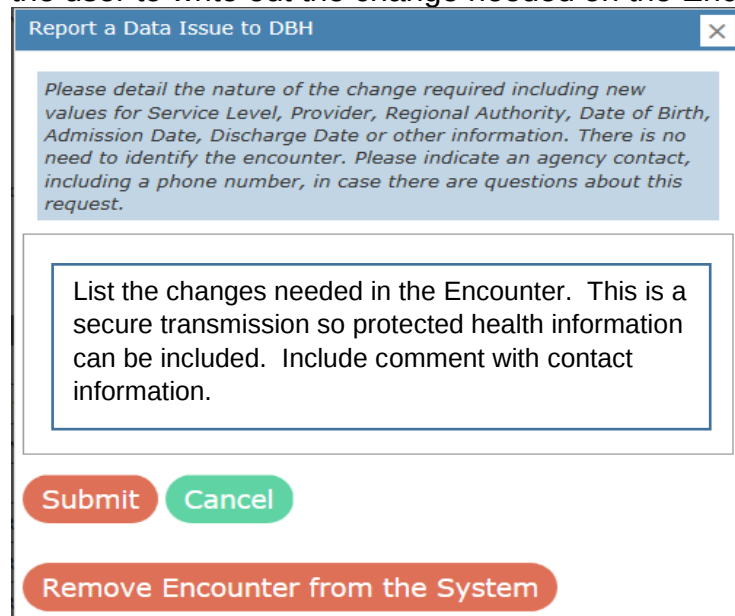
Each Manage Encounter window has two green buttons named Copy Encounter and Report a Data Issue.



Report a Data Issue is used to alert CDS administrators that a change within an Encounter is needed.

- Even when the Encounter is active, there are some variables such as Admission date, Social Security Number, and Consumer Number, that cannot be changed by the end user.
- The user can change most variables for which they supplied the data while the Encounter is in an active status. However, once the Encounter is discharged, the variables are locked, and only a CDS administrator can make changes.
- Use the Report a Data Issue button if changes are needed in these fields.
- The Report a Data Issue button sends a secure e-mail to CDS administrators. Users may include PHI/PII information via this operation, however, it should be noted that the need to include PHI/PII information should be rare because all reported data issues are tracked by the exact Encounter number.
- There is no need to include the Encounter number because it is automatically submitted with the form.

Once the end user clicks the Report a Data Issue button, a pop-up screen appears for the user to write out the change needed on the Encounter.

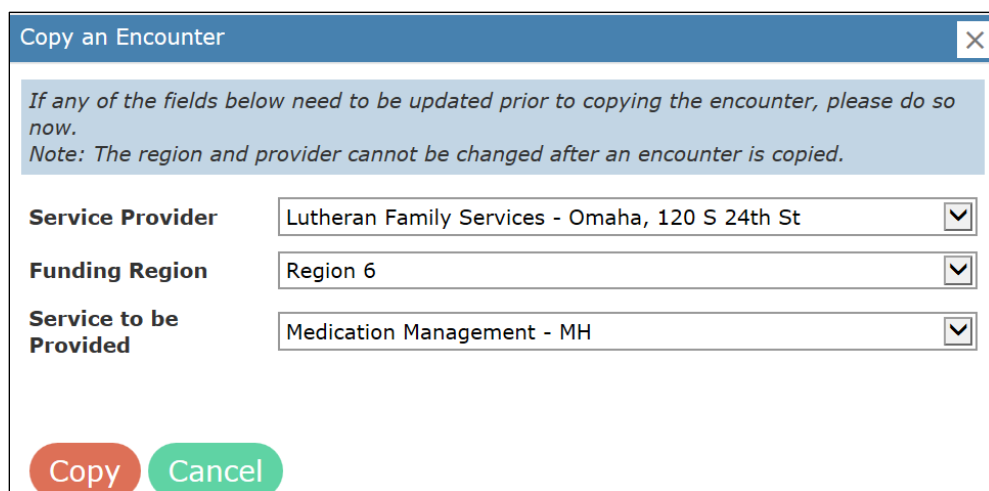


Click the Submit button to send the request to the CDS system administrators. The Remove Encounter from the System button is also available, and will remove the Encounter, but retain the information of the Encounter. The Encounter will not be counted for the purpose of reports.

Copy Encounter

The Copy Encounter button is located parallel to the Consumer tab of the Manage Encounter page. Clicking on the Copy Encounter button will make a copy of the existing Encounter and create a new Encounter with a new Encounter number, under the same Consumer ID. This is useful for users who might be enrolling a consumer in multiple services, or a consumer who is returning after a short absence. The Copy Encounter button does not copy associated forms from the old Encounter to the new Encounter, only data fields across the Consumer tabs. Forms such as those associated with the youth template, completed questionnaires, and progress reports of the Encounter used to create a copy **do not** carry over to the new, copied Encounter created.

Once the user clicks on the Copy Encounter button, a pop-up window will appear. This window allows for changes in the Service Provider, Funding Region, and Service to be Provided for the new Encounter. This is handy to correct errors on the initial Encounter selection from among these variables; however, there are implications to making such corrections. Carefully select the choice from those available to the end user.



Copy an Encounter

If any of the fields below need to be updated prior to copying the encounter, please do so now.
Note: The region and provider cannot be changed after an encounter is copied.

Service Provider Lutheran Family Services - Omaha, 120 S 24th St

Funding Region Region 6

Service to be Provided Medication Management - MH

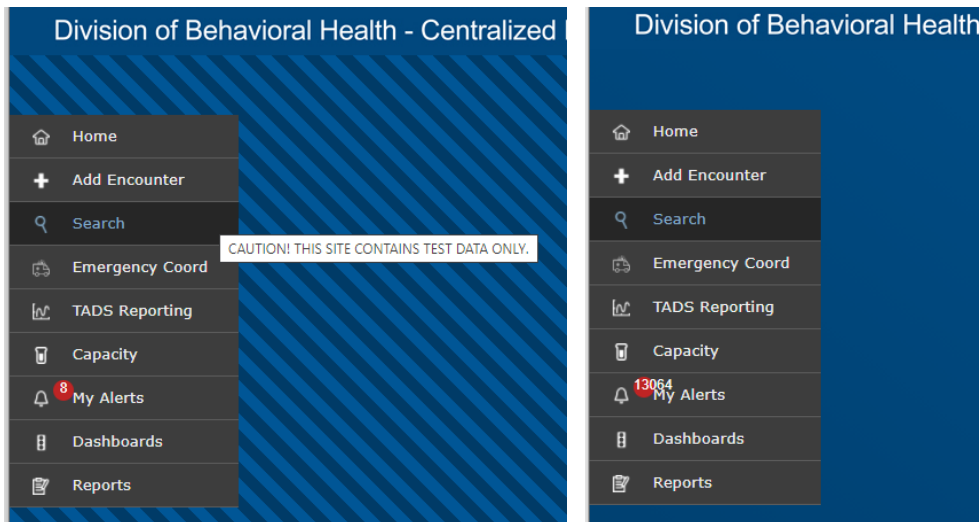
Copy Cancel

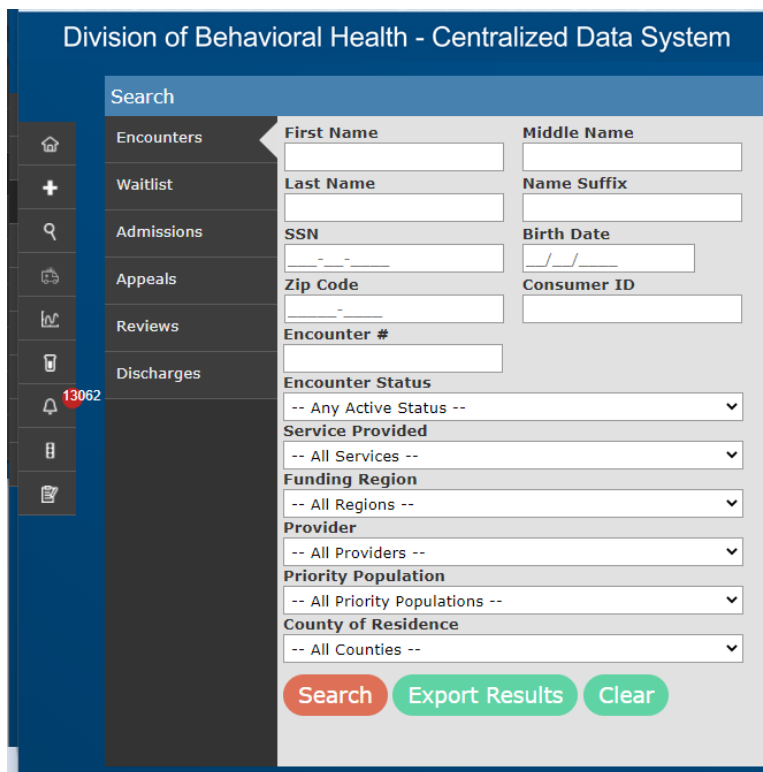
See also **TADS Reporting** ([Chapter 20](#)) for changes that may be necessary to synchronize between the EBS and CDS.

Chapter 5: Search

Using Centralized Data System Search Function

The left index tabs on the Home page offer several functions to users, including a Search function. To begin, use the Search function by selecting the Search tab on the left Index tabs.





When you click the Search button on the primary left index tab, the Search window opens, and a new index menu appears.

There are variations of the Search function for Encounters, Waitlist, Admissions, Appeals, Reviews and Discharges. Each of these specialized searches have dates specific to the type of search, along with the generalized search parameters.

Search Encounters

In CDS, any variable on the Search function window can be

used to create parameters for a search. For instance, you could look for all people with a specific first or last name. The results shown are limited by the permissions granted to each user.

The more information the end user enters, the more specific the results will be. If the user enters an SSN, all records for that SSN will be shown. The search function will work the same for any of the other consumer parameters.

Searching for an encounter number will bring up that encounter for review. Always click on the red Search button to see the results. Search shows the first 200 results, while Export will export *all* records that meet the criteria at the location and based on the end user's permissions. The exported file will be an Excel CSV file in a popup window.

Conduct searches by consumer-specific variables or information regarding Encounter Status, Service Provided, Funding Region, Provider, Priority Population, or County of Residence. Excluding the consumer variables, drop down menus provide help in selecting from among the various choices.

Here are the drop-down choices for Encounter Status:

Division of Behavioral Health - Centralized Data System

Search

Encounters

Waitlist

Admissions

Appeals

Reviews

Discharges

13063

First Name

Middle Name

Last Name

Name Suffix

SSN

Birth Date

Zip Code

Consumer ID

Encounter #

Encounter Status

-- Any Active Status --

-- Any Status --

-- Any Active Status --

Pre-admitted - ANY

Pre-admitted - Waitlisted

Pre-admitted - Pending Appeal

Pre-admitted - Appeal Requested

Pre-admitted - Appeal Denied

Pre-admitted - Authorized

Admitted - ANY

Admitted - Continuation of Care Review

Admitted - Continued Stay Review

Admitted - CSR Pending Appeal

Admitted - CSR Appeal Requested

Admitted - CSR Authorized

Cancelled

Discharged

Service Provided, Funding Region, Provider, Priority Population, and County of Residence all have drop down menus. Limit your search by using more than one of the search criteria, and the associated drop-down choices.

Search Waitlist

Search for Waitlist has the added variables that create a date or date range.

Division of Behavioral Health - Centralized Data System

Search

Encounters

Waitlist

Admissions

Appeals

Reviews

Discharges

13065

First Name

Middle Name

Last Name

Name Suffix

SSN

Birth Date

Zip Code

Consumer ID

Waitlist/Confirmation Date Range

Encounter Status

-- Any Active Status --

Service Provided

-- All Services --

Funding Region

-- All Regions --

Provider

-- All Providers --

Priority Population

-- All Priority Populations --

County of Residence

-- All Counties --

Search Export Results Clear

Search Admissions

Search for Admissions also has the added variables that create a date or date range.

Division of Behavioral Health - Centralized Data System

Search

Encounters

Waitlist

Admissions

Appeals

Reviews

Discharges

13065

First Name

Middle Name

Last Name

Name Suffix

SSN

Birth Date

Zip Code

Consumer ID

Admission Date Range

Encounter Status

-- Any Active Status --

Service Provided

-- All Services --

Funding Region

-- All Regions --

Provider

-- All Providers --

Priority Population

-- All Priority Populations --

County of Residence

-- All Counties --

Search Export Results Clear

Search Appeals

The Appeals search function also has the feature of inserting a date or date range.

Division of Behavioral Health - Centralized Data System

Search

Encounters
Waitlist
Admissions
Appeals
Reviews
Discharges

13067

First Name
Middle Name
Last Name
Name Suffix
SSN
Birth Date
Zip Code
Consumer ID

Appeal Date Range
to

Encounter Status
-- Any Active Status --
Service Provided
-- All Services --
Funding Region
-- All Regions --
Provider
-- All Providers --
Priority Population
-- All Priority Populations --
County of Residence
-- All Counties --

Search Export Results Clear

Search Reviews

The Reviews search function also has the feature of inserting a date or date range.

Division of Behavioral Health - Centralized Data System

Search

Encounters
Waitlist
Admissions
Appeals
Reviews
Discharges

13067

First Name
Middle Name
Last Name
Name Suffix
SSN
Birth Date
Zip Code
Consumer ID

Review Date Range
to

Encounter Status
-- Any Active Status --
Service Provided
-- All Services --
Funding Region
-- All Regions --
Provider
-- All Providers --
Priority Population
-- All Priority Populations --
County of Residence
-- All Counties --

Search Export Results Clear

Search Discharges

The Discharges search also has the feature of inserting a date or date range.

Division of Behavioral Health - Centralized Data System

Search

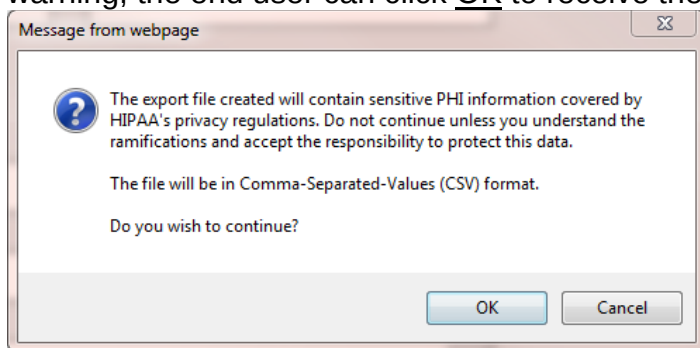
Encounters
Waitlist
Admissions
Appeals
Reviews
Discharges

First Name
Middle Name
Last Name
Name Suffix
SSN
Birth Date
Zip Code
Consumer ID
Discharge Date Range
Encounter Status
Service Provided
Funding Region
Provider
Priority Population
County of Residence

Search Export Results Clear

Export Results

The green Export Results button will create a CSV export file in a popup window. A warning message regarding the creation of the CSV will display. After reading the warning, the end user can click OK to receive the export file in a pop-up window.



The pop-up window may also be displayed at the bottom of the CDS window and look like the example below. Click Open to open the file, or, click Save to place the file in another location for further review.

Chapter 6: Create an Encounter

Create an Encounter

An **Encounter** is a record in the CDS for an episode of care relating to a particular treatment or service for a particular Consumer. This record includes information from admission to discharge, updates between, and follow-ups thereafter.

Creating an encounter is the first step toward tracking a Consumer's progress within any DBH-funded service in CDS.

Encounter ID

A system-generated unique identifier, called an Encounter ID, is assigned each time a user creates an episode of care via an Encounter for a specific Consumer, Region, Provider, and Service. A Consumer will have a unique Encounter ID for each episode of care. This means each Consumer can potentially have more than one Encounter ID.

Information entered into the CDS is intended for persons who are receiving (or are anticipated to receive) treatments/services for mental health or substance use disorder and such services are funded with regional/state behavioral health funds within Nebraska.

The screenshot displays the CDS user interface. On the left is a dark blue sidebar with a list of navigation options: Home, Add Encounter (highlighted with a yellow box), Search, Emergency Coord, TADS Reporting, Capacity, My Alerts, Dashboards, and Reports. To the right of the sidebar is the 'Consumer Identification' form. This form has two main sections separated by an 'OR' label. The first section contains a 'Consumer ID' input field. The second section contains fields for 'Last Name', 'First Name', 'Date of Birth' (with a date picker), 'Zip Code', 'SSN', and 'Gender' (a dropdown menu). At the bottom of the form are two buttons: 'Search' and 'Create New Consumer Record'.

New Encounter Screens

Please use the following steps when adding an encounter to CDS.

To create a new encounter, click on the Add Encounter tab found in the Left Index tabs on the CDS Home page.

Establish the Consumer's identification in the pop-up window. The data elements listed are what the system uses to uniquely recognize each consumer in the CDS.

Enter the Consumer ID (person has a known unique identifier in the CDS)

OR

Enter as much of the identifying information you have: Name, Date of Birth, Zip Code, Social Security Number, and Gender

Click [Search](#) OR [Create New Consumer Record](#) if you are sure the person does not already have a Consumer ID.

Consumer ID

The **Consumer ID** is a system-generated unique ID to the person's last name, first name, Medicaid ID, date of birth, and Social Security Number.

On the [Add Encounter](#) screen, if you do not know the Consumer ID, please leave this variable blank. CDS will either locate a previously established Consumer ID or create a new one where one does not already exist, based on the information the user provides and existing records in CDS.

Please note: a person should have one **Consumer ID** but may have one or more associated **Encounter IDs**, depending on the number of treatments/services.

Master Patient Index (MPI).

CDS uses a **Master Patient Index (MPI)** to link across Behavioral Health agencies and regions. Because each user can see only the information for which they have permission, users may not know that an individual is already in the system. Carefully enter as much information as you can verify based on documentation made available to you by the consumer.

The MPI links encounters for an individual, using common identifying information such as last name, date of birth, first name, Social Security Number, zip code of residence, gender, etc. This system works by looking at the commonality of data entered and associating it with files across the state. For example, the same person could have different spelling/versions of their name and have moved around: *Charles Husker* in Region 1 can be linked with *Chuck Husker* in Region 6, or *Charlie Husker* in Region 3.

Last Name, First Name and Date of Birth are required fields. As much information as the user has available should be placed into each variable, thus reducing the chance for a missed association with a Consumer ID. When last name, date of birth and first name are the same for separate encounters, there is a high certainty of an appropriate match. Social Security Number then adds to the certainty in a match/link. Notably,

certainty diminishes with each missing element. The result of the MPI is the Consumer ID located on the Manage Encounter page.

Considering the explanation above, please note how critical and important it is for everyone to take great care in entering data into CDS. The quality of the data in the CDS is very much dependent on those who enter information. Providers are encouraged to use government-issued documents with identifying information (i.e., state ID card, driver licenses, Medicaid or Social Security cards, etc.) to verify information *prior* to entry into CDS.

Again, users only see the information they have permission to see. If the user only has location-specific permission, they will see only that information for that location. If the agency has multiple locations, and the user has permission at each location, then they will see the agency-wide information, and may have greater information on which to compare a new encounter to an existing encounter for a consumer.

Last Name (REQUIRED)

Carefully enter the consumer's last name. Last name is used to help identify each unique person in CDS.

First Name (REQUIRED)

Carefully enter the consumer's first name. First name is used to help identify each unique person in CDS.

Date of Birth (REQUIRED)

- Describes the date of birth of the consumer.
- If a birthdate is entered, and the system determines the consumer is a youth, there are fields about school attendance in the demographics tab that need to be completed.
- If you do not have the date of birth, every effort should be made to obtain the information using copies of official documentation. If a consumer is not able to provide such documentation, estimate their age using 01/01/CCYY is an alternative. Even establishing a month (MM) and year of birth (CCYY) using MM/01/CCYY would assist in the system in identifying the consumer. If you are not able to estimate any information, *then please leave the entry blank*.
- Because reimbursement occurs on a monthly schedule, emergency and registered service providers might delay data entry while waiting for identifying information.
- Additionally, authorized services require full information or alternative means before an authorization is given.

(Birthdate format is MM/DD/YYCC where MM=Month, DD=Day, CC=Century, YY=Year, each in 2-digit format.)

SSN (PREFERRED)

- The Social Security Number (SSN) is used to verify information and to uniquely identify individuals within CDS.
- The use of single digits is not permitted. For instance, entering all 9's, 6's etc. Also, sequential numbers (i.e., 1234) or any other schema other than the consumer's actual SSN should be rejected. **Please do not make up or use made up SSN's.**
- If you do not have the SSN, *please leave the entry blank.*

Zip Code (optional)

- Enter the consumer's home zip code.
- If not available, leave blank.

Gender (optional)

- Enter the consumer's gender.
- If not available, select Unknown.

Search or Create New Consumer Record

The first step will be for the user to enter the Consumer ID OR Consumer information (First Name, Last Name, Date of Birth, SSN, Zip Code, and Gender).

The screenshot shows the 'Consumer Identification' form within the 'Division of Behavioral Health - Centralized Data System'. The form has a blue header with the system name and the Department of Health and Human Services logo. On the left is a vertical sidebar with icons for home, add, search, and other functions. The main form area is divided into two sections by an 'OR' label. The left section contains a 'Consumer ID' text input field. The right section contains several fields: 'Last Name', 'First Name', 'Date of Birth' (with a date picker), 'SSN' (with a masked input), 'Zip Code' (with a masked input), and 'Gender' (a dropdown menu with '-- Select --'). At the bottom of the form are two red buttons: 'Search' and 'Create New Consumer Record'.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

If you know that this is a new consumer to your location, then you can skip the search step and click on Create New Consumer Record button to begin a new encounter.

Click on Search if you want to search for the consumer using available data. The search will be conducted based on user permissions. Clicking Search brings up a listing of known cases with a close fit to the information provided.

Division of Behavioral Health - Centralized Data System

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Consumer Identification

Consumer ID

OR

Last Name First Name

Date of Birth Zip Code

SSN Gender

Search **Create New Consumer Record**

	Consumer ID	Last Name	First Name	DOB	SSN	Gender	Zip Code
Select	000032366	Alcaraz	JASMINE	01/11/1986	xxx-xx-2366	Male	68873
Select	597897015	Alcaraz	Jaylen	04/21/1975	xxx-xx-7015	Male	68154
Select	524748096	Alcaraz	JALISSA	11/12/1986	xxx-xx-8096	Male	68131
Select	675579210	Alcaraz	WESELY	12/08/1959	xxx-xx-9210		
Select	000009527	Alcaraz	SHAVES	08/06/1952	xxx-xx-9527	Female	51640
Select	000057810	Alcaraz	Turza	05/29/1988	xxx-xx-7810	Female	68437

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

The user will click Select for the appropriate consumer listed OR click on Create New Consumer Record if the list does not generate an appropriate match.

If you click on Search and then Select the appropriate consumer from the options, the Consumer Identification screen, will appear.

Consumer Identification

Name (first/middle/last/suffix)

Date of Birth Zip Code

SSN Gender

Medicaid ID

Provider

Funding Region

Service to be Provided

Create **Cancel**

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

If you click on Create New Consumer Record, the Create New Encounter pop-up screen called will appear.

The screenshot shows a 'Create New Encounter' pop-up window. The form is divided into two main sections. The top section contains personal information fields: 'Name (first/middle/last/suffix)' with input boxes for 'Reelly' and 'Hurry', 'Date of Birth' with '9/5/2000', 'Zip Code' with a placeholder '____-____', 'SSN' with '555-55-5555', 'Gender' with a dropdown menu set to 'Female', and 'Medicaid ID' with an empty box. The bottom section contains three dropdown menus: 'Provider' set to 'Behavioral Health Specialists, Inc - Pender', 'Funding Region' set to 'Region 4', and 'Service to be Provided' set to '24 Hour Crisis Line - MH'. At the bottom left are two buttons: 'Create' (orange) and 'Cancel' (green).

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Please note: CDS expects the following data elements to create an Encounter, no matter which way you initiated it. To create an Encounter, you must first select the Provider, Funding Region, and Service to be Provided.

Consumer

A **Consumer** is a person who is admitted or put on a waitlist to receive a particular service/treatment.

Provider (REQUIRED)

This is the entity (and service location) that is delivering the service/treatment for the person (consumer). The list of options in the drop-down menu for Provider is location specific.

By choosing the Service Provider (and the specific service location), the user is instructing the system to query the contracts for this location. If the user does not see a specific service provider in the Provider drop-down menu (i.e., a different location within the user's agency), the user must contact the **agency super user** to get their permissions edited, or to determine next steps to discover why the location is missing.

Funding Region (REQUIRED)

Funding Region is an indication of which contract will be utilized for the encounter. In other words, the Funding Region responsible for associated costs.

Service to be Provided (REQUIRED)

This field is to indicate exactly which service to which the user is trying to admit a consumer. The options available in the drop-down menu are specific to the Provider. The service selected indicates what CDS will track for the consumer in this encounter.

Create/Cancel

If the user clicks the green **Cancel** button, they will be taken back to the previous window for Consumer Identification.

If the user clicks the red **Create** button after all three required data elements are populated, the user will be shown the **Manage Encounter** window.

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Manage Encounter (677483)

Current State: New

Name: Reelly Hurry

Consumer ID: 927283173

SSN: xxx-xx-5555

Date of Birth: 9/5/2000

Service Provider: AAA

Funding Region: Region 2

Service to be Provided: Medication Management - SUD

Update History

Update Date	State	Event	Updated By	Actions
11/17/2023 10:44 AM	New	Encounter Edited	BF200LNK\ccorrea	View Details

Chapter 7: Manage Encounter

Manage Encounter Window

Once an encounter is created, the system defaults back to the Manage Encounter window with the Status tab opened.

Layout

- *Action Buttons* (horizontal - orange or green buttons)
- *Left Index Tabs* (vertical, black with white font tabs, from Status to Notes)
- *Window* (grey area with action buttons, prepopulated information, and specific places to input data or select options from dropdown menus)

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Manage Encounter (422186)

Status Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel Print

Current State New Copy Encounter Report a Data Issue

Name Ina Hurry

Consumer ID 474979304

SSN

Date of Birth 9/21/1981

Service Provider AAA

Funding Region Region 6

Service to be Provided Assertive Community Treatment - MH

Update History

Update Date	State	Event	Updated By	Actions
10/1/2021 1:23 PM	New	Encounter Edited	BF200LNK\bushert	View Details

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Action Buttons

Orange or green Action Buttons are also described in [Chapter 4](#) in this manual. The Action Buttons names and available actions visible in the Manage Encounter window depend on the service and associated tab that is open.

Status (Top-Left Index Tab)

The Status Tab in the example above shows the appropriate Action Buttons in the top row. The exact buttons displayed are dependent on the Encounter Service.

State

This is a brief statement of current and previous common states of the encounter within the service flow of CDS. These states include:

- **New** – This encounter has just been created and is awaiting the next action.
- **Admitted** – This encounter has been admitted to an authorized or registered service.
- **Pending Appeal** – The encounter has gone through an initial authorization request, been denied, and is waiting the next action of the user.
- **Appeal Denied** – The encounter has been denied an authorization upon appeal.
- **Waitlisted** – The encounter has been added to the agency/service waitlist and is awaiting further action.
- **Authorized** – The encounter has been approved through the authorization process and is waiting for the user to click Admit for Authorized Service and complete the Admit Consumer to Service window.
WARNING: Clicking on any other button breaks the chain of events, and the authorization will need to be attempted again.
- **Continuation of Care Review** – An encounter in the status of “Continuation of Care Review” requires the user to review/update all the consumer tabs and acknowledge that the consumer remains in service. Continuation of Care Reviews occur on a scheduled basis, depending on the service.
- **Continued Stay Review** – The service authorization period will or has expired, requiring a new authorization. Warnings for Continued Stay Review occur ahead of the expiration of the previous authorization.
- **CSR Pending Appeal** – Similar to Pending Appeal, the encounter re-authorization was denied.
- **CSR Appeal Requested** – A reauthorization request was denied, and the user is now appealing the automated decision.
- **CSR Authorized** - An encounter approved through the authorization process and awaiting the user to click the Admit for Authorized Service button.
WARNING: Clicking on any other button breaks the chain of events, and the authorization will need to be attempted again.
- **Removed** - Removed encounters are not included in calculations for data tables.
- **Not Admitted** – Encounters showing Not Admitted are included in counts for certain data tables.
- **Discharge** – The encounter has been discharged from the service. Users cannot make any changes to a discharged encounter. Any changes need to be requested via the Report a Data Issue button.

The Status Tab can contain two additional green action buttons: Copy Encounter and Report a Data Issue.

Manage Encounter (422180)

Status

Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel Print

Copy Encounter Report a Data Issue

Consumer

Current State New

Name Really Hurry

Consumer ID 935953965

SSN xxx-xx-5555

Demographics

Date of Birth 9/5/2000

Service Provider AAA

Health Status

Funding Region Region 1

Trauma History

Service to be Provided Assertive Community Treatment - MH

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Copy Encounter

Makes a copy of an encounter. A new encounter number is assigned, and the user can change the Provider, Funding Region, and Service to be Provided.

Report a Data Issue

This is a secure method to report needed changes to records and/or data elements that cannot be changed by the user.

Update History

This table will show the date of any action on the encounter. Any time a Save is performed, the table is updated. Elements of the table include:

- Update Date –the date and time of the event.
- State – what state the update represents.
- Event – what was done to the Encounter.
- Updated By – the user ID of the person making changes. If you are at an agency that has multiple individuals using the same encounters for different activities, use the Update History to see who changed what.
- View Details – a button, when pressed, shows a summary of the changes made.

Consumer (Left Index Tab)

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Manage Encounter (422180)

Status: Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel Print

Consumer

Name (First, Middle, Last): Really Hurry

Name Suffix: Previous Last Name

Address:

City / State / Zip: NE

SSN: 555-55-5555

Birth Date: 9/5/2000

County of Residence: -- Select --

County of Admission: -- Select --

Is Relative or Significant Other of Primary Client: ☐

Phone Number: Type: -- Select --

Email Address:

Referral Source: -- Select --

Preferred Language: -- Select --

SSI/SSDI Eligibility: -- Select --

Medicaid/Medicare Eligibility: -- Select --

Health Insurance Type: -- Select --

Primary Income Source: -- Select --

Primary Funding Source: -- Select --

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

In the window for the Consumer tab, the information requested are PHI, please take every precaution to protect your screen from unauthorized persons.

Enter the relevant information in the textboxes, checkboxes, and select from the dropdown options for those shown with the red boxes in the image above.

Address information is important. Enter the most recent and accurate address information for the consumer. This field should always be kept current. Therefore, if this field is auto populated because the consumer is not new to the system, be sure to check with the consumer if the address information is still correct.

Dropdown Options:

Counties

There are 93 counties in Nebraska. Counties are listed in alphabetical order. Please note that a consumer's County of Residence may be different from the County of Admission.

Phone Number and Type

Phone Number		Type	-- Select --
Email Address			-- Select --
Referral Source	-- Select --		Land Line
Preferred Language	-- Select --		No Phone
			Pay by Minute Cell Phone
			Unlimited Subscription Cell Phone
			Unknown

Phone Number – If a consumer has a phone number where they can be reached consistently (not the Library or a Café they might visit), then please enter that number and indicate the type from the dropdown options.

If a consumer does not have a phone number, skip the Phone Number box and indicate “No Phone” in the dropdowns for Type. If it is unknown whether the consumer has a phone, select “Unknown” for Type.

Referral Source

This tab has vital information that are tracked for State and Federal reports.

The screenshot shows the 'Manage Encounter (422180)' form. The left sidebar has a 'Consumer' tab selected. The main form area has a 'Referral Source' dropdown menu open, displaying a list of options. The options include: 'Self (e.g. Self/Internet/Yellow Pages)', 'Community: Community/Social Services Agency', 'Community: Employer or Employee Assistance Program (EAP)', 'Community: Family or Friend', 'Community: Homeless Shelter', 'Community: Nebraska Family Helpline', 'Community: Nebraska Vocational Rehabilitation', 'Community: School', 'Community: Self-Help Group', 'Community: Tribal Elder or Official', 'Emergency/Crisis MH Services', 'Emergency/Crisis SUD Services', 'Justice System: Law Enforcement Agency (e.g. Police/Sheriff/Highway Patrol)', 'Justice System: Corrections', 'Justice System: Court Order', 'Justice System: Court Referral', 'Justice System: Defense Attorney', 'Justice System: Drug Court', 'Justice System: Mental Health Court', 'Justice System: Parole', 'Justice System: Pre-trial Diversion', 'Justice System: Probation', 'Justice System: Prosecutor', 'MH Commitment Board', 'PATH: Projects for Assistance in Transition from Homelessness', 'Provider: MH Services Provider', 'Provider: SUD Services Provider', 'Provider: Medical/Health Care Provider', 'Provider: Transfer Inter Agency', 'Regional Behavioral Health Authority', 'Regional Center/Psychiatric Hospital', 'Other', and 'Unknown'. The 'Unknown' option is highlighted at the bottom of the list.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Preferred Language

Manage Encounter (422180)

Status: Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel

Consumer

Name (First, Middle, Last): Really Hurry

Name Suffix: Previous Last Name:

Address:

City / State / Zip:

SSN:

Birth Date:

County of Residence:

County of Admission:

Is Relative or Significant Other:

Phone Number:

Email Address:

Referral Source:

Preferred Language: -- Select --

SSI/SSDI Eligibility: -- Select --

Medicaid/Medicare Eligibility: -- Select --

Health Insurance Type: -- Select --

Primary Income Source: -- Select --

Primary Funding Source: -- Select --

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Other relevant fields with dropdown options include:

Preferred Language: -- Select --

SSI/SSDI Eligibility: -- Select --

Medicaid/Medicare Eligibility: -- Select --

Health Insurance Type: Determined to be Ineligible -N/A
Eligible/Not Received Benefit
Eligible/Receive Payments
Potentially Eligible
Unknown

Primary Income Source: -- Select --

Primary Funding Source: -- Select --

SSI/SSDI Eligibility

SSI/SSDI Eligibility: -- Select --

Medicaid/Medicare Eligibility: -- Select --

Health Insurance Type: Determined to be Ineligible -N/A
Eligible Not Receiving Benefits
Eligible Receiving Payments
Potentially Eligible
Unknown

Primary Income Source: -- Select --

Primary Funding Source: -- Select --

Medicaid/Medicare Eligibility

Health Insurance

Health Insurance Type	-- Select --
Primary Income Source	-- Select --
Primary Funding Source	No Insurance
	Child Welfare
	HMO
	Indian Health Services
	Medicaid
	Medicare
	PPO
	Private Self Paid
	Veterans Administration
	Other Direct Federal
	Other Direct State
	Other Insurance
	Unknown

Demographics (Left Index Tab)

The Demographics tab has vital information that are tracked for State and Federal reports and all fields or variables require attention to details for accuracy and completeness.

Division of Behavioral Health - Centralized Data System

Good Life. Great Mission.
DEPT. OF HEALTH AND HUMAN SERVICES

Manage Encounter (422180)

Home + Search Demographics Health Status Trauma History 8 Diagnosis Substance Use Questionnaire Reviews Notes

Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel Print

Priority Population -- Select --

Gender -- Select --

Disability Code

☐ Blindness or Severe Impairment ☐ Deaf or Hard of Hearing

☐ Developmental Disabilities ☐ Non-use/Amputation of Limb

☐ Non-Ambulation ☐ None

Education Level -- Select --

Employment Status -- Select --

Race (Select all that apply)

☐ American Indian/Alaska Native

☐ Asian

☐ Black/African American

☐ Native Hawaiian/Other Pacific Islander

☐ White

☐ Other

Ethnicity -- Select --

Is US Citizen ☒

Is Veteran ☐

Social Supports -- Select --

Legal Status -- Select --

Mental Health Board Date / /

Commitment Date / /

County of Commitment -- Select --

Num Arrests in Past 30 Days

Living Arrangements -- Select --

Marital Status -- Select --

Annual Taxable Household Income ,000

Num Dependents

Please note: This example was created in the CDS Test Site. This example does not contain any genuine PHI.

The following are data associated with National Outcome Measures (NOMs) and nationally tracked statistics used in both State and Federal reporting. Please remember to make your best efforts into collecting this important information.

- Priority Population
- Disability (checkboxes)
- Gender
- Education Level
- Employment Status
- Race (checkboxes)
- Ethnicity
- Veteran Status (checkbox)

Legal Status (including MHB Status and Arrests)
Living Arrangements

MH Priority Groups: 1st – MHB Discharged from Regional Center, 2nd – MHB
Inpatient Commitment, 3rd – MHB Outpatient Commitment
SUD Priority Groups: 1st – Pregnant IV Drug User, 2nd – Pregnant Drug User, 3rd –
IV Drug User, 4th – Woman with Dependent Children

Chapter 8: Edit Encounter

Editing the Encounter

Prior to discharge, the user can change some information for an encounter without having to use Report a Data Issue. However, the user will have to use Report a Data Issue if they want to change the below fields:

- Admission Date
- Social Security Number
- Date of Birth

All other data elements are under the control of the user until Discharge.

Field	Value
Current State	Continuation of Care Review
Name	LEGARE MAILLOX
Consumer ID	000052746
SSN	xxx-xx-2746
Date of Birth	2/26/1990
Service Provider	Region II Human Services - Lexington
Funding Region	Region 2
Service to be Provided	Outpatient Psychotherapy - SUD
Admission Date	7/31/2017 12:00 AM

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Once discharged, an encounter is locked, and any changes require the user to select the green Report a Data Issue button. The Report a Data Issue button is located on the Manage Encounter window on the same row as Current State.

Chapter 9: Add or Remove from Waitlist

Waitlist Overview

The waitlist is used to document when a consumer who has been assessed/evaluated as needing the level of care provided by the agency, must wait for admission due to lack of capacity available or consumer needs. The waitlist and consumers on the waitlist are continuously monitored by the agency/location as identified in the agency policies and procedures.

The DBH and Regions require all agencies receiving funds for specific services from the DBH to maintain a waitlist using CDS. All consumers waiting for the designated levels of care are to be included on the agency/location waitlist regardless of anticipated payer source (private insurance, Medicaid, Medicare, voucher, etc.).

Purpose of Treatment Waitlist Management

- To reduce wait time and ensure consumers receive access to services;
- To ensure compliance with State and Federal requirements on the placement of priority populations into treatment services, including the provision of Federal interim services;
- To place consumers into the appropriate recommended treatment services as soon as possible; and
- To provide information necessary in planning, coordinating, and allocating resources.

Waitlist management involves data collection to help identify specific categories of consumers meeting specific priorities while waiting for treatment, and it identifies available network treatment services/facilities for these consumers.

State and Federal laws require the State of Nebraska to collect and maintain waitlist data. For more information on this, please see the last page of this chapter.

Services Requiring Use of Waitlist

Specific services requiring waitlist data entry *as of April 2018* include:

MENTAL HEALTH SERVICES

ACT (Assertive Community Treatment – MH)
Community Support – MH
Day Treatment – MH
Mental Health Respite – MH

Professional Partner – MH
Psychiatric Residential Rehabilitation – MH
Secure Residential – MH
Supported Employment – MH
Supported Housing – MH **

SUBSTANCE USE DISORDER SERVICES

Community Support – SUD
Halfway House – SUD
IOP (Intensive Outpatient / Adult – SUD)
Intermediate Residential – SUD
Short Term Residential – SUD
Supported Employment – SUD
Supported Housing – SUD**
Therapeutic Community – SUD

DUAL DISORDER SERVICES

Dual Disorder Residential – MH
Dual Disorder Residential – SUD

Please note that special instructions for Supported Housing are contained elsewhere within the **CDS System Documentation and Training section of the CDS.

Adding a Consumer to the Waitlist

The following terms pertain to the process for adding consumers to your agency's waitlist.

Create the Encounter

You start by creating an encounter (see [Chapter 6](#)).

Consumer Protected Health Information (PHI)

Consumer PHI: DBH and Regions must gather information on all consumers ***waiting for admission*** to the services listed above, regardless of payer. This information is protected by HIPAA, and PHI will never be released to any other party.

Funding Source with Release of Information File

For DBH-funded Consumers and/or Consumers with Alternative Funding and a Release of Information on File, select Add Encounter and enter as much as possible of the following consumer information: Consumer first and last name, date of birth (DOB), Social Security Number (SSN). If any of these are not known, leave the field blank.

Click Search.

- If the search results in a match, the screen will show a list of consumers in the system from your office or agency that meet your search criteria. Click Select beside the appropriate consumer encounter. The Create New Encounter screen will pop up with some of the fields already completed. Change Provider Location, Region, and/or Service dropdown fields as appropriate, then click Create to initiate a new encounter.
- Remember, creating an encounter does not admit the consumer to service. It simply allows the provider to add the consumer to the agency's waitlist.
- If there is no match, and the consumer is funded in whole or part by DBH or a release of information has been obtained, click Create New Patient Record and finish completing the encounter fields for Provider Location, Region, and Service to be provided.

For Consumers Not Funded by DBH and No Release of Information on File

If the consumer will not be funded by DBH/Regions, you may choose to create an alternative identifier using the following instructions:

- First name – place four x's (XXXX).
- Last name – place four x's followed by "f" if female, "m" if male, or "u" unknown. (XXXXf, XXXXm, XXXXu)
- Date of Birth – enter Waitlist/Service Confirmation month and day with consumer birth year (if 90 or older use "1901" for year).
- SSN, Zip Code and Gender – can be left blank.

An example: Bobbie Buzzard was born in 1947, has insurance, and is not eligible for DBH/Region funding. She was confirmed as appropriate for service and began waiting on 9-27-2018.

First Name: XXXX

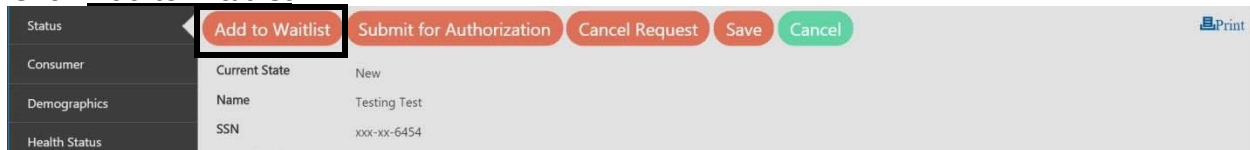
Last Name: XXXXf

Date of Birth: 09/27/1947

After completing, you will be able to add the consumer to the waitlist.

Remember to always click on Save before moving on to other encounters or exiting the CDS system, so you don't lose data entered.

Click Add to Waitlist

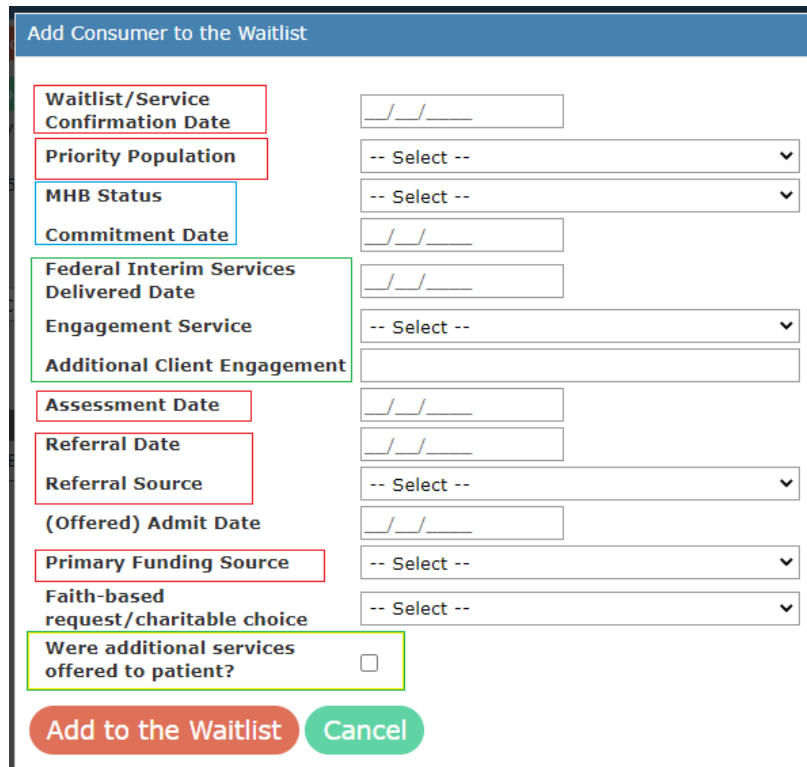


The screenshot shows a software interface with a sidebar on the left containing menu items: Status, Consumer, Demographics, and Health Status. The main area displays a form with fields for 'Current State' (set to 'New'), 'Name' (set to 'Testing Test'), and 'SSN' (set to 'xxx-xx-6454'). At the top of the form, there is a row of buttons: 'Add to Waitlist' (highlighted with a red box), 'Submit for Authorization', 'Cancel Request', 'Save', and 'Cancel'. A 'Print' icon is visible in the top right corner of the form area.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

This will result in a pop-up window titled Add Consumer to the Waitlist.

Waitlist Information Window



Add Consumer to the Waitlist

Waitlist/Service Confirmation Date

Priority Population

MHB Status

Commitment Date

Federal Interim Services Delivered Date

Engagement Service

Additional Client Engagement

Assessment Date

Referral Date

Referral Source

(Offered) Admit Date

Primary Funding Source

Faith-based request/charitable choice

Were additional services offered to patient? ☐

Add to the Waitlist **Cancel**

Waitlist/Service Confirmation Date

It is important to input this data, it is tracked for both State and Federal reporting purposes.

A Waitlist/Service Confirmation Date should be entered **AFTER** these three events:

1. The necessary assessments for a service have taken place.
2. The appropriateness of service has been established.
3. The consumer has directly stated the intent to be admitted for the service.

The Waitlist/Service Confirmation Date is used to measure wait times across services and providers. This is the date in which wait time for service entry begins (**waitlist** = *prior to admission*), OR, if no wait was needed, this is the date in which service appropriateness was confirmed (**service confirmation** = *can be the same date as admission date*).

For a consumer to be considered as *Waiting for Service* or *on the Waitlist*, providers must enter the Waitlist/Service Confirmation Date.

Incarcerated Persons (IMPORTANT)

- If the consumer is incarcerated, the provider must also ensure that the consumer's expected release date is within two weeks before entering a Waitlist/Service Confirmation Date.
- If an incarcerated consumer's release date is more than 2 weeks in the future OR the release date is not known, enter the date the consumer was referred for service in the Referral Date field.

Complete other date fields as applicable:

Priority Population

There are priority populations for admission to Mental Health and to Substance Use Disorder treatment services and programs. A combination of the service type and field selections determines the consumer's priority level.

MH Priority Populations (ranked from highest priority)

If consumer is waiting for admission to a Mental Health Service:
1 st – MHB Discharged from Regional Center
2 nd – MHB Inpatient Commitment
3 rd – MHB Outpatient Commitment

SUD Priority Populations (ranked from highest priority)

If consumer is waiting for admission to a Substance Use Disorder Service:
1 st – Pregnant IV Drug User
2 nd – Pregnant Drug User
3 rd – IV Drug User
4 th – Woman With Dependent Children

Mental Health Board (MHB): Status & Commitment Date

This is measure that is tracked for official reports.

The integrity of the data in the CDS is dependent upon users to take the time and attention necessary for accuracy and completeness when entering information about each consumer and every encounter.

MHB Status requires users to select an appropriate option from the dropdown menu. Although “Unknown Type” is an option, it is important to try to ascertain the consumer’s correct status.

The image displays two versions of the "Add Consumer to the Waitlist" form. The left version shows the "MHB Status" dropdown menu open, revealing options such as "No MHB Commitment", "MHB Commitment - IP", "MHB Commitment - OP", "MHB Commitment - Unknown", "MHB Discharged", "Discharge With No Hold", "90-Day Suspension", "Transfer Prior to Legal Disposition", and "Unknown Type". The right version shows the "Commitment Date" field highlighted with a red box, indicating it is a required field when a commitment is made.

Commitment Date refers specifically to the date of the consumer’s MHB commitment. Leave this date blank if “No MHB Commitment” was selected for MHB Status.

Interim and Engagement

Interim Services or Interim Substance Abuse Services are services that are provided until a consumer is admitted to a substance abuse treatment program.

Add Consumer to the Waitlist

Waitlist/Service Confirmation Date	
Priority Population	-- Select --
MHB Status	-- Select --
Commitment Date	
Federal Interim Services Delivered Date	
Engagement Service	-- Select --
Additional Client Engagement	
Assessment Date	
Referral Date	
Referral Source	-- Select --
(Offered) Admit Date	
Primary Funding Source	-- Select --
Faith-based request/charitable choice	-- Select --
Were additional services offered to patient?	☐

Add to the Waitlist **Cancel**

The purposes of the services are to reduce the adverse health effects of such abuse, promote the health of the consumer, and reduce the risk of transmission of disease.

At a minimum, interim services include counseling and education about HIV and tuberculosis (TB), about the risks of needle-sharing, the risks of transmission to sexual partners and infants, and about steps that can be taken to ensure that HIV and TB transmission does not occur, as well as referral for HIV or TB treatment services if necessary.

Federal Interim Services Delivered Date – Enter the date that the interim service was performed.

For pregnant women, interim services also include counseling on the effects of alcohol and drug use on the fetus, as well as referral for prenatal care.

Interim services for IV users must include counseling and education about:

- IV and TB.
 - Interim services must also include *referrals* for HIV and TB services, if necessary.
- The risks of needle sharing.
- The risks of transmission to sexual partners and the fetus.
- Steps that can be taken to ensure that HIV transmission does not occur.

Interim services may also include federally authorized methadone maintenance.

Add Consumer to the Waitlist

Waitlist/Service Confirmation Date	
Priority Population	-- Select --
MHB Status	-- Select --
Commitment Date	
Federal Interim Services Delivered Date	
Engagement Service	-- Select --
Additional Client Engagement	-- Select -- - Emergency Community Support - Inpatient (EPC/Acute/Subacute/IPPC) - Outpatient - Peer Support - Recovery Support - Secure Residential - Short-Term Residential - Social Setting Detox - Not Applicable - Other - Unknown
Assessment Date	
Referral Date	
Referral Source	
(Offered) Admit Date	
Primary Funding Source	
Faith-based request/charitable choice	
Were additional services offered to patient?	☐

Engagement Service – The service that the consumer will receive while he/she is waiting for admission. The type of engagement service offered can be selected from the dropdown menu.

Additional Client Engagement – if there were additional engagement services (not selected above), please list those services in the text box.

If additional services were offered to the consumer, please indicate so in the checkbox at the bottom as well.

Assessment and Referral

Assessment Date – This is the date of the assessment(s) that indicated that this consumer requires this level of care defined for this service.

Referral Date – Date of the referral to service (which could reflect intent if given by someone other than the consumer).

when to complete:

- When someone other than the consumer contacts the provider about admitting the consumer for service
- When a consumer is incarcerated at the time he/she was referred for service.

For incarcerated consumers, a Referral Date (rather than the Waitlist / Service Confirmation Date) should be used if a release date has not yet been confirmed or is more than 2 weeks out.

Referral Source – Choose the type of service provider or entity referring this consumer to the agency from the drop-down menu.

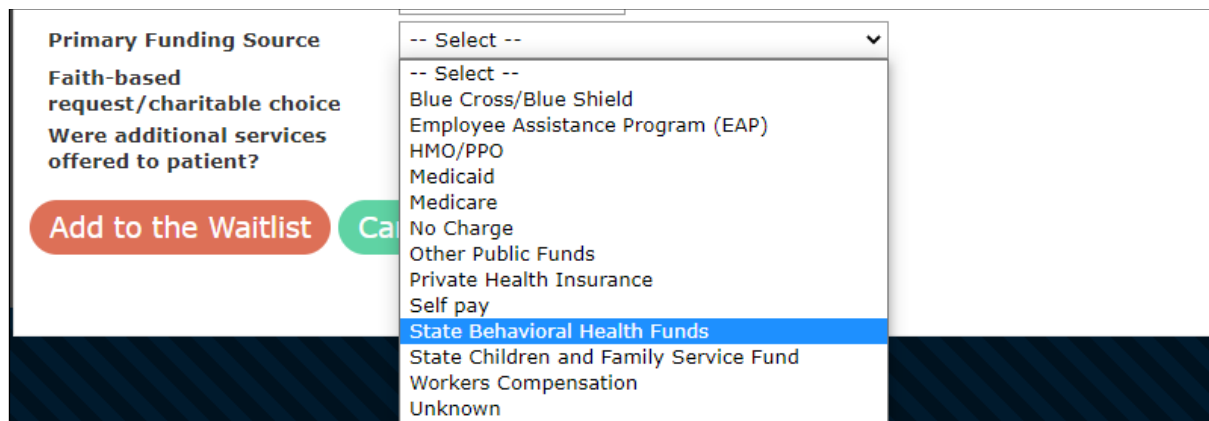
NAME - SOURCE - FLEXIBLE LAST NAME
-- Select --
Self (e.g. Self/Internet/Yellow Pages)
Community: Community/Social Services Agency
Community: Employer or Employee Assistance Program (EAP)
Community: Family or Friend
Community: Homeless Shelter
Community: Nebraska Family Helpline
Community: Nebraska Vocational Rehabilitation
Community: School
Community: Self-Help Group
Community: Tribal Elder or Official
Emergency/Crisis MH Services
Emergency/Crisis SUD Services
Justice System: Law Enforcement Agency (e.g. Police/Sheriff/Highway Patrol)
Justice System: Corrections
Justice System: Court Order
Justice System: Court Referral
Justice System: Defense Attorney
Justice System: Drug Court
Justice System: Mental Health Court
Justice System: Parole
Justice System: Pre-trial Diversion
Justice System: Probation
Justice System: Prosecutor
MH Commitment Board
PATH: Projects for Assistance in Transition from Homelessness
Provider: MH Services Provider
Provider: SUD Services Provider
Provider: Medical/Health Care Provider
Provider: Transfer Inter Agency
Regional Behavioral Health Authority
Regional Center/Psychiatric Hospital
Other
Unknown

Expected Admit Date

This is the projected date that the consumer is to be admitted to the service.

Primary Funding Source

Select from the drop-down menu:



Primary Funding Source

Faith-based request/charitable choice

Were additional services offered to patient?

Add to the Waitlist **Cancel**

-- Select --

- Select --
- Blue Cross/Blue Shield
- Employee Assistance Program (EAP)
- HMO/PPO
- Medicaid
- Medicare
- No Charge
- Other Public Funds
- Private Health Insurance
- Self pay
- State Behavioral Health Funds**
- State Children and Family Service Fund
- Workers Compensation
- Unknown

If funding is uncertain, and the service being requested requires an authorization, the authorization must be obtained at or prior to admission. Once funding is determined, the encounter can be admitted with a current admission date OR removed/not admitted.

An authorization is not needed to waitlist a consumer but must be obtained before the consumer can be admitted.



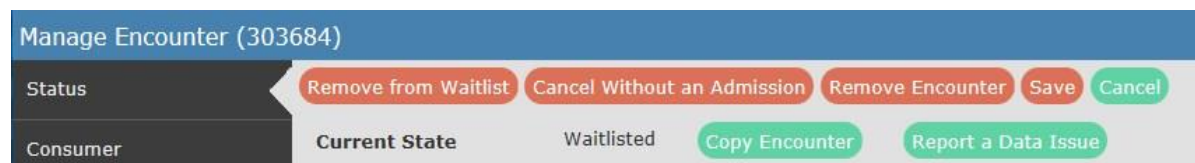
Add to the Waitlist **Cancel**

Click Add to the Waitlist (orange action button) to add the encounter to waitlist.

If you do NOT want to add the encounter to the waitlist, click Cancel. No information will be saved, and you will return to the previous screen.

Removing a Consumer from Waitlist

Click Remove from Waitlist on the Manage Encounter status tab.



Manage Encounter (303684)

Status

Remove from Waitlist Cancel Without an Admission Remove Encounter Save Cancel

Consumer

Current State Waitlisted Copy Encounter Report a Data Issue

The Remove Consumer from the Waitlist window will open. Complete each of the fields.

Remove Consumer from the Waitlist

Waitlist Removal Date

3/16/2020

Waitlist Removal Reason

-- Select --

MHB Status

Unknown Type

Commitment Date

__/__/__

Service Provider

Region 6 Behavioral Healthcare

Additional Notes

Remove from the Waitlist

Cancel

Please note: This example was created in the CDS Test Site. This example does not contain any genuine PHI.

Waitlist Removal Date

Date of the removal of the consumer from the waitlist. Always complete this field with the day that the decision was made to remove the consumer from the waitlist, because of either an admission, consumer choice, or other removal reason.

Waitlist Removal Reason

Select the reason for consumer's removal from the waitlist. Below are descriptions for each option:

Waitlist Removal Reason	-- Select --
	Admitted to Program
	Admitted to Program - Other Funding
	Admitted to Other Program
	Cannot be Located
	Refused Treatment
	Succeeding at a Lower Level of Care
	Requires a Higher Level of Care
	Deceased
	Incarcerated
No longer qualifies for program	

- **Admitted to Program** – the consumer was admitted to the service as described in the initial service to be provided for this encounter.
- **Admitted to Program – Other Funding** – the consumer has been admitted to the program, but funds other than Behavioral Health funds were used.
- **Admitted to Other Program** – the consumer has been admitted to another program, and this encounter is being cancelled without an admission.
- **Cannot be Located** – after several attempts, the agency is not able to locate the consumer, and is closing the encounter.

- **Refused Treatment** – the consumer has declined to participate in the service listed, and the encounter is being cancelled without an admission, or the encounter is being removed.
- **Succeeding at a Lower Level of Care** – the consumer has participated in another less-intense level of care and is doing well. The encounter can be removed or cancelled without an admission.
- **Requires a Higher Level of Care** – after further assessing the consumer’s situation, agency staff determine that a higher level of care is required. This encounter can be removed or canceled without an admission.
- **Deceased** – the consumer has died.
- **Incarcerated** – the consumer is in a lockup facility and will not be available for the service over an extended period of time. The record can be removed or cancelled without an admission.
- **No longer qualifies for program** – the consumer is not qualified for the program because of changing conditions, either programmatically or financially. The encounter can be cancelled without an admission.

If the reason selected is one of the “admitted to program” choices, the Consumer still needs to be admitted to the program.

MHB Status – Select the appropriate response or update if necessary.

Commitment Date – Date on which a Mental Health Board ordered a commitment (if applicable) or needs updating.

Service Provider – This should automatically pull into this menu from the encounter, but this pull-down menu can be used to change the provider location.

Additional Notes – Space for additional notes regarding this encounter. This is a free form text box used to notate special circumstances for the record.

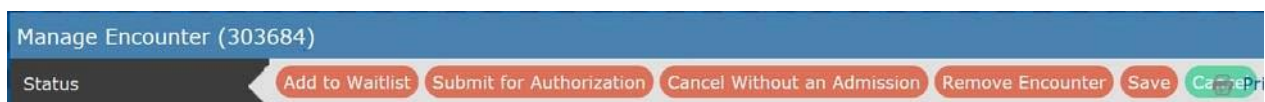
Remove from the Waitlist –To complete the removal, click Remove from the Waitlist and you will be taken to the Manage Encounter screen.



What to do after Removing Encounter from Waitlist

After removing an encounter from the waitlist, you must decide what to do with the encounter by clicking one of the buttons at the top of the Manage Encounter screen.

Unless you intend to add the encounter back to the waitlist, the button you click should match the Waitlist Removal Reason you selected on the Remove Consumer from the Waitlist window.



[Add to Waitlist](#) – Returns the encounter to the waitlist; complete new waitlist information.

Authorized / Registered Services

[Submit for Authorization \(only appears if service is an authorized services\)](#) – Requests an approval for authorization to admit the consumer to a service. See the **Authorization and Appeals** section of this manual for more details on Authorized Services.

[Admit to Registered Service \(only appears if service is a registered service\)](#) – Encounter is ready for admission to service. You will be taken to the Admission window where additional consumer information is required for entry to the service.

[Cancel Without an Admission](#)

The encounter is NOT removed from CDS, but it will be cancelled without admission to any program within CDS. Examples of this include, but are not limited to: when alternative funding such as private insurance or Medicaid will be used to pay for services, cases where a consumer has been admitted to a different provider program, and cases when a consumer cannot be located or is unable to admit to program for other reasons.

[Remove Encounter](#)

Completely removes the encounter from CDS. This would be used in cases where information is entered in error and needs to be completely removed from CDS.

State and Federal Requirements to Collect and Maintain Waitlist Data

State Level – Per NAC206, the Division of Behavioral Health (DBH) and Regional Behavioral Health Authorities (RBHA) are required to monitor, review, and perform programmatic, administrative, quality improvement and fiscal accountability, and oversight functions on a regular basis with all subcontractors.

Both entities are required to review to promote an appropriate array of services/continuum of care within the state and the region. This includes gathering and maintaining waitlist and capacity data, which should be continuously reviewed to determine the State and RBHA's continued capacity for providing an appropriate array of services/continuum of care.

Federal Level – In addition, the Federal Substance Abuse Block Grant regulations (45 CFR Part 96) require that each state develop a process to report treatment capacity and waitlist information, ensure the maintenance of reporting, and to make that information available.

Chapter 10: Discharge Encounter

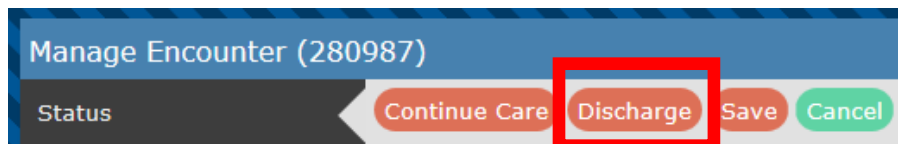
General Discharge Information

To discharge an encounter, begin with a review of the Consumer tabs. Update information for each variable as necessary. The Substance Use tab has an added discharge feature for the frequency of use of the selected substances, as known at the time of discharge from service. While making updates, click the Save button on each tab. In performing these reviews, the end user will also need to update fields related to the National Outcome Measures (NOMs).

Discharge may occur because of several reasons, including but not limited to: change in funding source, improvement at this level of care, consumer has chosen not to continue services, or a case of an inactive encounter. Once Consumer tabs are updated, click on the Discharge button to get to the final Discharge window.

Inactive encounters

Encounters that are inactive for a period of **90 days** or more must be discharged from the system. The only exception is *Medication Management* encounters. *Medication Management* encounters that are inactive for more than **180 days** should be discharged.



First Part of Final Discharge Window: "Discharge Consumer and Close the Encounter"

Discharge Date

The date the discharge from service occurred.

You cannot discharge in the future but can discharge up to ninety (90) days back from the current date.

Discharge Consumer and Close the Encounter

Discharge Date

Last Contact Date

Discharge Type

-- Select --

Discharge Referral

-- Select --

Destination After Discharge*

-- Select --

Num Arrests in Past 30 Days*

0

PCP Last Seen

> 12 months

DDS Last Seen

-- Select --

Legal Status*

Voluntary

Social Supports*

Some attendance in past month

MHB Status

-- Select --

Commitment Date

Education Level*

2nd Year of College or Associate Degree

Employment Status*

Unemployed - Laid Off/Looking

Living Arrangements*

Private Residence Receiving Support

Section 8 Status

-- Select --

Any suspected trauma history?*

Yes

Medication Prescribed at Discharge?

☐

Is Medication Compliant?

☐

Has Attempted Suicide 30 Days?

No

*

By clicking "Process Discharge" you agree that you have made all updates necessary to each field in this encounter for this individual. The system keeps an admission record separate from any quarterly updates or discharge record enabling the ability to view progress made in this encounter. Your agreement verifies the information has been updated since admission, if applicable, and is accurate to the best of your knowledge.

Process Discharge

Cancel

Discharges older than ninety (90) days will need to be requested through the [Report a Data Issue](#) button. (See [Chapter 1](#) for more information)

Last Contact Date

Date of last contact with the consumer. See **Definitions** section for more information.

Discharge Type

Select from the list of discharge types. Refer to the table below for a description of each available discharge type. Additional information is also available in the **Definitions** section of this manual.

Discharge Type	-- Select --
	Treatment Completed Seen For Assessment Only/One-Time Contact Aged Out (Youth) Change in Funding Death - Not Suicide Death - Suicide Completed Declined Additional Treatment Did Not Show For First Appointment Incarcerated Left Against Professional Advice (Drop Out) Terminated by Facility Transferred To Different Location - Same Agency Transferred to Another Service Transferred to Other MH Tx program Transferred To Other MH Tx Program - Did Not Report Transferred To Other SUD Tx Program Transferred To Other SUD Tx Program - Did Not Report Transferred to Assisted Living Facility Other Administrative Discharge Unknown

Discharge Types Available to Community-Based Providers

Treatment Completed: The consumer and program staff agree that the consumer has made sufficient recovery such that the consumer no longer meets the continued stay requirements.	Seen for Assessment Only/One-Time Contact: One or more contacts specifically for an assessment.
Aged out (youth): Consumers between 17 and 19 years who because of age/maturity have been admitted to adult services.	Change in Funding: Consumer's insurance or Medicaid status changes such that they no longer qualify for NBHS funds.
Death – Not Suicide	Death - Suicide Completed
Declined Additional Treatment: The consumer, meeting with staff has chosen to discontinue treatment although they may have met continued stay criteria.	Did Not Show For First Appointment
Incarcerated: Consumers with whom the agency no longer has contact and it is known they were sent to prison or jailed or are on house confinement for offences.	Left Against Professional Advice (Drop Out): Consumer did not come back to appointments/residence and has not spoken to staff.
Terminated by Facility: This differs from an Administrative DC in that the program participant violated rules sufficient to jeopardize the	Transferred to Different Location - Same Agency: Consumer transferred from one location operated by an agency to another. No change in service, just location.

safety/recovery of others in the program.	
Transferred to Another Service: Within an agency, the consumer required a different service.	Transferred to Another MH Tx program – and Did Report: Consumer was transferred to another mental health treatment program, provider or facility, and reported or it is not known whether consumer reported
Transferred to Another MH Tx program – and Did Not Report: Consumer was transferred to another mental health treatment program, provider or facility, and it is known that consumer did not report.	Transferred to other SUD Tx program – Did Report: Consumer was transferred to another substance abuse treatment program, provider or facility, and reported or it is not known whether consumer reported
Transferred to other SUD Tx program - Did not Report: Consumer was transferred to another substance abuse treatment program, provider or facility, and it is known that consumer did not report.	Transferred to Assisted Living Facility: The consumer has been accepted to an Assisted Living Facility.
Other: E.g. moved, illness, hospitalization, or other reasons somewhat out of consumer's control.	Administrative DC: Actions of an agency to discharge a consumer and having no record of the consumer's intent to discharge, or certain cases where contact has been lost.
Unknown: Consumer status at discharge is not known because, for example, discharge record is lost or incomplete. DO NOT use this category for consumers who drop out of treatment, whether reason for drop-out is known or unknown.	

Discharge Referral – Select from the available drop-down menu. The choices are broad generalities of community resources that a consumer has available to continue recovery.

Discharge Referral	-- Select --
	Self (e.g. Self/ Internet/Yellow Pages)
	Community: Community/Social Services Agency
	Community: Employer or Employee Assistance Program (EAP)
	Community: Family or Friend
	Community: Homeless Shelter
	Community: Nebraska Vocational Rehabilitation
	Community: School
	Community: Self-Help Group
	Community: Tribal Elder or Official
	Deceased - Not Suicide
	Deceased - Suicide
	Emergency/Crisis MH Services
	Emergency/Crisis SUD Services
	Justice System: Pre-trial Diversion
	Justice System: Corrections
	Justice System: Court Order
	Justice System: Court Referral
	Justice System: Defense Attorney
	Justice System: Drug Court
	Justice System: Law Enforcement Agency (e.g. Police/Sheriff/Highway Patrol)
	Justice System: Mental Health Court
	Justice System: Parole
	Justice System: Probation
	Justice System: Prosecutor
	MH Commitment Board
	Provider: Medical/Health Care Provider
	Provider: MH Services Provider
	Provider: SUD Services Provider
	Provider: Transfer Inter Agency
	Regional Center/State Psychiatric Hospital
	No Referral Made
	Other
	Unknown

Destination After Discharge – Select from the available choices in the drop-down menu.

Destination After Discharge	-- Select --
	HOME - No Further Services
	MH Outpatient
	MH Inpatient - Voluntary
	MH Inpatient - Involuntary
	MH Inpatient - Unknown if Voluntary/Involuntary
	MH Residential
	SUD Outpatient
	SUD Intensive Residential (Therapeutic Community)
	SUD Residential (Halfway House)
	SUD Short Term Residential
	Assisted Living Facility
	Hastings Regional Center
	Lincoln Regional Center
	Norfolk Regional Center
	Jail
	Medical
	Other
	Unknown

Num Arrests in Past 30 Days – enter the number of arrests that the consumer has had in the past thirty (30) days.

Num Arrests in Past 30 Days	0
------------------------------------	--------------------------------

Primary Care Physician (PCP) Last Seen – May include any physical health care screening or evaluation at a health clinic by a qualified clinician. Select from the available times in the drop-down menu.

PCP Last Seen	-- Select -- < 1 month 1-6 months 6-12 months > 12 months Unknown
----------------------	--

DDS (Dentist) Last Seen – May include any evaluation of diseases of the mouth, gums or teeth by a qualified clinician. Select from the available times in the drop-down menu.

DDS Last Seen	-- Select -- < 1 month 1-6 months 6-12 months > 12 months Unknown
----------------------	--

Legal Status –The legal status of the consumer upon discharge from this encounter.

-- Select -- Civil Protective Custody (CPC) Court Order Court: Competency Evaluation Court: Juvenile Commitment Court: Juvenile Evaluation Court: Mentally disordered sex offender Court: Presentence Evaluation Emergency Protective Custody (EPC) Juvenile High Risk Offender MHB Commitment MHB Hold/Custody Warrant Not responsible by reason of insanity Parole Probation Voluntary Voluntary by Guardian Ward of the State Unknown

Social Supports –Select from the available choices in the drop-down box.

No Attendance in past month 1-3 times in past month 4-7 times in past month 8-15 times in past month 16-30 times in past month Some attendance in past month
--

Second Part of Final Discharge Screen

MHB Status	-- Select --
Commitment Date	__/__/__
Education Level	12 Years = GED
Employment Status	Employed Full Time (35+ Hrs)
Living Arrangements	Private Residence w/o Support
Section 8 Status	-- Select --
Any suspected trauma history?	Unknown

MHB Status – The status of the consumer at time of discharge, as related to mental health board commitments. Select from the available choices in the drop-down menu.

MHB Status	-- Select -- No MHB Commitment MHB Commitment - IP MHB Commitment - OP MHB Commitment - Unknown MHB Discharged Discharge With No Hold 90-Day Suspension Transfer Prior to Legal Disposition Unknown Type
-------------------	---

Commitment Date – Provide the commitment date from Mental Health Board records. If the consumer is not under a mental health board commitment, leave the date blank.

Commitment Date	__/__/__
------------------------	----------

Education Level – Select the level of education last completed by the consumer from the drop-down menu.

-- Select --
Less Than One Grade Completed or No Schooling
Nursery School, Preschool
Kindergarten
Grade 1
Grade 2
Grade 3
Grade 4
Grade 5
Grade 6
Grade 7
Grade 8
Grade 9
Grade 10
11 Years
12 Years = GED
1st Year of College or University
2nd Year of College or Associate Degree
3rd Year of College or University 4th Year
Bachelor's Degree
Some Graduate Study - Degree Not Completed
Post Graduate Study
Master's Degree
Doctorate Degree
Technical Trade School
Vocational School
Self-contained Special Education Class
Special Education Class
Unknown

Employment Status

Select from the drop-down menu the employment status of the consumer at the time of discharge.

Employment Status	-- Select --
	Active/Armed Forces (< 35 Hrs)
	Active/Armed Forces (35+ Hrs)
	Disabled
	Employed Full Time (35+ Hrs)
	Employed Part Time (< 35 Hrs)
	Homemaker
	Resident of Institution
	Retired
	Sheltered Workshop
	Student
	Unemployed - Laid Off/Looking
	Unemployed - Not Seeking
	Volunteer
	Unknown

Living Arrangements

Using the definitions in the **Definitions** section of the manual, select from the drop-down menu the living arrangement of the consumer at the time of discharge.

Living Arrangements	-- Select --
	Assisted Living Facility
	Child Living with Parents/Relative
	Child Residential Treatment
	Crisis Residential Care
	Foster Home
	Homeless
	Homeless Shelter
	Jail/Correction Facility
	Other 24 Hr Residential Care
	Other Institutional Setting
	Private Residence Receiving Support
	Private Residence w/Housing Assistance
	Private Residence w/o Support
	Regional Center
	Residential Treatment
Youth Living Independently	
Other	
Unknown	

Section 8 Status – Select from the drop-down menu the Section 8 Status of the consumer at the time.

Section 8 Status	-- Select --
	N/A
	Waiting List
	Ineligible
	High Need
	One-time
	Other
	Unknown

- **N/A** - The consumer does not have a local Public Housing Authority administering a Section 8 Voucher program.
- **Waiting List** - The consumer's name has been placed on the local Public Housing Authority's waiting list for Section 8 Voucher program assistance.
- **Ineligible** - The consumer is not eligible for HUD Section 8 Voucher assistance. There could be various reasons for ineligibility.
- **High Need** - The consumer has a high need for housing, faster than the local Public Housing Authority can process a Section 8 Voucher application. The consumer must still apply for the local Public Housing Authority Section 8 Voucher assistance while receiving Housing Assistance Program assistance.
- **One-Time** - The consumer is receiving a One-Time Payment that allows them to bridge to permanent housing or to avoid eviction from their living arrangement. And, the consumer does not need Housing Assistance Program assistance on an on-going basis.

- **Other** - This is not an approved selection for the Section 8 Status data element. The option “Other” is a legacy option that was carried forward in the Centralized Data System when it was developed and inadvertently retained when the “Unknown” option was added to capture missing data. This drop-down menu option will be removed in a future update to CDS.
- **Unknown**: This is not an approved selection for the Section 8 Status data element. It is the default category for Section 8 Status data element when a response is not entered.

Third Part of Final Discharge Screen

Any suspected trauma history?	Yes	▼
Medication Prescribed at Discharge?	☐	
Is Medication Compliant?	☐	
Has Attempted Suicide 30 Days?	No	▼

Any suspected trauma history? – This is a simple yes, no, or unknown selection. Trauma history should also be viewable in the Trauma History section of the encounter.

Medication Prescribed at Discharge? – Did your agency prescribe medication at discharge? If “Yes”, check the box.

Medication Compliant? – Is the consumer compliant with medication? If “Yes”, check the box.

Has Attempted Suicide 30 Days? – indicate if the consumer has attempted suicide in the last thirty (30) days.

There are variations on the discharge questions, based on the services provided.

For Youth:

Has Attempted Suicide 30 Days?	No	▼
School Absences	Absent 1 or Less Days per Month ▼	
Impact on School Attendance	N/A (at Admission) ▼	

Has Attempted Suicide 30 Days? – Indicate if the consumer has attempted suicide in the last thirty (30) days.

School Absences –Select from the drop-down list the number of days that the consumer was absent from school during the last thirty (30) days.

-- Select --

- Absent 2 or More Days per Week
- Absent 1 Day per Week
- Absent 1 Day Every 2 Weeks
- Absent 1 or Less Days per Month
- Home Schooled
- Not Enrolled
- Unknown

Impact on School Absences – This is an assessment of the impact of services on school absences. Select the statement that best describes the impact of services on school absences.

-- Select --

- Greater Attendance
- About the Same
- Less Attendance
- Does Not Apply-Expelled From School
- Does Not Apply-No Problem Before Service
- Does Not Apply-Too Young to be in School
- Does Not Apply-Dropped Out of School
- Does Not Apply-Home Schooled
- Does Not Apply-Other
- N/A (at Admission)
- No Response-(Unable to Assess)
- Unknown

Youth SUD Assessment Discharge – Discharges for youth substance use disorder assessment have added elements of the Comprehensive Adolescent Severity Inventory (CASI). Indicate the scores of the sections in the spaces provided. A zero (0) indicates that the inventory was not administered.

Assessment Recommended Service	OUTPATIENT TO START PERHAPS IOP
Waitlisted after Discharge?	☐
Casi Cutoff Score	0
Casi Impairment Score	0
Casi Symptom Count Score	0
Casi Symptom Severity Score	0

For Acute and Sub-Acute

Is Medication Compliant?	☐
Medication Management (MM) Appointment	First available for any provider
Medication Management Appointment Date	__/__/__

Medication Compliant –Check the box if the consumer is medication compliant.

Medication Management (MM) Appointment – Select the most appropriate choice from the drop-down menu.

First available for any provider
First available for preferred provider
First available for consumer's schedule
Other
No appointment needed

Medication Management Appointment Date – List the date of the medication management appointment.

Process Discharge

Lastly, once the discharge variables have been completed, click on the Process Discharge button. This will close the encounter and lock the information. If, after review of the information an error is found, Report a Data Issue and describe the change necessary.

By clicking "Process Discharge" you agree that you have made all updates necessary to each field in this encounter for this individual. The system keeps an admission record separate from any quarterly updates or discharge record enabling the ability to view progress made in this encounter. Your agreement verifies the information has been updated since admission, if applicable, and is accurate to the best of your knowledge.

Process Discharge

Cancel

Chapter 11: Authorization and Appeals

Initial Authorization and Continued Stay Review

Introduction to Authorizations and Continued Stay Review (CSR)

This chapter deals with the authorization process for both initial and continued stay reviews (CSR). An initial authorization begins with creating an encounter, completing or updating the consumer tabs, and completing an initial questionnaire. Continued stay reviews begin with review of the consumer tabs and completing a progress report. To prepare for an authorization, consult the **Utilization Guidelines and Service Definitions** of the Division of Behavioral Health found on the agency website. Authorizations are not required for registered services.

There are several steps in preparing for an authorization:

- Complete or update the Consumer tabs, paying special attention to diagnosis and/or substance use history.
- Complete an Initial Questionnaire (or in the case of a reauthorization, a Progress Report).
- Submit for Authorization or Continued Stay and receive a system response.
- Act on the system response.

This chapter will not delve into how to complete an initial questionnaire or a progress report. After receiving a response from the system, the questionnaire expires. A new questionnaire must accompany each request. End users can make three (3) attempts to gain automated approval. If the three (3) attempts result in a denial, end users may appeal the automated decision. Check the View Details of the Managed Encounter window's Encounter History to see a listing of reasons for denials.

Uncertainty in Funding

Providers must track member eligibility status and secure necessary authorization through the appropriate funding source, even when a member's eligibility changes during the course of a treatment episode. Providers are accountable for accurately identifying, seeking authorization, and billing the appropriate payer source depending on ongoing member eligibility.

The Division of Behavioral Health (DBH) is the payer of last resort and shall not pay for Medicaid-eligible services provided to Medicaid consumers.

Authorizations are required at the beginning of service. If an agency is uncertain about funding, obtain the authorization from CDS *before* admission. While the authorization is valid only up to a number of days specific to the service, if alternative funding should fail, the agency has knowledge of authorization approval and can backdate the admission. If backdating is required in excess of ninety (90) days from the current date,

Report a Data Issue after admitting the encounter with the current date to request the admit date be corrected.

Complete a Questionnaire

Go to the Questionnaire tab and click on the type of questionnaire required. Use + Add Initial Status Report at the beginning of treatment for an authorization. Use Add a Progress Report at re-authorization.

Initial status reports include any of the first three attempts to secure authorization. Use the View Detail button on the Action column of the Update History spreadsheet on the Manage Encounter window to review the reasons for any denials.

Progress reports are made at each continued stay review. As with initial status reports, continued stay review can be attempted up to three (3) times. Each attempt requires a new progress report. Review the detail of any denials by clicking on the View Details button on the Action Column of the Update History Spreadsheet on the Managed Encounter window to review the reasons for denial.

Manage Encounter (306004)

Status: Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel

Consumer: Progress Reports + Add Initial Status Report

Created On	Form Name	Report Type	Created By	Actions
------------	-----------	-------------	------------	---------

A questionnaire is required for any new authorizations, and a progress report is required for continued stay reviews. The questionnaires are located in the consumer tab labeled Questionnaire.

Submit for Authorization Button

Manage Encounter (306004)

Status: [Add to Waitlist](#) [Submit for Authorization](#) [Cancel Without an Admission](#) [Remove Encounter](#) [Save](#) [Cancel](#)

Consumer: [Progress Reports](#) [+ Add Initial Status Report](#)

Created On	Form Name	Report Type	Created By	Actions

This will begin the process of an authorization request. This button appears at the top of the Manage Encounter screen, only for authorized services. For a registered service, you will not see this button.

Authorization Results

Your encounter meets the criteria for automated authorization. Your encounter is authorized as described below.

Authorization #	39550
Authorization Period	12/2/2015 to 12/7/2015
Authorized Units	5 (Per Diem)

[Close](#)

If approved, immediately click on the [Admit to Authorized Service](#) button. Doing anything else breaks the authorization, and you must request a new authorization.

**Admission must occur within a certain number of days of the authorization. This number of days can be different from one service to another. If admission is more than that number of days, a new authorization will be required.*

Manage Encounter (311546)

Status: [Re-open for Editing](#) [Appeal Decision](#) [Cancel Without an Admission](#) [Remove Encounter](#) [Approve Request](#) [Save \(ADMIN ONLY\)](#) [Cancel](#)

Consumer: [Copy Encounter](#) [Report a Data Issue](#)

Demographics: **Current State** Pending Appeal

Health Status: **Name** SHIRWA Bessen

Trauma History: **Consumer ID** 000065714

Diagnosis: **SSN**

Substance Use: **Date of Birth** 5/15/1985

Questionnaire: **Service Provider** Human Services, Inc.

Reviews: **Funding Region** Region 1

Notes: **Service to be Provided** Intensive Outpatient / Adult - SUD

Update History

Update Date	State	Event	Updated By	Actions
2/20/2018 3:19 PM	Pending Appeal	Authorization Denied (automated)	bf200lnk\nbenjam	View Details
2/20/2018 3:19 PM	Authorization Submitted	Authorization Requested	bf200lnk\nbenjam	View Details
2/20/2018 3:17 PM	New	Encounter Edited	bf200lnk\nbenjam	View Details

Denial Reasons

There are three general reasons for a denial:

- Medicaid eligibility,
- Conflicting service, or
- Inappropriate level of care.

Review the details of the denial by clicking on the [View Detail](#) button to the right of the denial statement on the Manage Encounter window. This will open the [Encounter Event Summary](#) window.

Encounter Event Summary

Print

X

Summary

Encounter ID / Load History ID

293717 /

Data Source / Encounter Ident

13 / a4eb10b5-e113-4277-9e93-7fe42d1589fd

Consumer ID

000083423

Version ID / Load History ID

1521926 /

Event Type

Authorization Denied (automated)

Entered By (on)

BF200LNK\szubrod (10/23/2017 1:59 PM)

Name

FOX, PHONG

Provider

Faith Regional Health Services

Funding Region

Region 4

Service

Acute Inpatient Hospitalization - MH

New Status

Pre-Admitted / Pending Appeal

Authorization Results

Result	Denied
Denial Reasons	Functional Deficits - The consumer must present with current suicidal, homicidal or acute psychotic symptoms that would be dangerous if left untreated.

Medicaid Denial – The Division of Behavioral Health has now streamlined the process of Medicaid eligibility checking, see the next section of these instructions for further information. **Do not** repeat the authorization request.

Conflicting Service – If the error reports a Conflicting Service, contact the region for further instructions. **Do not** repeat the authorization request until the conflicting service is resolved. See the next section of these instructions for further information.

Other – A list of other denial reasons appears in the [View Details](#) next to the denial report. Correct any errors by using these statements as a guide. Read the denial report carefully to assure you are making the corrections necessary and refer to the **Utilization Guidelines and Service Definitions** found in the **System Documentation and Training** webpage. *End users can attempt three (3) requests for authorization. After the third denial, agencies can appeal the automated decision, or select another service. To appeal the automated*

decision, click on the [Appeal Decision](#) button and briefly complete the information requested on the appeal form (see below).

Funding Region	Region 1
Service	Intensive Outpatient / Adult - SUD
New Status	Pre-Admitted / Pending Appeal

Authorization Results

Result	Denied
Denial Reasons	• Dimension Value - 'Dimension One - Acute Intoxication and/or Withdrawal Potential' does not meet criteria. • Dimension Value (ADMIN) - The rating entered for dimension 'Dimension One - Acute Intoxication and/or Withdrawal Potential' was 7. To qualify for this service, the score on this dimension cannot be one of the following: 4, 5, 6, 7, 8, 9 • Dimension Value - 'Dimension Three - Emotional, Behavioral, or Cognitive Conditions and Complications' does not meet criteria. • Dimension Value (ADMIN) - The rating entered for dimension 'Dimension Three - Emotional, Behavioral, or Cognitive Conditions and Complications' was 6. To qualify for this service, the score on this dimension cannot be one of the following: 4, 5, 6, 7, 8, 9 • Dimension Value - 'Dimension Four - Readiness to Change' does not meet criteria.

Denial Reason: Medicaid Eligibility

This denial of authorization occurs due to the consumer being Medicaid eligible:

Authorization Results

Initial System Review

This is an automated response: Your request for "Community Support" is denied based upon the current set of information submitted.

Reasons for the Automated Denial

- Medicaid Criteria - This consumer is Medicaid eligible on 6/30/2017

Close

The Division of Behavioral Health now has an updated file that will automatically check for Medicaid eligibility against providers and services.

Medicaid shares Provider and Consumer eligibility data with DBH via monthly and daily files that are ingested by the CDS. Providers are assigned a National Provider Identifier (NPI) and all DBH Providers must be Medicaid-eligible.

Services in the CDS are structured to indicate and use an algorithm to block admission or payment for services shared with Medicaid if the consumer is Medicaid-eligible. (Ref CDS ADMIN905 Services report)

- Admission: When a service is "shared" with Medicaid, admission to the service will look at the Medicaid eligibility file to verify if the consumer is Medicaid-eligible. If the consumer is eligible for Medicaid, admission to the service is denied and the reason is display.
- Payment: For "shared" services, all billing is hit up against the Medicaid eligibility file. If, at the time of admission, the consumer was not Medicaid-eligible but later has a status change, at the point of billing TADS are system-blocked from being entered for Medicaid-eligible consumers.

Denials based on Medicaid eligibility mean that the consumer is in a service that is eligible for payment through Medicaid and will not be eligible for this service through the CDS. The file is uploaded weekly and matches services registered during the Provider Eligibility at the time of contract upload, with the consumer record. This authorization check happens when the consumer is first entered into the CDS, as well as any time TADS units are entered, and during a Continued Stay Review. If a consumer receives this denial reason, it will be necessary to seek payment through Medicaid.

Denial Reason: Conflicting Service

Authorization Results	
Result	Denied
Denial Reasons	• Service Exclusion - The consumer is currently receiving a conflicting service. Note that this service may be provided by another agency.

When an encounter is requested for an authorized service, and the consumer has a current admission to another authorized service, a Service Exclusion for conflicting service is issued by CDS. If the conflict is known - such as when a consumer moves from a higher level of care to a residential level of care, and the conflict is the only reason for the denial - the agency can be assured of an authorization. Authorizations are effective for seven (7) days, so that a consumer can move from one authorized service to another without interrupting therapeutic activities. The first agency must discharge the consumer before the second agency can get an authorization and admit. This type of care coordination is important for the smooth transition from one service provider to another.

Conflicting Service Denial and Reduce Authorization Periods

Sometimes the consumer will present to an agency and will have forgotten previous engagements. In this case, a **Conflicting Service Denial** happens. Agencies must contact their funding region, who will work with DBH staff and other regions to resolve the conflict. Once resolved, the agency can again submit for authorization and admit.

Authorization Results

Your encounter meets the criteria for automated authorization. Your encounter is authorized as described below.

Authorization #

126209

Authorization Period

3/28/2022 to 4/26/2022

Note that this authorization period has been reduced due to other services the client is already receiving, potentially from a different provider.

Authorized Units

180 (1 Day)

Close

Verifying Authorization Units and Time

Manage Encounter (353208)

Status

Discharge Save Cancel

Consumer

Authorizations

Auth ID	Start Date	End Date	Number of Units Authorized	Auth Date/Time
75424	8/23/2018	2/18/2019	180.00	8/23/2018 3:50 PM

TADS History

Auth ID	Start Date	End Date	Number of Units Authorized	Auth Date/Time	Number of Units in TADS	Units Type	Posted to EBS	Utilization Month	Created By
75424					9.00	Per Diem	9/4/2018 11:48:16 PM	08/2018	bf200lnk/rsml19
75424					30.00	Per Diem	10/2/2018 11:47:44 PM	09/2018	bf200lnk/rsml19
75424					31.00	Per Diem	11/5/2018 11:47:39 PM	10/2018	bf200lnk/rsml19
75424	8/23/2018	2/18/2019	180.00	8/23/2018 3:50:52 PM	Total: 70.00				

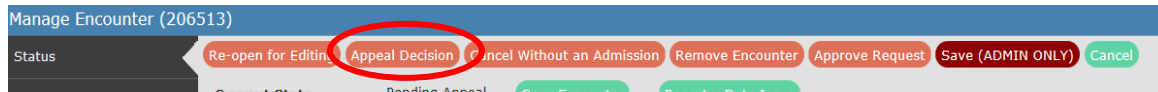
Review authorizations by clicking on the Authorizations tab. This tab will show the authorizations along with any reimbursement requests. The total number of units of reimbursement requested cannot exceed the number authorized. Units reimbursed on a monthly basis when authorizations were approved (anytime other than the first of the month) will expire during the renewal month. That is, an encounter approved on the 15th of May will expire the next year on the 14th of May. Essentially, the service provider has to re-authorize units if reimbursement was requested in the first month of the authorization through April of the next year (12 months). *No units can be claimed for the 13th month.* Reauthorization requests occur during the renewal month and start a new authorization.

Appeal Automated Denial for Authorized Services

Up to three (3) attempts at authorizing a consumer's encounter are possible. An appeal can be made after the first or second attempt an encounter is denied. After the third denial, the agency/staff can either make an appeal, or review the need for the service and perhaps admit to another service. Appeals cannot be made on discharged encounters.

Appealing Automated Decision

On the status line of the Manage Encounter window, select Appeal Decision.



After selecting Appeal Decision, a separate window opens.

Start by entering the end user name, credentials, desired admit date, expected discharge date, and number of expected units of service to be provided. Use the **Utilization Guidelines and Service Definitions** to emphasize how this level of care best suits the consumer's needs. Due to space limitations, you must be thorough but brief.

A screenshot of the 'Appeal the Decision' window. The title bar is blue with the text 'Appeal the Decision' and a close button. The window contains several input fields and sections:

- Appeal Type**: A dropdown menu set to 'Standard Review'.
- Contact Name / Phone**: Two text input fields labeled 'Name' and 'Phone'.
- Contact Credentials**: A dropdown menu set to 'P/LMHP' with a checkmark, and a text input field labeled 'Other'.
- (Offered) Admit Date**: A date input field with a calendar icon.
- (Expected) Discharge Date**: A date input field with a calendar icon.
- Number of Requested Days or Units**: A text input field.
- Current Medications - names, dosage strengths, dosing schedules, and compliance with meds**: A large text area for input.
- Relevant treatment history - brief history of previous hospitalizations & other levels of care, past response to medication, other current psychotherapy/psychosocial/rehabilitation interventions-frequency, compliance with treatment**: A large text area for input.

Please provide any and all information that evidences the request for authorization meets clinical criteria as written in the Division of Behavioral Health Utilization Guidelines; provide specifics rather than repeating phrases from the CDS questionnaire.

Treatment plan or goals/any progress updates since last request

Once submitted, this appeal request does not require further action on your part. This requested appeal will be reviewed by the Division of Behavioral Health in accordance with timelines set forth in the DBH CDS User Manual. Upon review of the submitted information, it may be necessary for the Division of Behavioral Health to contact the requesting clinician to obtain additional or clarifying information. The requesting provider will be alerted to the review determination via CDS notification.

Save Appeal Decision Cancel

Once entered, choose either Save or Appeal Decision.

- Save only saves the entered information and does not submit the appeal.
- Save is useful for agency staff to review information before submission, and to gather more information.
- Clicking the Save button returns the encounter to the Manage Encounter window.
- To get back to the saved information, click on Appeal Decision on the Manage Encounter window. Once staff are satisfied with the appeal form, click the Appeal Decision button at the bottom of the form to submit the request (Appeal the Decision window).

Check the Manage Encounter window for a decision.

- Anticipate decisions for emergency and hospital inpatient services within five (5) working days, all others within ten (10) working days.
- *Check back at least twice a week to review any decision and recommendations made by review staff.*
- Decisions are posted to the history spreadsheet of the Manage Encounter window.
- If approved, IMMEDIATELY click the Admit for Authorized Service button. Using any other button breaks the approval process, and the authorization expires.

Manage Encounter (344070)

Status

Admit for Authorized Service Re-open for Editing Cancel Without an Admission Remove Encounter Save Cancel

Encounter Event Summary
Print

Summary

Encounter ID / Load History ID	610567 /
Data Source / Encounter Ident	37 / 16675
Consumer ID	000083260
Version ID / Load History ID	5514303 /
Event Type	Appeal Denied
Entered By (on)	BF200LNK\Ineeman (3/2/2022 12:58 PM)
Name	STENSVAD, Erives
Provider	Lutheran Family Services - North Platte
Funding Region	Region 2
Service	Intensive Outpatient / Adult - SUD
New Status	Pre-Admitted / Appeal Denied

Changed Values

Determination Statement	Unable to approve this request as encounter was entered late and approval authorizes units from this date forward, and narrative indicates clt discharged from service in January 2022.
Alternate Level of Care Offered	outpatient therapy

Above is a sample of a denial of an appeal. Note that an alternative level of care is given as a suggestion to the agency. If you agree with the decision, return to the Manage Encounter window, and click on the Cancel without an Admission button. If you wish to appeal further, click on the Re-open for Editing button and complete a new appeal. Add any clarifying information to that already present in the appeal form.

Helpful Hints When Submitting For Appeal

- Include objective description of current psychological symptoms, mental status and psychosocial function.
- Address every denial reason in the narrative.
- Narrative should include details about reason for admission.
- Whenever possible, estimates of frequency and volume of substance use is helpful.
- Anytime mention is made of frequent substance use, the appropriate SUD diagnosis should be included on the diagnosis tab.
- If consumer was/is incarcerated, provide the reason for incarceration.
- Treatment plans should not be generic, but should include specific details pertaining to that individual's situation and progress.
- Make sure to always read determination statements, and address any requests or identified gaps in the appeal narrative.

Informal Dispute Resolution

The agency can request an Informal Dispute Resolution (IDR) for denied appeals. To begin the IDR, click on Appeal Decision button again. Review information on the Encounter Event Summary page. An IDR includes gathering more information from the

agency/staff, a phone conversation with a second reviewer, and a decision by the second reviewer. Time limits of the IDR include scheduling a phone call within ten (10) working days of the initiation of the request for IDR, and ten (10) additional working days for the decision to post to the encounter. *Keep watch on the encounter for notification.*

State Fair Hearing

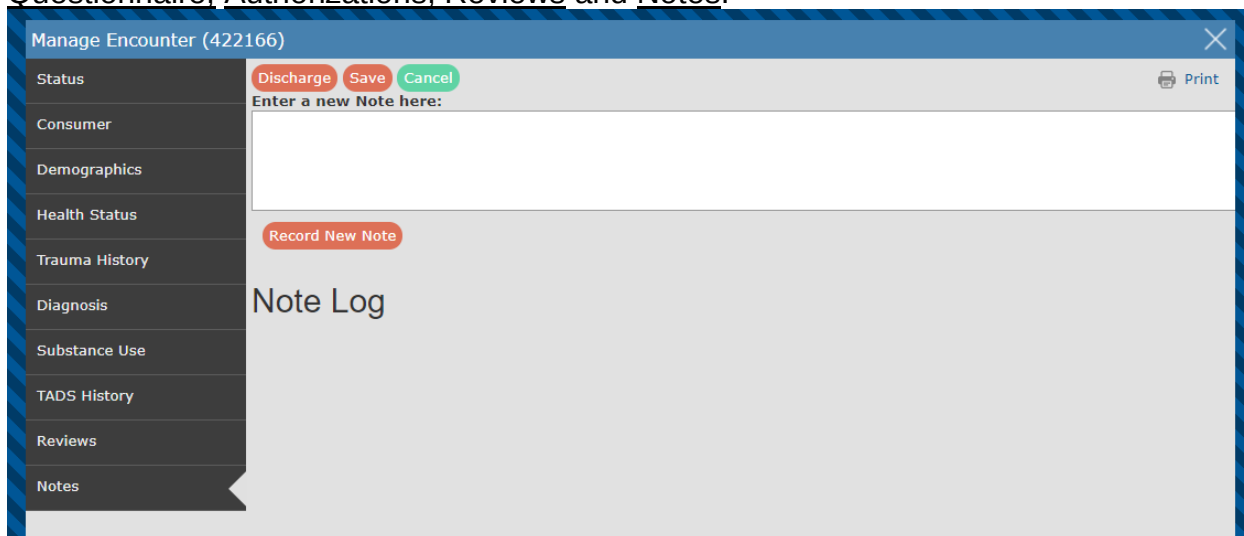
The final appeal for an encounter is a “State Fair Hearing”. This type of appeal is a quasi-court action in which an arbitrator reviews facts and holds a formal hearing. Requests for a State Fair Hearing must be made within thirty (30) days of the decision of the Informal Dispute Resolution. State Fair Hearing regulations are available on the DHHS website.

Chapter 12: General Template

Consumer Index Tabs (General Template)

The CDS uses three templates: General, Youth, and Emergency. This section describes the General template.

Within the Manage Encounter window there are ten tabs: Status, Consumer, Demographics, Health Status, Trauma History, Diagnosis, Substance Use, Questionnaire, Authorizations, Reviews and Notes.



In each of the Manage Encounter tabs, critical function buttons **Discharge** **Save** **Cancel** and the **print Icon**  are located in the top of row of the central grey panel.

As you scroll down the page, the top row may become hidden. It is good practice to save all entries before going on to the next Consumer Index tab. To save, scroll up to the status bar to see and click on the Save button. Each save creates a new line in the history table. Remember to save.

For more detailed explanations of drop-down lists for variables, please refer to the **Definitions and Variable Explanations** section of this user guide.

Except for the authorized service questionnaire and specialized service tabs, all Consumer Index tabs are the same on the general template. Fields are updatable by end users at any time.

Manage Encounter (355757)

Status

Discharge

Save

Cancel

Consumer

Demographics

Health Status

Trauma History

Diagnosis

Substance Use

Questionnaire

Authorizations

Reviews

Notes

Current State

Admitted

Copy Encounter

Report a Data Issue

Name

Etiene EDDINGS

Consumer ID

661512545

SSN

Date of Birth

4/24/1991

Service Provider

Behavioral Health Specialists, Inc. - Norfolk, 900 W Norfolk Ave

Funding Region

Region 4

Service to be Provided

Community Support - MH

Admission Date

9/7/2018 12:00 AM

Update History

Update Date	State	Event	Updated By	Actions
9/10/2018 8:52 AM	Admitted	Consumer Admitted	BF200LNK\kkratoc	View Details
9/10/2018 8:42 AM	Authorized	Authorization Approved (automated)	BF200LNK\kkratoc	View Details
9/10/2018 8:42 AM	Authorization Submitted	Authorization Requested	BF200LNK\kkratoc	View Details
9/10/2018 8:40 AM	New	Encounter Edited	BF200LNK\kkratoc	View Details
9/10/2018 8:39 AM	New	Encounter Edited	BF200LNK\kkratoc	View Details
9/10/2018 8:38 AM	New	Encounter Edited	BF200LNK\kkratoc	View Details
9/10/2018 8:38 AM	New	Encounter Edited	BF200LNK\kkratoc	View Details
9/10/2018 8:36 AM	New	Encounter Edited	BF200LNK\kkratoc	View Details
9/10/2018 8:34 AM	New	Encounter Edited	BF200LNK\kkratoc	View Details
9/10/2018 8:33 AM	New	Encounter Edited	BF200LNK\kkratoc	View Details

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

This section covers the following Consumer Index tabs in detail:

- Consumer
- Demographics
- Health Status
- Trauma History
- Diagnosis
- Substance Use
- Authorizations/TADS History
- Reviews
- Notes

Additionally, specialized tabs occur for (explanations of these are found in other areas of **User Manual**):

- ACT
- Crisis Response
- Employment (Supported Employment)
- Questionnaire (Initial, Update, and Discharge)

Consumer Tab

Manage Encounter (422180)

Status Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel

Consumer **Name (First, Middle, Last)**

Name Suffix **Previous Last Name**

Address

City / State / Zip NE

SSN

Birth Date

County of Residence

County of Admission

Is Relative or Significant Other of Primary Client ☐

Phone Number **Type**

Email Address

Referral Source

Preferred Language

SSI/SSDI Eligibility

Medicaid/Medicare Eligibility

Health Insurance Type

Primary Income Source

Primary Funding Source

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Name (First, Middle, Last) – Are set during the Add Encounter event. In the event of errors, the names can be changed by end users until discharge.

Name Suffix – If the individual uses a suffix, such as Jr., Sr., III, etc., this will be recorded here. This field has a five (5) character limit.

Previous Last Name – If the individual has used a different last name, this can be listed here. Multiple names can be listed, as separated by a comma, if needed.

Address – Two lines are available for recording the individual's address. Record the individual's home address. Home address is that place to which the individual will be returning upon completion of treatment. Do not enter the address of a residential treatment center (consumer survey uses the home address). Consumers who are homeless (having no address) should be recorded as "NO PERMANENT ADDRESS" on the address line. Complete the city and zip code based on the current treatment service location (i.e. a person residing at Lincoln Homeless Shelter and receiving outpatient services from a downtown treatment entity in Lincoln should be recorded as NO PERMANENT ADDRESS, Lincoln, NE, 68508).

City/State/Zip – Record these variables using statements under address as a guide.

SSN – The Social Security Number (SSN) is used to verify information, and to uniquely identify each consumer within CDS. The use of single digits (all 9's, 6's etc.) or sequential number (1234 etc.) or any other schema (other than the consumer's actual SSN) is not permitted. If you do not have the SSN, please leave the entry blank.

Birth Date – A key element established with the encounter; can be change by the end user if necessary. See the **Definitions** section for Date of Birth issues.

County of Residence – The county in which the consumer resides, or last known county. Select from the dropdown menu.

County of Admission – The county that the service provider is located in. Select from the dropdown menu.

Is Significant Other of Primary Client – Check the box if the individual is a relative or significant other of another primary consumer.

Phone Number – The phone number of the consumer (used for telephone surveys).

Type – Select from available choices:

-- Select --
Land Line
No Phone
Pay by Minute Cell Phone
Unlimited Subscription Cell Phone
Unknown

*If the phone type is unknown, then the phone number is not required.

E-mail address – Used to invite the consumer to internet-based consumer surveys.

Referral Source	-- Select --
Preferred Language	-- Select --
SSI/SSDI Eligibility	-- Select --
Medicaid/Medicare Eligibility	-- Select --
Health Insurance Type	-- Select --
Primary Income Source	-- Select --
Primary Funding Source	-- Select --

Referral Source – Select from among the drop-down choices. Choose from the list by eliminating choices not appropriate, and selecting from remaining elements. See **Definitions** section located in this manual for more information.

Preferred Language – Select from the available choices.

SSI/SSDI Eligibility – Select the most appropriate response from the drop-down menu.

-- Select --
Determined to be Ineligible -N/A
Eligible/Not Received Benefit
Eligible/Receive Payments
Potentially Eligible
Unknown

Medicaid/Medicare Eligibility – Select the most appropriate response from the drop-down menu.

-- Select --
Determined to be Ineligible -N/A
Eligible Not Receiving Benefits
Eligible Receiving Payments
Potentially Eligible
Unknown

Health Insurance Type – The consumer's status of other sources of insurance. This does not exclude consumers from receiving funding, but it is important to know the population served.

-- Select --
No Insurance
Child Welfare
HMO
Indian Health Services
Medicaid
Medicare
PPO
Private Self Paid
Veterans Administration
Other Direct Federal
Other Direct State
Other Insurance
Unknown

Primary Income Source – Select the income source that is most important in the consumer's economic situation.

-- Select --
Disability
Employment
None
Other
Public Assistance
Retirement/Pension
Unknown

Primary Funding Source – Select the funding source that is most likely to be how the consumer will pay for services.

-- Select --
Blue Cross/Blue Shield
Employee Assistance Program (EAP)
HMO/PPO
Medicaid
Medicare
No Charge
Other Public Funds
Private Health Insurance
Self pay
State Behavioral Health Funds
State Children and Family Service Fund
Workers Compensation
Unknown

Demographics Tab

Status	Approve Request Deny Appeal Cancel Without an Admission Remove Encounter Save (ADMIN ONLY) Cancel	
Consumer	Priority Population	-- Select --
Demographics	Gender	-- Select --
Health Status	Disability Code	☐ Blindness or Severe Impairment ☐ Deafness or Severe Impairment ☐ Developmental Disabilities ☐ Non-use/Amputation of Limb ☐ Non-Ambulation ☐ None
Trauma History	Education Level	-- Select --
Diagnosis	Employment Status	-- Select --
Substance Use	Race (Select all that apply)	☐ American Indian/Alaska Native ☐ Asian ☐ Black/African American ☐ Native Hawaiian/Other Pacific Islander ☐ White ☐ Other
Questionnaire	Ethnicity	-- Select --
Reviews	Is US Citizen	☒
Notes	Is Veteran	☐
	Social Supports	-- Select --
	Legal Status	-- Select --
	Mental Health Board Date	__/__/__
	Commitment Date	__/__/__
	County of Commitment	-- Select --
	Num Arrests in Past 30 Days	
	Living Arrangements	-- Select --
	Marital Status	-- Select --
	Annual Taxable Household Income	_____,000
	Num Dependents	

Please note: This example was created in the CDS Test Site. This example does not contain any genuine PHI.

Fields on Demographics Tab

Priority Population – The status of whether or not the consumer is considered a priority population. The priority populations change, based on the service type received:

- Mental Health Priority Population:

-- Select --

None

MHB Discharged from LRC

MHB Committed IP

MHB Committed OP

Unknown

- Substance Use Disorder Priority Population

-- Select --

None

Pregnant IV Drug User

Pregnant Drug User

IV Drug User

Woman with Dependent Children

Unknown

Gender – Select from Female, Male, or Unknown.

Pregnancy Status – Only viewable if the consumer is female. Select from No, Yes, Up to Six Weeks Post-Partum, or Unknown.

Disability Code– Select from the available options of observable disabilities.

Disability Code	☐ Blindness or Severe Impairment	☐ Deafness or Severe Impairment
☐ Developmental Disabilities	☐ Non-use/Amputation of Limb	
☐ Non-Ambulation	☐ None	

Education level – Select the last grade level *completed*.

-- Select --

Less Than One Grade Completed or No Schooling

Nursery School, Preschool

Kindergarten

Grade 1

Grade 2

Grade 3

Grade 4

Grade 5

Grade 6

Grade 7

Grade 8

Grade 9

Grade 10

11 Years

12 Years = GED

1st Year of College or University

2nd Year of College or Associate Degree

3rd Year of College or University 4th Year

Bachelor's Degree

Some Graduate Study - Degree Not Completed

Post Graduate Study

Master's Degree

Doctorate Degree

Technical Trade School

Vocational School

Self-contained Special Education Class

Special Education Class

Unknown

Employment Status – Select from the available choices. See the **Definitions** section in this manual for a complete explanation of choices.

-- Select --

- Active/Armed Forces (< 35 Hrs)
- Active/Armed Forces (35+ Hrs)
- Disabled
- Employed Full Time (35+ Hrs)
- Employed Part Time (< 35 Hrs)
- Homemaker
- Resident of Institution
- Retired
- Sheltered Workshop
- Student
- Unemployed - Laid Off/Looking
- Unemployed - Not Seeking
- Volunteer
- Unknown

Race – Select one or more of the available choices.

Race (Select all that apply)

- ☐ American Indian/Alaska Native
- ☐ Asian
- ☐ Black/African American
- ☐ Native Hawaiian/Other Pacific Islander
- ☐ White
- ☐ Other

Ethnicity – Select from Non-Hispanic, Hispanic, or Unknown.

Is US Citizen (Checkbox) – This field is required. Click the checkbox if the consumer is a U.S. citizen. The consumer must be a U.S. citizen (or have the proper paperwork to validate residency) to be authorized for authorized level of care. There are exceptions to this rule if the consumer is a mental health board commitment. Not all levels of care require this field.

Is Veteran (Checkbox) – Click the checkbox if the consumer is a military veteran.

Social Supports – This should be selected if, in the past thirty (30) days, the consumer has participated in recovery activities, such as self-help groups or support groups (defined as attending self-help group meetings, attending religious/faith affiliated recovery or self-help group meetings, attending meetings of organizations other than organizations described above or interactions with family members and/or friends supportive of recovery).

-- Select --

- No Attendance in past month
- 1-3 times in past month
- 4-7 times in past month
- 8-15 times in past month
- 16-30 times in past month
- Some attendance in past month
- Unknown

Legal Status – Select from among the available choices.

-- Select --
Civil Protective Custody (CPC)
Court Order
Court: Competency Evaluation
Court: Juvenile Commitment
Court: Juvenile Evaluation
Court: Mentally disordered sex offender
Court: Presentence Evaluation
Emergency Protective Custody (EPC)
Juvenile High Risk Offender
MHB Commitment
MHB Hold/Custody Warrant
Not responsible by reason of insanity
Parole
Probation
Voluntary
Voluntary by Guardian
Ward of the State
Unknown

Mental Health Board Date – The date that a Mental Health Board met to determine the consumer's status, if applicable.

Commitment Date – The date that the Mental Health Board committed the individual, if applicable.

County of Commitment – The Mental Health Board that committed the individual. Use the drop-down list to choose the committing county, if applicable.

Num Arrests in Past 30 days – Indicate the number of arrests in the last thirty (30) days. An arrest is when a person is taken to a correctional facility and booked.

Living Arrangements –Select the best fit for the consumer's living situation at the time of admission and/or discharge. See the **Definitions** section in this manual for explanations. This is a NOMS indicator.

-- Select --
Assisted Living Facility
Child Living with Parents/Relative
Child Residential Treatment
Crisis Residential Care
Foster Home
Homeless
Homeless Shelter
Jail/Correction Facility
Other 24 Hr Residential Care
Other Institutional Setting
Private Residence Receiving Support
Private Residence w/Housing Assistance
Private Residence w/o Support
Regional Center
Residential Treatment
Youth Living Independently
Other
Unknown

Marital Status – Select the most appropriate response from the available choices.

-- Select --
Cohabiting
Divorced
Married
Never Married
Separated
Widowed
Unknown

Annual Taxable Household Income – Annual taxable income is defined as alimony, wages, tips or other money received for a food or service. This information can be obtained by review of paycheck records, SSI/SSDI eligibility, Medicaid eligibility, and/or a signed statement from the client. For purposes of the Eligibility Worksheet, the taxable income of the consumer and other adult dependents should be used to determine Taxable Monthly Income. For the purposes of completing the Eligibility Worksheet, the following items are NOT included as taxable income: SSI, SSDI, child support, or monetary assistance received from family or non-family members. Calculate Monthly figure and multiple by 12 to determine annual taxable income. Enter only the digits for the thousands (\$25,000 is entered as “25”).

Num Dependents – A dependent is defined as any person married or cohabitating with the consumer, or any child under the age of 19, who depends on the consumer’s income for food, shelter, and care. Dependents may include parents, grandparents, or adult children, if the individual(s) are living with the consumer and they are dependent on the consumer’s income for their food, shelter or care.

- If there is no one dependent upon the consumer’s income other than the consumer, then enter one (1).
- If the consumer is a child and is dependent upon someone other than self for support, enter zero (0).
- If the consumer is in a “cohabitating” relationship and does not rely on the support of the other individual(s) of the relationship, and has no other source of support, enter one (1).

The following variables appear for consumers under 19 years of age:

School Absences
Stable Environment
Juvenile Services Status
Impact on School Attendance
Is Receiving Professional Partnership
Is Receiving Special Education

School Absences – Select the statement that best describes the youth’s attendance.

-- Select --
1 day every 2 weeks
1 day per week
1 or less days per month
2 or more days per week
Home Schooled
Not Enrolled
Unknown

Stable Environment – Select the statement that best describes the youth’s environment.

-- Select --
Emancipated minor
Guardian
Parent(s)
Ward of the State
Unknown

Juvenile Services Status – Select if the youth is involved in any of the listed services.

-- Select --
Drug Court
Not involved with Juvenile Services
OJS State Ward
Other Court Involvement
Probation
Unknown

Impact on School Attendance –

-- Select --
Greater Attendance
About the Same
Less Attendance
Does Not Apply-Expelled From School
Does Not Apply-No Problem Before Service
Does Not Apply-Too Young to be in School
Does Not Apply-Dropped out of School
Does Not Apply-Home Schooled
Does Not Apply-Other
N/A (at Admission)
No Response-(Unable to Assess)
Unknown

Is Receiving Professional Partnership – Check if the consumer is enrolled in Professional Partner Program.

Is Receiving Special Education – Check if the consumer is in a special education program.

Health Status Tab

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Fields on Health Status Tab

PCP (Primary Care Physician) Last Seen – May include any physical health care screening or evaluation at a health clinic by a qualified person.

Height – Indicate the consumer's height in feet and inches. Select these measurements from the appropriate dropdown boxes.

Weight (lbs) – Indicate the consumer's weight in pounds.

Is Tobacco User – Select "Yes" or "No". If "Yes" is selected, complete the next set of tobacco related questions:

- Has Tried to Quit Past 12 months? -- Select "Yes" or "No".
- Is Nicotine Dependent -- This is not a diagnosis, but a professional opinion, using the guidelines of the DSM 5.
- Is Aware of Quitline -- Select "Yes" or "No".
- Quit line Contacted -- Select "Yes" or "No".

DDS (Dentist) Last Seen – May include any evaluation of diseases of the mouth, gums, or teeth by a qualified person. Select from the available time periods.

-- Select --
< 1 month
1-6 months
6-12 months
> 12 months
Unknown

Has Attempted Suicide 30 days? Select Yes, No, or Unknown from the drop-down list.

Num Opioids Rx Per Day – Indicate the number of prescriptions, not the number of pills, taken daily.

Num Non-Opioid Rx Per Day – Indicate the number of prescriptions, not the number of pills, taken daily.

Num Psychotropic Rx Per Day – Indicate the number of prescriptions, not the number of pills, taken daily.

Poor Health Days in Last 30 days (Physical) – Enter the number of days of poor health, as reported by the consumer.

Poor Health Days in Last 30 days (Mental) – Enter the number of days of poor mental health, as reported by the consumer.

Why now? Please select all that apply – Select the situations listed that best describe the consumer's reasons for seeking treatment at this time.

Why now? Please select all that apply:	
☐	There has been a sudden change in status of consumer's substance use (either in terms of frequency, amount, substance of choice or method of use)
☐	Consumer has reported recent adverse life experiences that, without treatment, will lead to marked decompensation in the member's current functioning
☐	Consumer has had recent legal involvement
☐	Consumer has reported an increase in mentally unhealthy days leading to a significant change in ability to function
☐	Consumer has reported thoughts about self-harm that pose danger to self (if self-harming thoughts are chronic/ongoing, do not report)
☐	Consumer has reported experiences new, intrusive and imminent suicidal thoughts and/or is seeking treatment due to a recent suicide attempt (if suicidal thoughts are chronic, do not report)

Trauma History Tab

Please note: Trauma history should be explored during counseling opportunities. Update this page based on reports of trauma history by the consumer during the period of service. Select the appropriate response from the drop-down menu regarding any suspected trauma history.

Any suspected trauma history?	-- Select --
	Yes
	No
	Unknown

An important consideration in discovery of trauma history of the consumer is not to cause additional adverse reactions. Approach trauma history with caution. When the

consumer is willing to discuss events of their life, update the trauma history matrix, and indicate by “Yes” in the suspected trauma history question. The “Yes” will initiate a matrix in which the end user can mark those events disclosed by the consumer, either as an adult or as a child. Update trauma history at any time by updating the table. Click on the As an Adult? or As a Child? column for the event acknowledged by the consumer.

Trauma history is not needed at admission, but should be explored during counseling opportunities. This page can be updated based on reports of trauma history by the client during the period of service.

Any suspected trauma history? Yes

Type of Trauma	As an Adult?	As a Child?
Emotional Abuse	☐	☐
Life Threatening Medical Issues	☐	☐
Natural Disasters	☐	☐
Neglect	☐	☐
Physical Abuse	☐	☐
Physical Assault	☐	☐
Prostitution / Sex Trafficking	☐	☐
Sanctuary Trauma	☐	☐
Sexual Abuse	☐	☐

Type of Trauma	As an Adult?	As a Child?
Serious Accident/Injury	☐	☐
Sexual Assault / Rape	☐	☐
Traumatic Loss of a Loved One	☐	☐
Victim/Witness to Community Violence	☐	☐
Victim of a Terrorist Act	☐	☐
Victim of Crime	☐	☐
War/Political Violence/Torture	☐	☐
Witness to Domestic Abuse	☐	☐

Diagnosis Tab

A diagnosis is required for all service types: Mental Health, Substance Use Disorders, and Dual. The diagnosis must relate to the service offered; a mental health diagnosis for mental health services, a substance use diagnosis for substance abuse services, and both a mental health and substance abuse diagnosis for dual services.

Status
Consumer
Demographics
Health Status
Trauma History
Diagnosis
Substance Use
Questionnaire
Reviews
Notes

Re-open for Editing
Appeal Decision
Cancel Without an Admission
Remove Encounter
Approve Request
Save (ADMIN ONLY)
Cancel

Diagnosis Date
Does this diagnosis meet the state criteria for SED/SMI?
System of Care involved youth?
Covid-19 Related Tx
Is CFS Involved
Diagnoses Codes (ICD-10)

A
B
C
D

☐ First treatment for diagnosis
☐ 12 months or longer duration

☐ First treatment for diagnosis
☐ 12 months or longer duration

☐ First treatment for diagnosis
☐ 12 months or longer duration

☐ First treatment for diagnosis
☐ 12 months or longer duration

As a result of the entire diagnosis, please check all that apply:
☐ Causing "Physical Functioning" deficit
☐ Causing "Community Living Skills" deficit
☐ Causing "Vocational/Education" deficit
☐ Causing "Personal Care Skills" deficit
☐ Causing "Mood" deficit
☐ Causing "Interpersonal Relationships" deficit
☐ Causing "Psychological State" deficit
☐ Causing "Daily Living" deficit
☐ Causing "Social Skills" deficit
☐ Not Applicable

Optional GAF Score (0 to 100)

Please note: This example was created in the CDS Test Site. This example does not contain any genuine PHI.

Diagnosis Date – The most recent date the consumer received a diagnosis.

Does this diagnosis meet the state criteria for SED/SMI? – Answering the question of indicate whether or not this consumer’s diagnosis meets the State’s definition of serious

emotional disturbance or serious mental illness. Check the box if “Yes”. See the **Definitions** page of the **CDS Manual** for further explanation.

System of Care involved youth? – Check the box to indicate if the consumer is a System of Care involved consumer.

Covid-19 Related TX – Select the appropriate response that corresponds to whether the treatment is Covid-19 related.

-- Select --
Not COVID-19 related
Yes, For a Healthcare Provider
Yes, Not for a Healthcare Provider

Is CFS Involved – Select whether there is or is not CFS involvement.

Cluster – Before using this box, training is required on cluster analysis. Using the drop-down menu, select the cluster that best describes the consumer.

Cluster Certainty – Select the level of certainty for the cluster selected.

Diagnosis Codes

Diagnosis (ICD-10 Codes) – List up to four (4) diagnoses. It is important that the diagnosis in position “A” match the service type offered: a SUD diagnosis for a SUD service and a MH service for a MH diagnosis. The diagnosis in position “B” must be either a SUD or MH diagnosis for a dual diagnosis service. Positions “B”, “C”, or “D” can be any from the ICD-10 listings found in the **System Documentation and Training** website ICD-10 code listing, and do not necessarily need to be a MH or SUD diagnosis. The last two positions allow codes to further explain the consumer’s situation.

- Primary (MH for MH Service; SUD for SUD service)
- Secondary (primary if co-occurring)
- Secondary
- Secondary

The Diagnosis Codes only allow ICD-10 CM. The system also checks formatting. For example: “F33.3” must read exactly as it shows; “F33.30” or “F_33.3” will not work. System codes are grouped into MH and SUD codes. Check the **System Documentation and Training** website for a list of ICD-10 CM codes by service type, whether mental health, substance use disorder or both.

After typing in the code, use the tab key to move to the next diagnosis field. If the field turns amber yellow and flashes, your code wasn’t found. If the field turns solid amber yellow, the code is in the other coding list (i.e., a SUD diagnosis for a MH service),

based on the service you are requesting. If the field stays white, your entry is correct per the service type.

Here is an example of a code that does not match in the system:

The screenshot shows a form titled "Diagnosis Codes (ICD-10)" with three columns labeled A, B, and C. In column A, the code "F20.10" is entered. A red error message box is displayed over the code, stating: "This diagnosis code is not recognized. Please verify your formatting (ex. F20.1). You will be able to save this information, but it will not be considered when authorizing services." Below the code field, there are two checkboxes: "First treatment for diagnosis" and "12 months or longer duration". These checkboxes are repeated under columns B and C.

Up-to-date DSM-IV-R codes are required to be translated into ICD-10 codes. Codes other than ICD-10 CM are not acceptable. This is especially critical for any requests for continued stay review authorizations, and when using the [Copy Encounter](#) button. Federal law requires the use of ICD-10 CM codes in CDS going forward.

When registering or authorizing an SUD service, the SUD diagnosis is required on the consumer [Diagnosis](#) tab, and reflected in the [Substance Abuse](#) consumer tab.

[First Treatment for diagnosis](#) – Indicate if this is the first treatment for this diagnosis by checking the check box.

[12 months or longer duration](#) – Do you, as a clinician, perceive this diagnosis to last 12 months or longer? If “True”, check the box. This helps DBH understand SED/SPMI population.

[As a result of the entire diagnosis, please check all that apply:](#) -- Check all current functional deficits that are a result of the diagnosis.

The screenshot shows a list of checkboxes under the heading "As a result of the entire diagnosis, please check all that apply:". The options are: "Causing 'Physical Functioning' deficit", "Causing 'Community Living Skills' deficit", "Causing 'Vocational/Education' deficit", "Causing 'Personal Care Skills' deficit" (which is checked), "Causing 'Mood' deficit", "Causing 'Interpersonal Relationships' deficit", "Causing 'Psychological State' deficit", "Causing 'Daily Living' deficit", "Causing 'Social Skills' deficit", and "Not Applicable".

[Optional GAF Score](#) – GAF scores are not required. The provider may choose to use the DSM-IV GAF score, or another GAF determination process, such as from the World Health Organization as outlined in the DSM 5.

[Substance Use Disorder Tab](#)

The [Substance Use](#) consumer tab should relate to the [Diagnosis](#) consumer tab. That is, if the person is being seen for an alcohol problem, the primary, secondary, or tertiary substance would indicate alcohol problem, and one of the diagnosis codes would include an ICD-10 CM code for alcohol.

Fields on Substance Use Tab

Status	Add to Waitlist Admit for a Registered Service Cancel Without an Admission Remove Encounter Save Cancel																													
Consumer	Total Num Prior Treatments																													
Crisis Response	Number of days waiting to enter treatment																													
Demographics	Medication assistance treatment is planned No																													
Health Status	<table border="1"> <thead> <tr> <th></th> <th>Primary Substance</th> <th>Secondary Substance</th> <th>Tertiary Substance</th> </tr> </thead> <tbody> <tr> <td>Substance Used</td> <td>-- Select --</td> <td>-- Select --</td> <td>-- Select --</td> </tr> <tr> <td>Age of First Use</td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td>Frequency of Use (Admission)</td> <td>-- Select --</td> <td>-- Select --</td> <td>-- Select --</td> </tr> <tr> <td>Volume Of Use</td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td>Route of Use</td> <td>-- Select --</td> <td>-- Select --</td> <td>-- Select --</td> </tr> </tbody> </table>							Primary Substance	Secondary Substance	Tertiary Substance	Substance Used	-- Select --	-- Select --	-- Select --	Age of First Use				Frequency of Use (Admission)	-- Select --	-- Select --	-- Select --	Volume Of Use				Route of Use	-- Select --	-- Select --	-- Select --
	Primary Substance	Secondary Substance	Tertiary Substance																											
Substance Used	-- Select --	-- Select --	-- Select --																											
Age of First Use																														
Frequency of Use (Admission)	-- Select --	-- Select --	-- Select --																											
Volume Of Use																														
Route of Use	-- Select --	-- Select --	-- Select --																											
Trauma History																														
Diagnosis																														
Substance Use																														

Total Num Prior Treatments – The total number of prior treatments for any SUD problem, if known.

Number of days waiting to enter treatment – Indicate the number of days the consumer has been waiting to enter treatment. This might be the number of days on a waitlist, or other possible scenarios, including time it took to get back into treatment once the consumer approached a provider.

Medication assisted treatment planned – This includes the use of any of the Medication Assisted Treatment options now available to assist in the recovery process. Select No or Yes.

Substance Used –For marijuana substitutes such as K-2, spice, etc., list as “Other Drugs”. See the complete list of drugs maintained on the **System Documentation and Training** website.

- **Primary Substance** –Indicate the drug that is the primary reason for attending treatment. Follow the drug over the course of treatment. This is a NOMS indicator.
- **Secondary Substance** –List the drug secondary to the treatment occurrence. Follow the drug over the course of treatment. This is a NOMS indicator.
- **Tertiary Substance** –List the third most important drug to this treatment occurrence. Follow the drug over the course of treatment. This is a NOMS indicator.

Age of First Use – For each drug listed, indicate the consumer’s age of first use.

Frequency of Use (Admission) – Indicate from the drop-down menu the frequency of use at this admission. The choices include no-use intervals for more uniformity in describing the consumer’ current situation. This is a NOMS indicator.

-- Select --
Daily
3-6 Times In Past Week
1-2 Times In Past Week
1-3 Times in Past Month
No Use In Past Month
No Use In Past 3 Months
No Use In Past 6 Months
No Use In Past 12 Months
No Use In Past 1-3 Years
No Use In Past 4-5 Years
No Use In More Than 5 Years
Not Specified
Not Applicable
Unknown

Frequency of Use (Discharge) – This field only shows up after admission, and contains the same choices as that found in the drop-down menu for admission. This is a NOMS indicator.

Volume of Use – This is an open text box. Indicate the volume using words such as: 2 joints per setting; six pack nightly; 1.5 liter per afternoon, etc.

Route of Use – Select from the drop-down menu the route of administration for this substance.

-- Select --
IV
Nasal
Oral
Other
Smoke
Unknown

- IV – includes any use of needles with subcutaneous, injection, intramuscular, etc.
- Nasal – is any action through the nose.
- Oral – in some manner placed in the mouth, whether swallowed or not.
- Smoke – any of the several methods of heating, lighting or creating fumes that are then consumed by the individual.

Authorizations or TADS History

Prior service utilization is available by clicking either the TADS History or the Authorizations tab. The TADS History tab provides information concerning the use of registered services, while the Authorizations tab provides information concerning the use authorized services. Accessing the applicable tab provides a history of services billed. For authorized services, it also reviews billings against authorized units or time period. More information about TADS is available in [Chapter 20](#) of this manual. More information about authorizations is available in [Chapter 11](#) of this manual.

Diagnosis
Substance Use
Questionnaire
Authorizations
Reviews
Notes

Diagnosis
Substance Use
TADS History
Reviews
Notes

TADS History view for registered services:

Number of Units in TADS	Units Type	Posted to EBS	Utilization Month	Created By
1.00	50 minute	12/5/2017 12:02:18 AM	11/2017	bf200lnk\asteve4
2.00	50 minute	1/4/2018 12:03:56 AM	12/2017	bf200lnk\klitter
Total: 3.00				

Authorization tab view for authorized services:

Authorizations

Auth ID	Start Date	End Date	Number of Units Authorized	Auth Date/Time
66241	10/30/2017	4/27/2018	180.00	10/30/2017 7:34 PM

TADS History

Data is fake from CDS test site

Auth ID	Start Date	End Date	Number of Units Authorized	Auth Date/Time	Number of Units in TADS	Units Type	Posted to EBS	Utilization Month	Created By
66241					2.00	Per Diem	11/7/2017 12:02:48 AM	10/2017	bf200lnk\carmstr
66241					30.00	Per Diem	12/7/2017 12:01:47 AM	11/2017	bf200lnk\kqueen
66241					31.00	Per Diem	1/6/2018 12:01:04 AM	12/2017	bf200lnk\kqueen
66241	10/30/2017	4/27/2018	180.00	10/30/2017 7:34:28 PM	Total: 63.00				

Reviews

This is an open text box allowing the user to add notes or comments to the Encounter. Authorizations under appeal use the Reviews tab to add additional notes.

Status	Discharge Save Cancel															
Consumer	Review Events															
Demographics	Private Authorizer Notes Notes between staff about contacts with the consumer or attempts to contact can be entered in this box. The limit is 250 characters per entry. Useful in reviews/instructions between staff for CCR or CSR's.															
Health Status																
Trauma History																
Diagnosis	<table> <tr> <th>Date/Time</th> <th>Encounter Status</th> <th>Encounter State</th> <th>Event</th> <th>Actions</th> </tr> <tr> <td>10/30/2017 7:34:28 PM</td> <td>Pre-Admitted</td> <td>Authorized</td> <td>Authorization Approved (automated)</td> <td>View Details</td> </tr> <tr> <td>10/30/2017 7:34:27 PM</td> <td>Pre-Admitted</td> <td>Authorization Submitted</td> <td>Authorization Requested</td> <td>View Details</td> </tr> </table>	Date/Time	Encounter Status	Encounter State	Event	Actions	10/30/2017 7:34:28 PM	Pre-Admitted	Authorized	Authorization Approved (automated)	View Details	10/30/2017 7:34:27 PM	Pre-Admitted	Authorization Submitted	Authorization Requested	View Details
Date/Time	Encounter Status	Encounter State	Event	Actions												
10/30/2017 7:34:28 PM	Pre-Admitted	Authorized	Authorization Approved (automated)	View Details												
10/30/2017 7:34:27 PM	Pre-Admitted	Authorization Submitted	Authorization Requested	View Details												
Substance Use																
Questionnaire																

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

To view the details of the event, click on the orange [View Details](#) button.

Encounter Event Summary

Print

Summary

Encounter ID / Load History ID	294749 /
Data Source / Encounter Ident	13 / cfa94514-ba50-4687-88c2-4358c08d41a1
Consumer ID	000001845
Version ID / Load History ID	1701028 /
Event Type	Encounter Edited
Entered By (on)	BF200LNK\bbussar (11/9/2018 12:56 PM)
Name	HEMENWAY, LYSSA
Provider	Community Alliance - MorningStar
Funding Region	Region 6
Service	Psychiatric Residential Rehabilitation - MH
New Status	Admitted / Admitted

Changed Values

Private Authorizer Notes	Notes between staff about contacts with the consumer or attempts to contact can be entered in this box. The limit is 250 characters per entry. Useful in reviews/instructions between staff for CCR or CSR's.
---------------------------------	---

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Notes

The [Notes](#) tab allows the user to add notes to an Encounter record, using the [Consumer](#) tab to alert staff of special circumstances or processes that are needed.

Manage Encounter (294749)

Status

Discharge Save Cancel

Enter a new Note here:

Record New Note

Note Log

Consumer

Demographics

Health Status

Trauma History

Diagnosis

Substance Use

Questionnaire

Authorizations

Reviews

Notes

The Notes log is a free text entry screen in which end users can enter information important to the advancement of the consumer in treatment, or to other staff members regarding treatment needs. Click on [Record New Note](#) to activate the note section. Click on [Save](#) once completed.

Chapter 13: Youth Template

Youth Template

Services that use the Youth Template include *Professional Partner Program*, *Family Peer Support*, and *Family Navigator*.

Manage Encounter (245155)

Status

DIQ

PECFAS/CAFAS

SBQ-R

EIRF

PFS

Reviews

Waitlist

Contact Log

Notes

Action Buttons

Add to Waitlist Submit for Au Remove Encounter Save Cancel

Current State New Copy Encounter Report a Data Issue

Name SALLIE DEMOORE

Consumer ID 218398261

SSN

Date of Birth 5/31/2000

Service Provider Families CARE

Funding Region State Contracted

Service to be Provided Family Navigator - MH

Update History

Update Date	State	Event	Updated By	Actions
4/8/2017 1:45 PM	New	Reopened for Editing	usp_TriggerExpiredInitialAuths	View Details
3/29/2017 1:32 PM	Authorized	Authorization Approved (automated)	bf200lnk\mpavelk	View Details
3/29/2017 1:32 PM	Authorization Submitted	Authorization Requested	bf200lnk\mpavelk	View Details
3/29/2017 1:32 PM	New	Encounter Edited	bf200lnk\mpavelk	View Details
3/29/2017 1:06 PM	New	Removed from Waitlist	bf200lnk\mpavelk	View Details
2/21/2017 12:00 PM	Waitlisted	Added to Waitlist	bf200lnk\mpavelk	View Details
2/21/2017 12:00 PM	New	Encounter Edited	bf200lnk\mpavelk	View Details

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

The depiction above shows the Consumer tabs, Action buttons, and Update History for the youth template. Consult the section of this user manual about the **Manage Encounter** window.

Consumer Index Tabs (Youth Template)

The CDS uses three templates: General, Youth and Emergency. This section will describe the Youth Template. The Consumer Index tabs are located within the Manage Encounter window. Remember to save all entries before going on to the next Consumer Index tab. The Save button is located on the status bar. The Save button may be hidden as you scroll down the page, so scroll up to the status bar to see and click on the Save button. Each save creates a new line in the history table. Detailed explanation of the drop-down choices are available in the **Definitions and Variable Explanations** section of this manual.

Descriptive Information Questionnaire (DIQ).

The following fields are required:

- County of Residence
- County of Admission
- Ethnicity
- Who has legal custody of the child?
- For how many months in the past 6 months did the child live at home?
- Total number of children living in the household where the child is living
- Total number of people living in the household where the child is living
- What were the presenting problems leading to services?
- Race
- Gender

The following forms are required. See the **System Documentation and Training** website and the **Professional Partner Program Guide** for more complete information.

Manage Encounter (245155)

Status: Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel Print

DIQ Descriptive Information Questionnaire

This form gathers general descriptive and background information about the youth and family. This data is obtained at intake and entered into CDS prior to admission.

Child Information

Name (First, Middle, Last) SALLIE DEMOORE

Name Suffix **Previous Last Name**

Address 1308 O Street

City / State / Zip Franklin NE 68939

SSN

Birth Date 5/31/2000

County of Residence Franklin

County of Admission Buffalo

Phone Number 308-470-1722 **Type** Unlimited Subscription Cell Phone

Email Address

Is US Citizen ☒

Num Arrests in Past 30 Days 0

Living Arrangements -- Select --

Gender Male

Race (Select all that apply)

- ☐ American Indian/Alaska Native
- ☐ Asian
- ☐ Black/African American
- ☐ Native Hawaiian/Other Pacific Islander
- ☒ White
- ☐ Other

Ethnicity Non-Hispan

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Name (First, Middle, Last) – Taken from the Consumer Identification variables of the Create New Encounter windows.

Name Suffix – Indicate any suffix such as Jr., Sr., III, etc. This is important in identifying families with a tradition of using names from one generation to another.

Previous Last Name – List any last names that have changed because of marriage, divorce or other actions.

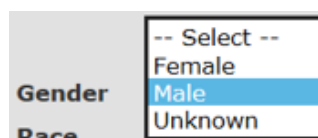
Address – Two lines are available for recording the consumer’s address. Record the consumer’s home address: Home address is that place to which the consumer will be returning upon completion of treatment. Do not enter into CDS the address of a residential treatment center (consumer survey uses the home address). Consumers who are homeless, having no address, are recorded as “NO PERMANENT ADDRESS” on the address line. Complete the city and zip code based on the current treatment service location (e.g. a consumer residing at Lincoln Homeless Shelter and receiving outpatient services from a downtown treatment entity in Lincoln should be recorded as NO PERMANENT ADDRESS, Lincoln, NE, 68508).

City/State/Zip – Enter the city, state, and zip code corresponding to the consumer’s address. For consumers who are homeless, enter the city, state, and zip code as outlined in the above statement.

Social Security Number (SSN) – List the consumer’s Social Security Number.

Birth Date – A key element established with the encounter, can be changed by the end user if necessary. See **Definitions** section for Date of Birth issues.

Gender – Select Male, Female or Unknown:

A screenshot of a web form showing a dropdown menu for 'Gender'. The menu is open, displaying four options: '-- Select --', 'Female', 'Male' (which is highlighted with a blue background), and 'Unknown'. The label 'Gender' is visible to the left of the dropdown, and the label 'Race' is partially visible below it.

Race – Select one or more of the available choices as necessary.

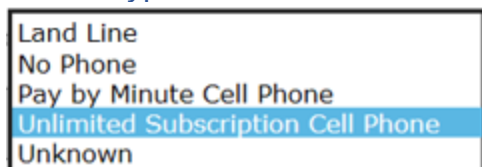
Ethnicity – Select from Hispanic, Non-Hispanic or unknown.

County of Residence – The county in which the consumer resides, or last known county of residence. Select from the available drop-down menu.

County of Admission – The county that the service provider is located in. Select from available drop-down menu.

Phone Number – The phone number of the consumer. Used for telephone surveys.

Phone Type – Select from available choices:

A screenshot of a web form showing a dropdown menu for 'Phone Type'. The menu is open, displaying five options: 'Land Line', 'No Phone', 'Pay by Minute Cell Phone', 'Unlimited Subscription Cell Phone' (which is highlighted with a blue background), and 'Unknown'.

*If the phone type is unknown, then the phone number is not required.

E-Mail Address – Used to invite the consumer to internet-based consumer surveys.

Is US Citizen	☒
Num Arrests in Past 30 Days	0
Living Arrangements	-- Select --
Current Medications	Laxative, Seroquill, Vyrance, Abilify
Education Level	-- Select --
Employment Status	-- Select --
Social Supports	-- Select --
Was a translator used?	☐
Preferred Language	English
Other Preferred Language	
Language Used	English
Other Language Used	
Annual Taxable Household Income	24,000
Is Relative or Significant Other of Primary Client	☐

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Is US Citizen – This field is required. Answer “Yes” for a U.S. citizen, and “No” if not. The consumer must be a U.S. citizen (or have the proper paperwork to validate residency) to be authorized for authorized level of care. There are exceptions to this rule if the consumer is a mental health board commitment. Not all levels of care require this field.

Number Arrests in Past 30 Days -- Enter a number from zero (0) to ninety nine (99).

Living Arrangement -- Select from available choices. Living arrangements is a NOMS indicator. See **Living Arrangements** discussion in the **Definitions and Variables Explanation** section of this manual.

-- Select --
Child Living with Parents/Relative
Child Residential Treatment
Crisis Residential Care
Foster Home
Homeless
Homeless Shelter
Jail/Correction Facility
Other 24 Hr Residential Care
Other Institutional Setting
Private Residence Receiving Support
Private Residence w/Housing Assistance
Private Residence w/o Support
Regional Center
Residential Treatment
Youth Living Independently
Other
Unknown

Current Medications – List all current medications, paying special attention to psychotropic medications.

Education Level – Select the last grade completed, or if home schooled, the equivalent grade level.

Employment Status – Select from the available choices. Employment Status is a NOMS indicator. See **Employment Status** discussion in **Definitions and Variables Explanation** section of this manual.

Social Supports – This should be selected if, in the past thirty (30) days, the consumer has participated in recovery activities, such as self-help groups or support groups (defined as attending self-help group meetings, attending religious/faith affiliated recovery or self-help group meetings, attending meetings of organizations other than organizations described above, or interactions with family members and/or friends supportive of recovery).

-- Select --
No Attendance in past month
1-3 times in past month
4-7 times in past month
8-15 times in past month
16-30 times in past month
Some attendance in past month
Unknown

Was a Translator Used? – Mark the check box if a translator was used.

Preferred Language – Select the preferred language of the family.

Other Preferred Language – Select if family uses an alternative language.

Language Used – Indicate the language used for communication with this family.

Other Language Used – Indicate if another language is also used to communicate with the family.

Annual Taxable Household Income – Annual taxable income is defined as alimony, wages, tips or other money received for a food or service. This information can be obtained by review of paycheck records, SSI/SSDI eligibility, Medicaid eligibility, and/or a signed statement from the consumer. For purposes of the Eligibility Worksheet, the taxable income of the consumer and other adult dependents should be used to determine Taxable Monthly Income. For the purposes of completing the Eligibility Worksheet, the following items are NOT included as taxable income: SSI, SSDI, Child support, or monetary assistance received from family or non-family members. Calculate Monthly figure and multiple by 12 to determine annual taxable income. Enter only the digits for the thousands (\$25,000 is entered as “25”).

Relative or Significant Other of Primary Client – Check if a relative or significant other of primary consumer.

The next series of questions deals with the youth's school attendance.

School Absences	-- Select --
Stable Environment	-- Select --
Juvenile Services Status	-- Select --
Impact on School Attendance	-- Select --

School Absences – Indicate the number of days, from the drop-down menu, that the consumer was absent from school.

-- Select --
Absent 2 or More Days per Week
Absent 1 Day per Week
Absent 1 Day Every 2 Weeks
Absent 1 or Less Days per Month
Home Schooled
Not Enrolled
Unknown

Stable Environment

Select from the available listings in the drop-down menu.

-- Select --
Emancipated minor
Guardian
Parent(s)
Ward of the State
Unknown

Juvenile Services Status

Select the appropriate response as to whether the consumer is enrolled in one of the listed juvenile services.

-- Select --
Drug Court
Not involved with Juvenile Services
OJS State Ward
Other Court Involvement
Probation
Unknown

Impact on School Attendance

Select the statement that best describes the impact of service on school attendance.

-- Select --
Greater Attendance
About the Same
Less Attendance
Does Not Apply-Expelled From School
Does Not Apply-No problem Before Service
Does Not Apply-Too Young to be in School
Does not Apply-Other
Does not Apply-Home Schooled
Does not Apply-Dropped out of School
N/A (at admission)
No Response-(Unable to Assess)
Unknown

Number of Dependents – A dependent is defined as any person married or cohabitating with the consumer, or any child under the age of 19 who depends on the consumer’s income for food, shelter, and care. Dependents may include parents, grandparents, or adult children if the individual(s) are living with the consumer, and they are dependent on the consumer’s income for their food, shelter or care.

- If there is no one dependent upon the consumer’s income other than the consumer, enter one (1).
- If the consumer is a child and is dependent upon someone other than self for support, enter zero (0).
- If the consumer is in a “cohabitating” relationship and does not rely on the support of the other individual(s) of the relationship and has no other source of support, enter one (1).

Poor Health in Last 30 Days (Mental) – Enter a number between zero (0) and thirty (30) for the number of days the consumer has experienced poor mental health.

Poor Health in Last 30 Days (Physical) – Indicate the number of days the consumer has experienced poor physical health in the last thirty (30) days. Use number between zero (0) and thirty (30).

Diagnosis

Diagnosis Date – Indicate what date the diagnosis was made.

Does this diagnosis meet the state criteria for SED/SMI – Indicate whether or not this consumer’s diagnosis meets the State’s definition of *Serious Emotional Disturbance* (SED) or *Serious Mental Illness* (SMI). Check the box if “Yes”. See the **Definitions** section of the **CDS Manual** for further explanation.

First Episode Psychosis / Coordinated Specialty Care –

System of Care Involved Youth? – Check the box to indicate if the consumer is a System of Care-involved consumer.

Cluster – Before using this box, training is required on Cluster Analysis. Using the drop-down menu, select the cluster that best describes the consumer.

Cluster Certainty – Select the level of certainty for the cluster selected.

Cluster Certainty	Unknown
	Don't know well enough
	Very certain
	Certain
	Somewhat uncertain
	Very uncertain
	Doesn't fit in any cluster

Diagnosis (ICD-10 Codes) – List up to four (4) diagnoses.

- It is important that the diagnosis in position “A” matches the service type offered: a SUD diagnosis for a SUD service, and a MH service for a MH diagnosis.
- The diagnosis in position “B” must be either a SUD or MH diagnosis for a dual diagnosis service.
- Positions “B”, “C”, or “D” can be any from the ICD-10 listings found in the **System Documentation and Training** website ICD-10 code listing, and do not necessarily need to be a MH or SUD diagnosis **to match the primary diagnosis**.

The last two positions allow codes to further explain the consumer’s situation.

- Primary (MH for MH Service; SUD for SUD service)
- Secondary (primary if co-occurring)
- Secondary
- Secondary

The Diagnosis Codes only allow ICD-10 CM. The system also checks formatting. For example: F33.3 must read exactly as it shows; F33.30 or F_33.3 will not work. System codes are grouped into MH and SUD codes. Check the **System Documentation and Training** website for a list of ICD-10 CM codes by service type, whether mental health, substance use disorder, or both.

After typing in the code, use the Tab key to move to the next diagnosis field. If the field turns amber yellow and flashes, your code wasn’t found. If the field turns solid amber yellow, the code is in the other coding list (i.e., a SUD diagnosis for a MH service), based on the service you are requesting. If the field stays white, your entry is correct per the service type.

First Treatment for diagnosis – Indicate if this is the first treatment for this diagnosis by checking the box.

12 months or longer in duration – Do you, as a clinician, perceive this diagnosis to last 12 months or longer? If true, check the box. This helps DBH understand SED/SPMI population.

As a result of the entire diagnosis – Check all current functional deficits that are a result of the diagnosis.

As a result of the entire diagnosis, please check all that apply:

☐	Causing "Physical Functioning" deficit
☐	Causing "Community Living Skills" deficit
☐	Causing "Vocational/Education" deficit
☒	Causing "Personal Care Skills" deficit
☐	Causing "Mood" deficit
☐	Causing "Interpersonal Relationships" deficit
☐	Causing "Psychological State" deficit
☐	Causing "Daily Living" deficit
☐	Causing "Social Skills" deficit
☐	Not Applicable

Optional GAF Score – GAF scores are not required. The provider may choose to use the DSM-IV GAF score or another GAF determination process, such as from the World Health Organization as outlined in the DSM 5.

Child and Family History – This section describes the child and family history.

- **For how many months in the past six (6) months did the child live at home?**
Indicate a number from zero (0) to six (6).
- **Total Number of people in the household where the child is currently living?**
Enter a number between zero (0) and ninety-nine (99).
- **Total number of children in the household where the child is currently living?**
Enter a number between zero (0) and ninety-nine (99).

Who has legal custody of the child – Select the best response from the options available in the drop-down menu.

-- Select --
Two Biological Parents
One Biological and One Stepparent
Biological Mother Only
Biological Father Only
Aunt and/or Uncle
Grandparent(s)
Adoptive Parent(s)
Friend (Adult Friend)
Foster Parent(s)
Ward of the State
Sibling(s)
Self
Other

What are the presenting problems leading to services – Select from the available choices those that describe the presenting problems leading to services (check all that apply).

In the past 12 months, did the child receive any of these services – Select as many of the services the child has received in the last twelve (12) months from the list presented.

Child's History – From the list provided, select those items that describes the child's history.

Child's Biological Family – Select the statements that describe the child's biological family.

Scroll up to the top of the form to click on Save.

Functional Assessment Scales

Child and Adolescent Functional Assessment Scale (CAFAS) OR Preschool and Early Childhood Functional Assessment Scale (PECFAS)

Select to add new information, and complete the worksheet. Consult **Youth** manuals to determine frequency of the CAFAS or PECFAS.

The screenshot shows the 'Manage Encounter (245155)' form. On the left sidebar, 'Status' is selected. The main content area is titled 'PECFAS / CAFAS'. At the top of this section are buttons: 'Add to Waitlist', 'Submit for Authorization', 'Cancel Without an Admission', 'Remove Encounter', 'Save', and 'Cancel'. Below these is a table with the following columns: 'Date', 'Form Type', 'School / Work', 'Home', 'Community', 'Behavior Towards Others', 'Moods / Emotions', 'Self Harm', 'Substance Use', 'Thinking', 'Total', and 'Entered By'. A single row is visible with the date '12/20/2018', form type 'PECFAS', and all numerical values set to '0'. The 'Entered By' field is empty. At the end of the row are 'Save' and 'Cancel' buttons.

Suicide Behavior Questionnaire – Revised (SBQ-R)

To add an SBQ-R report, click on the +Add SBQ-R Report button. To waive the form, click on the +Waive This Form button. Consult **Program Manual** for frequency of completing forms.

The screenshot shows the 'Manage Encounter (245155)' form. On the left sidebar, 'Status' is selected. The main content area is titled 'Suicide Behaviors Questionnaire - Revised'. At the top of this section are buttons: 'Add to Waitlist', 'Submit for Authorization', 'Cancel Without an Admission', 'Remove Encounter', 'Save', and 'Cancel'. Below these are two buttons: '+ Add SBQ-R Report' and '+ Waive This Form'. The sidebar on the left shows 'SBQ-R' as the selected option.

Complete the SBQ-R as appropriate. Select the appropriate answer from the various drop-down menus.

Suicide Behaviors Questionnaire - Revised

Date of Screening: 12/20/2018

Zip Code of Screening: _____

Did the youth self-identify at risk for suicide anytime during the screening process? ☐

During the interview/debriefing following administration of the SBQ-R, the youth was deemed to be: Unknown risk

Have you ever thought about or tried to kill yourself? 1 = Never

How many times have you thought about killing yourself? 1 = Never

Have you ever told someone that you were going to kill yourself? 1 = No

Do you think that you might kill yourself someday? 0 = Never

Save **Cancel**

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Click the Save button to complete and save the form into the record. Once saved, click on the Details button to see previous results.

Early Identification, Referral and Follow-up (EIRF)

Manage Encounter (245155)

Status: Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel

DIQ: Early Identification, Referral and Follow-up (EIRF) + Add 3 Month Report + Add 6 Month Report + Add Referral Report

PECFAS/CAFAS

SBQ-R

EIRF

ERIF's are conducted at certain time periods when the consumer is in service. Select the time period from among the three (3) buttons and complete the form. The three (3) and six (6) month follow-up forms are the same. The Referral form is different as depicted following the three (3) and six (6) month form.

Three and Six month EIRF Follow-up Form:

EIRF Followup Form	EIRF Followup Form
Date form completed 12/20/2018	Date form completed 12/20/2018
In the 3 months following the date of referral, did the youth receive mental health services as a result of the mental health referral? Yes	In the 3 months following the date of referral, did the youth receive mental health services as a result of the mental health referral? Yes
What services did the youth receive? (select all that apply)	What services did the youth receive? (select all that apply)
☐ Mental Health Assessment	☐ Mental Health Assessment
☐ Substance Use Assessment	☐ Substance Use Assessment
☐ Mental Health Counseling	☐ Mental Health Counseling
☐ Substance Use Counseling	☐ Substance Use Counseling
☐ IP or Residential Psychological Services	☐ IP or Residential Psychological Services
☐ Medication	☐ Medication
Other Service:	Other Service:
Date of appointment __/__/__	Date of appointment __/__/__
Zip code of appointment location ____-__	Zip code of appointment location ____-__
Save Cancel	Save Cancel

The Referral EIRF Follow-up Form:

EIRF Followup Form
Date form completed 12/20/2018
Zip code of screening ____-__
Was the youth referred for either mental health or non-mental health related services? Yes, the youth was referred to mental health and nonmental health related services.
Where was the youth recommended for nonmental health support? (Select all that apply.)
☐ School or other academic organization
☐ Family or extended family
☐ Community based organization, recreation, religious, or afterschool program
☐ Physical health provider (e.g., medical, vision, hearing, dental)
☐ Law enforcement or juvenile justice agency
☐ Child welfare agency or shelter
Other (please describe)
Date of MH referral __/__/__
Where was the child referred for mental health related services? (select all that apply).
☐ Public mental health agency or provider
☐ Private mental health agency or provider
☐ Psychiatric hospital/unit
☐ Emergency room
☐ Substance abuse treatment center
☐ School counselor
☐ Mobile crisis unit
☐ Crisis hotline
Other (please describe)
Save Cancel

Protective Factors Survey (PFS)

The Protective Factors Survey (PFS) is another evaluative tool used in programs serving youth and families. Click on [Complete New PFS Survey](#) or [Waive this Form](#) button to begin.

The PFS is a form that is more than one screen in length. Once the form is completed, click the [Save](#) button on the bottom of the form.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

To complete the form, indicate the type of contact that was made. Date the form, indicate who is answering the survey, and whether the participant had any involvement with Child Protective Services. Then indicate the number of hours of attendance.

Select the services that most accurately describe what the participant is receiving. This variable allows for multiple selections.

Complete the demographic information by selecting from the drop-down choices as presented in the variable choices. Finally, any additional social services currently received should be selected. Check all that apply.

An additional background question is used to indicate how many children there are in the household. Up to four (4) children can be entered by filling in spaces to indicate Gender, Birth Date, and Relationship.

Please tell us about the children living in your household. First, how many are there?

4

	Gender	Birth Date	Your Relationship To Child
Child 1	Female	__/__/__	Birth parent
Child 2	Female	__/__/__	Birth parent
Child 3	Female	__/__/__	Birth parent
Child 4	Female	__/__/__	Birth parent

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Survey Questions

Part I

In each of the survey questions, select the best answer by clicking on the oval of the frequency response. Only one frequency response can be made per indicator.

Survey Questions

Part I. Please select the option that describes how often the statements are true for you or your family.

	Never	Very Rarely	Rarely	About Half the Time	Frequently	Very Frequently	Always
In my family, we talk about problems.	☐	☐	☐	☐	☐	☐	☐
When we argue, my family listens to "both sides of the story."	☐	☐	☐	☐	☐	☐	☐
In my family, we take time to listen to each other.	☐	☐	☐	☐	☐	☐	☐
My family pulls together when things are stressful.	☐	☐	☐	☐	☐	☐	☐
My family is able to solve our problems.	☐	☐	☐	☐	☐	☐	☐

Part II

As in Part I, click on the oval that matches your agreement or disagreement to the statements.

Part II. Please select the option that describes how much you agree or disagree with the statement.

	Strongly Disagree	Mostly Disagree	Slightly Disagree	Neutral	Slightly Agree	Mostly Agree	Strongly Agree
I have others who will listen when I need to talk about my problems.	☐	☐	☐	☐	☐	☐	☐
When I am lonely, there are several people I can talk to.	☐	☐	☐	☐	☐	☐	☐
I would have no idea where to turn if my family needed food or housing.	☐	☐	☐	☐	☐	☐	☐
I wouldn't know where to go for help if I had trouble making ends meet.	☐	☐	☐	☐	☐	☐	☐
If there is a crisis, I have others I can talk to.	☐	☐	☐	☐	☐	☐	☐
If I needed help finding a job, I wouldn't know where to go for help.	☐	☐	☐	☐	☐	☐	☐

Part III and Part IV

Part III. Please select the option that describes how much you agree or disagree with the statement.

	Strongly Disagree	Mostly Disagree	Slightly Disagree	Neutral	Slightly Agree	Mostly Agree	Strongly Agree
There are many times when I don't know what to do as a parent.	☐	☐	☐	☐	☐	☐	☐
I know how to help my child learn.	☐	☐	☐	☐	☐	☐	☐
My child misbehaves just to upset me.	☐	☐	☐	☐	☐	☐	☐

Part IV. Please select the option that describes how often the following happens in your family.

	Never	Very Rarely	Rarely	About Half the Time	Frequently	Very Frequently	Always
I praise my child when he/she behaves well.	☐	☐	☐	☐	☐	☐	☐
When I discipline my child, I lose control.	☐	☐	☐	☐	☐	☐	☐
I am happy being with my child.	☐	☐	☐	☐	☐	☐	☐
My child and I are very close to each other.	☐	☐	☐	☐	☐	☐	☐
I am able to soothe my child when he/she is upset.	☐	☐	☐	☐	☐	☐	☐
I spend time with my child doing what he/she likes to do.	☐	☐	☐	☐	☐	☐	☐

[Save Changes](#)
[Submit Final Form](#)
[Cancel](#)

As in Parts I and II, select the degree of agreement or frequency that best describes each situation with the consumer.

Once the form is completed, click on [Save Changes](#), [Submit Final Form](#) or the [Cancel](#) button. [Cancel](#) will erase any answers and put the end user back to the [Manage Encounter](#) page.

Reviews

This [Consumer](#) tab allows the end user to determine what reviews have been conducted, and the status of the review. It is similar to the Update History table of the [Manage Encounter](#) window, in that it provides the opportunity to review authorization history.

Manage Encounter (245155)

Status [Add to Waitlist](#) [Submit for Authorization](#) [Cancel Without an Admission](#) [Remove Encounter](#) [Save](#) [Cancel](#)

DIQ

PECFAS/CAFAS

SBQ-R

EIRF

PFS

Reviews

Waitlist

Review Events

Private Authorizer Notes

Date/Time	Encounter Status	Encounter State	Event	Actions
3/29/2017 1:32:10 PM	Pre-Admitted	Authorized	Authorization Approved (automated)	View Details
3/29/2017 1:32:10 PM	Pre-Admitted	Authorization Submitted	Authorization Requested	View Details

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Waitlist

Either because of program capacity or family/consumer readiness, the encounter may be waitlisted. Waitlisting is not necessarily a bad thing and can be useful in managing agency resources.

Adding To Wait List

Add Consumer to the Waitlist

Waitlist/Service Confirmation Date Date of First Contact

Priority Population Face to Face – Complete Admission

MHB Status

Commitment Date

Interim Services Delivered Date

Engagement Service

Additional Client Engagement

Assessment Date

Referral Date Referral Date

Referral Source

(Offered) Admit Date

Primary Funding Source

Faith-based request/charitable choice

[Add to the Waitlist](#) [Cancel](#)

NEBRA
Good Life. Gre
DEPT. OF HEALTH AND H

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Use the slide as a guide to understanding the request dates for Confirmation Date, Assessment Date, Referral date and (Offered) Admit Date. See more detailed discussion in this manual section about **Wait List**.

Click on Add to the Waitlist button once the form is completed.

To remove the family/consumer from the wait list, click on Remove from the Waitlist button and complete the resulting form.

Remove Consumer from the Waitlist

Waitlist Removal Date

12/20/2018

Waitlist Removal Reason

Admitted to Program

MHB Status

Unknown Type

Commitment Date

__/__/__

Service Provider

Nebraska Family Support Network - 3568 Dodge St On

Additional Notes

Remove from the Waitlist

Cancel

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

The field for Waitlist Removal Reason has several choices:

Admitted to Program

Admitted to Program - Other Funding

Admitted to Other Program

Cannot be Located

Refused Treatment

Succeeding at a Lower Level of Care

Requires a Higher Level of Care

Deceased

Incarcerated

No longer qualifies for program

Click on Remove from the Waitlist button to finalize the record for removal. Once removed, or if not waitlisted, the record can be authorized into the program or admitted to registered service. See **Authorization** segment of the manual for more details.

Click on the appropriate button on the Status line.

Manage Encounter (368165)

Status

Admit for Authorized Service

Re-open for Editing

Cancel Without an Admission

Remove Encounter

Save

Cancel

Notes

Notes tab allows staff to write brief notes about the activity and progress of an encounter to other staff. Type in the information (up to 360 characters) and then click on the Record New Note button.

Status
DIQ
PECFAS/CAFAS
SBQ-R
EIRF
PFS

Discharge
Submit Request for Continued Stay
Save
Cancel

Enter a new Note here:

Record New Note

Note Log

Discharging Encounter

The Discharge screen includes updating many variables that are distinctly in use on the Youth Service template. If the DIQ was updated prior to discharge, the Discharge screen will reflect those updates; otherwise, complete the Discharge screen with the most available information.

Discharge Consumer and Close the Encounter

Discharge Summary

Discharge Date

Residential Status

Reason for Discharge

To what extent does the Partner agree with this discharge?

To what extent does the Youth agree with this discharge?

To what extent do the Parent(s) agree with this discharge?

To what extent does the Child Family Team agree with this discharge?

School Absences

Impact on School Attendance

Num Arrests in Past 30 Days

Education Level

Employment Status

Has Attempted Suicide 30 Days?

Any suspected trauma history?

Desired Outcomes and Expectations Achievement

Priority Goals	Category	Intake Problem Rating	Outcome Rating
Goal 1	No Response	No Response	No Response
Goal 2	No Response	No Response	No Response
Goal 3	No Response	No Response	No Response
Goal 4	No Response	No Response	No Response

Comments:

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Chapter 14: Crisis Template

Crisis Template

CDS uses three templates: General, Youth and Crisis. This section will describe the Crisis template. Services that use the Crisis template include *Crisis Response* and *24-Hour Crisis Line*. Encounters for these services allow for a minimum amount of information to be collected to document the service interaction having taken place. This document will show the differences in the variables used for these services.

Update Date	State	Event	Updated By	Actions
8/23/2016 1:28 PM	Discharged	Discharged	bf200lnk\sgonza4	View Details
8/23/2016 1:28 PM	Admitted	Consumer Admitted	bf200lnk\sgonza4	View Details
8/23/2016 1:28 PM	New	Encounter Edited	bf200lnk\sgonza4	View Details

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

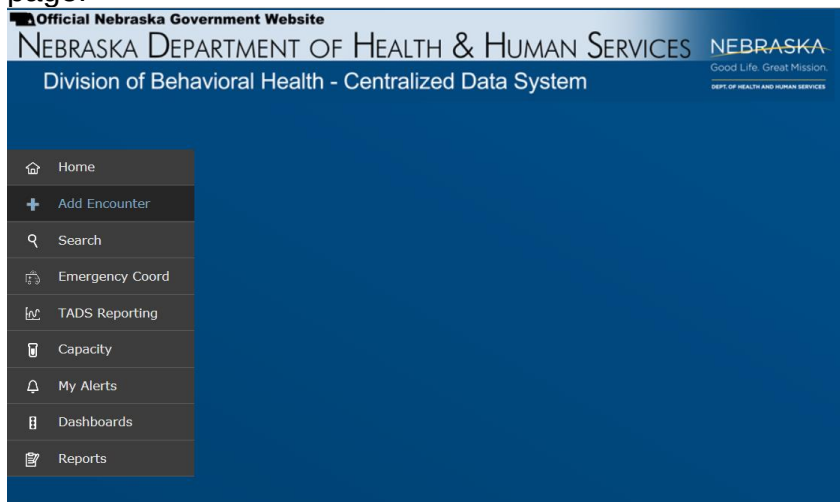
Consumer Information

The Manage Encounter window includes Current State, Name, Consumer ID, SSN, Date of Birth, Service Provider, Funding Region and Service to be Provided, which are repeated here so the end user knows what the encounter represents. These variables will have been set during the Create Encounter actions.

Create Encounter

This action is necessary to add a consumer to any service. The data elements listed uniquely recognize each consumer being funded by regional/state funds for mental health or substance use disorder (behavioral health) services within the state. Except as outlined in the waitlist instructions, only consumers receiving or anticipated to receive regional/state support are required to be entered into the Centralized Data System (CDS) of the Department of Health and Human Services, Division of Behavioral Health.

Click on the Add Encounter on the index tab found on the left side of the CDS Home page.



Establishing Consumer Identity

After clicking the Add Encounter tab, CDS displays the first screen of creating a new encounter, the Consumer Identification pop-up window.

Please note that the following data elements are required: Last Name, First Name, and Date of Birth.

Consumer Identification

Consumer ID

OR

Last Name123First Name456

Date of Birth04/01/1978Zip Code-- --

SSN-- -- --Gender-- Select --

Search

Create New Consumer Record

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Consumer ID

The Consumer ID is a system-generated ID that is unique to the combination of the consumer's last name, first name, date of birth, and Social Security Number. Please take care to use only the system-generated Consumer ID for CDS. If you do not know this number, leave this variable blank, and CDS will either locate a previously established Consumer ID, or create a new one where one does not already exist. CDS uses a Master Patient Index (MPI) to link people across agencies and regions. Because each end user can see only the information for which they have permission, end users

may not know that a consumer is already in the system. Carefully enter as much information as you can verify, using documentation made available to you by the consumer.

Again, the end user only sees the information they have permission to see. If the end user only has location specific permission, they will see only that information for that location. If the agency has multiple locations, and the end user has permission at each location, then they will see the agency-wide information, and may have greater information on which to compare a new encounter to an existing encounter for a consumer.

Last Name (REQUIRED) – Carefully enter the consumer’s last name. The last name helps to identify each unique consumer in CDS.

First Name (REQUIRED) – Carefully enter the consumer’s first name. The first name helps to identify each unique consumer in CDS.

Date of Birth (REQUIRED) – Describes the date of birth of the consumer.

*Regarding unknown Date of Birth: Every effort should be made to obtain needed information, using copies of official documentation. In the event of a consumer who is not able to provide such documentation, estimating age using 01/01/YYYY is an alternative. Even establishing a month (MM) and year of birth (YYYY) using MM/01/YYYY would assist CDS in identifying the consumer. Because reimbursement occurs on a monthly schedule, emergency and registered service providers might delay data entry while waiting for identifying information.

(In this example YYYY=Year in 4 digit format; MM=Month and DD=Day each in 2 digit format.)

SSN (PREFERRED) – The Social Security Number (SSN) is used to verify information, and to uniquely identify each consumer within CDS. The use of single digits (all 9’s, 6’s etc.) or sequential numbers (1234 etc.) or any other schema, other than the consumer’s actual SSN, is not permitted. If you do not have the SSN, and have exhausted options to collect, please leave the SSN entry blank.

Zip Code and Gender (OPTIONAL) – Enter the consumer’s home zip code. If not available, leave blank. For gender, use the consumer’s assigned gender at their time of birth.

A Note about Limited Information

Crisis forms may use numbers or letters for first and last name in the event that the name is not available from the consumer. End users are encouraged to get as much information as possible from the consumer seeking crisis assistance. Completing the year and month of birth helps in consumer identification. Likewise, the last four digits of the Social Security Number helps to create uniqueness among crisis participants.

Click on [Search](#) or [Create New Patient Record](#)

Click on Search if you want to search for the consumer using available data. The search will be conducted based on end user permissions. The search will bring up a listing of known cases with a close fit to the information given. Click on the appropriate consumer listed. If the list does not generate a match, click on Create New Patient Record.

Create New Encounter

Consumer ID

OR

Last Name First Name
Date of Birth Zip Code
SSN Gender

Search Create New Patient Record

	Consumer ID	Last Name	First Name	DOB	SSN	Gender	Zip Code
Select	000012432	ARCHIBQUE	AH	05/19/1975	xxx-xx-2432	Male	68508
Select	000017398	AKPUNONU	AYE	03/17/1937	xxx-xx-7398	Male	68503
Select	000019743	ALMEIDA	A.B.	07/08/1975	xxx-xx-9743	Male	68107
Select	000000000	aaa	aaa	03/03/2018	xxx-xx-2341	Female	

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

If you know that this is a new consumer to your location, then you can skip the search step and click on Create New Patient Record button to begin a new encounter.

Create New Encounter – Provider Information

Consumer Identification

Name (first/middle/last/suffix)

Date of Birth Zip Code

SSN Gender

Service Provider

Funding Region

Service to be Provided

Create Cancel

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Service Provider – Describes the rendering provider at the service location level. The end user will have limited options, based on established user permissions and contracted options for each provider location. By choosing the service location, the end user is instructing the system to query the contracts for this location. The following two fields are determined by the user's selection. If the end user does not see a service

provider in the Service Provider drop down menu (i.e. a different location within the user's agency), the end user must contact the agency super user to get his/her permissions edited, or to determine next steps to discover why the location is missing.

Funding Region – Describes the Region contract funding this encounter.

Service to be Provided – Describes the service that CDS is tracking for the consumer in this encounter. Click on Crisis Response. To be a Crisis Response encounter, one of the service expectations is: "Perform a crisis assessment including brief mental health status, risk of dangerousness to self and/or others assessment and determination of appropriate level of care." (Service definitions 4/11/15)

Click Create

CDS creates a new encounter.

Complete the Crisis Response Form

Once the consumer and service provider are selected, clicking on the Create Encounter button brings up the Crisis Response form. For Crisis Response encounters, complete as much information as you have available at the time of creating the encounter (which can be somewhat delayed from when the Crisis Response actually took place, in order to allow time to gather the information following the actual response). Once a Crisis Response form is saved, the encounter automatically discharges the consumer from this particular service. The same is true for any 24 Hour Crisis Response call.

24 Hour Crisis Response	
Name	456 123
Address	
City/State/Zip	NE
County of Residence	-- Select -- v
County of Admission	-- Select -- v
Phone Number	Type Land Line v
Email Address	
SSN	
Date of Birth	4/4/1955
Race (Select all that apply)	☐ American Indian/Alaska Native ☐ Asian ☐ Black/African American ☐ Native Hawaiian/Other Pacific Islander ☐ White ☐ Other
Ethnicity	-- Select -- v
Gender	-- Select -- v
Marital Status	-- Select -- v
Employment Status	-- Select -- v
Living Arrangements	-- Select -- v

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Service Provider	Blue Valley Behavioral Health - Beatrice
Funding Region	Region 5
Service to be Provided	24-Hour Crisis Line - MH
Admission Date	12/21/2018 9:32 AM
Crisis Location	-- Select -- v
Crisis Situation	-- Select -- v
Referral Source	-- Select -- v

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Name – Established on the Consumer Identification window and repeated here. End users can make changes to the consumer's name, if needed, until the Save button is clicked.

Address – Complete the address information for the consumer. If the consumer is homeless, enter the address as "NO PERMANENT ADDRESS". If address is unknown, enter "Unknown" in the address line.

City, State and Zip Code – Enter information as known. If the consumer is homeless, use the city and zip code where the incident took place.

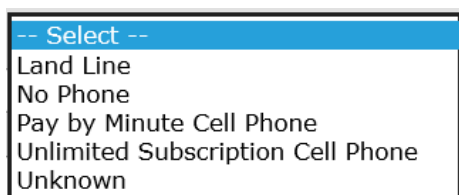
County of Residence – This is the county of the consumer’s home residence. Choose the appropriate county from the drop-down box. If this information is not known or unable to be determined, choose “Unknown”.

County of Admission – This is the county of the crisis situation. Choose the appropriate county from the drop-down box.

Phone number

Enter phone number, if available. If unknown, leave blank.

Type – Using the drop-down menu, select the type of phone service.



E-mail Address – If known, enter the consumer’s e-mail address. If unknown, leave blank.

SSN – Enter the consumer’s Social Security Number, if known. If unknown, leave blank.

Date of Birth – Date of birth from the consumer identification window will repeat here.

Race – Select all that apply from among the choices listed.



Ethnicity – Select “Hispanic”, “Non-Hispanic”, or “unknown” from the drop-down menu.

Gender – Select the consumer’s gender at birth from the drop-down menu.

Marital Status – Select from among the available choices in the drop-down boxes.

-- Select --

Cohabiting

Divorced

Married

Never Married

Separated

Widowed

Unknown

Employment Status

Indicate the consumer's employment status. See **Employment Status Definitions** found in this manual.

-- Select --

Active/Armed Forces (< 35 Hrs)

Active/Armed Forces (35+ Hrs)

Disabled

Employed Full Time (35+ Hrs)

Employed Part Time (< 35 Hrs)

Homemaker

Resident of Institution

Retired

Sheltered Workshop

Student

Unemployed - Laid Off/Looking

Unemployed - Not Seeking

Volunteer

Unknown

Living Arrangements

Select from the choices in the drop-down menu. See **Living Situation Definitions** elsewhere in the manual.

-- Select --

Assisted Living Facility

Child Living with Parents/Relative

Child Residential Treatment

Crisis Residential Care

Foster Home

Homeless

Homeless Shelter

Jail/Correction Facility

Other 24 Hr Residential Care

Other Institutional Setting

Private Residence Receiving Support

Private Residence w/Housing Assistance

Private Residence w/o Support

Regional Center

Residential Treatment

Youth Living Independently

Other

Unknown

Living Arrangements – Select from the choices in the drop-down menu. Refer to the **NOMS chapter** of this manual ([Chapter 25](#)) for more detailed information about the available living arrangements.

-- Select --
Assisted Living Facility
Child Living with Parents/Relative
Child Residential Treatment
Crisis Residential Care
Foster Home
Homeless
Homeless Shelter
Jail/Correction Facility
Other 24 Hr Residential Care
Other Institutional Setting
Private Residence Receiving Support
Private Residence w/Housing Assistance
Private Residence w/o Support
Regional Center
Residential Treatment
Youth Living Independently
Other
Unknown

Service and Funding Information

Service Provider – This information will autofill from the second window of the New Encounter set-up.

Funding Region – This information will autofill from the second window of the New Encounter set-up.

Service to be Provided – This information will autofill from the second window of the New Encounter set-up.

Type of Assessment (On Crisis Response Form Only) – Select from the three (3) menu choices: Face to Face, Phone, and Telehealth.

Type of Assessment	Face to Face
Admission Date	Phone
	Telehealth

Admission Information

Admission Date

Accept the date as posted, or change to the actual date of encounter, if entering at a later date.

Crisis Location

Select from the available choices in the drop-down menu.

-- Select --
Residence
Hospital
Jail
Other
Unknown

Crisis Situation

Select from the available choices in the drop-down menu.

-- Select --
Action of a Sexual Nature
Disorderly
Intoxication
Neglect of Self Care
Other
Suicide Attempt or Threat
Theft or Property Crime
Threats or Violence
Unknown

Crisis Dangerousness

(On Crisis Response Form only) – Check the box that most closely describes the crisis dangerousness.

Crisis Dangerousness

☐ Unpredictable, impulsive, violent
☐ History of violent or impulsive behavior
☐ Ambivalent suicidal/homicidal ideas or gestures
☐ Suicidal/Homicidal ideation with control
☐ Unable to meet needs in manner threatening to self
☐ No violent or impulsive ideation or behavior

Referral Source

Select from the drop-down menu (see **Referral Source Definitions** found in this manual or on the **System Documentation and Training** website).

-- Select --

Self (e.g. Self/Internet/Yellow Pages)
Community: Community/Social Services Agency
Community: Employer or Employee Assistance Program (EAP)
Community: Family or Friend
Community: Homeless Shelter
Community: Nebraska Family Helpline
Community: Nebraska Vocational Rehabilitation
Community: School
Community: Self-Help Group
Community: Tribal Elder or Official
Emergency/Crisis MH Services
Emergency/Crisis SUD Services
Justice System: Law Enforcement Agency (e.g. Police/Sheriff/Highway Patrol)
Justice System: Corrections
Justice System: Court Order
Justice System: Court Referral
Justice System: Defense Attorney
Justice System: Drug Court
Justice System: Mental Health Court
Justice System: Parole
Justice System: Pre-trial Diversion
Justice System: Probation
Justice System: Prosecutor
MH Commitment Board
PATH: Projects for Assistance in Transition from Homelessness
Provider: MH Services Provider
Provider: SUD Services Provider
Provider: Medical/Health Care Provider
Provider: Transfer Inter Agency
Regional Behavioral Health Authority
Regional Center/Psychiatric Hospital
Other
Unknown

Substance Use Matrix – Complete if information is available for each of the primary, secondary and tertiary drugs of choice.

	Primary Substance	Secondary Substance	Tertiary Substance
Substance Used	-- Select --	-- Select --	-- Select --
Age of First Use			
Frequency of Use (Admission)	-- Select --	-- Select --	-- Select --
Volume Of Use			
Route of Use	-- Select --	-- Select --	-- Select --

Officer Name (On Crisis Response Form Only) –List the names(s) of responding officer(s).

Badge Number (On Crisis Response Form Only) –List the name(s) of responding officer(s).

Current Medications –List medications by name or class of drugs, if known. If not available, leave blank.

Is Med Compliant –Check the box if the consumer is compliant with their prescribed medications.

Psychiatric History – Briefly describe the consumer’s psychiatric history. If none, state “None”.

Criminal History – Briefly describe the consumer’s criminal history. If none, state “None”.

Support System Types – Check the types of support the consumer has available to them that can influence progress toward recovery.

Support System Types

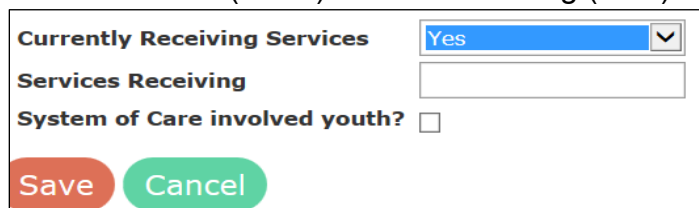
- ☐ Family, friends or other support available
- ☐ Family, friends or other support questionable
- ☐ Some support but difficult to mobilize
- ☐ Some support but effectiveness is limited
- ☐ No family, friends, agency or other support

Ability to Cooperate – Indicate the consumer’s ability to cooperate in recovery.

Ability To Cooperate

- ☐ Willing and able to cooperate
- ☐ Wants help but is ambivalent or unmotivated
- ☐ Passively accepts help
- ☐ Little interest or comprehension
- ☐ Unable or unwilling to cooperate

Currently Receiving Services – Indicate if the consumer is currently receiving behavioral health services (“Yes”) or if not receiving (“No”). If unknown, select “Unknown”.



Currently Receiving Services: Yes

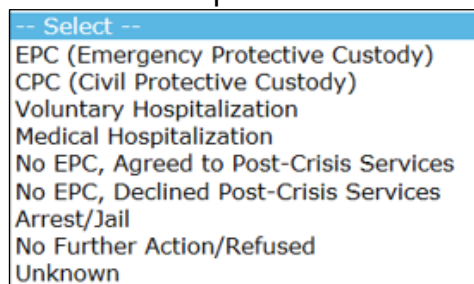
Services Receiving:

System of Care involved youth? ☐

Save Cancel

Services Receiving – List the type of services the consumer is receiving from the behavioral health system. If not receiving any services, state “None”.

Crisis Disposition (On Crisis Response Form Only) – Select the choice that best fits this encounter’s disposition from the available drop-down menu.



-- Select --

- EPC (Emergency Protective Custody)
- CPC (Civil Protective Custody)
- Voluntary Hospitalization
- Medical Hospitalization
- No EPC, Agreed to Post-Crisis Services
- No EPC, Declined Post-Crisis Services
- Arrest/Jail
- No Further Action/Refused
- Unknown

System of Care Youth – Indicate if the consumer is a System of Care youth. In other words, if this Crisis Response is to be funded using System of Care funds, check the box.

Once the end user has entered all pertinent information in the desired fields within the “Crisis Response” form, click the Save button. Once the end user clicks the Save button, the encounter is then saved, and CDS discharges the encounter. Please try not to be interrupted while completing a record, as doing so creates an **orphan record** and the end user must start over in completing the form.

****Once saved, this record is no longer able to be edited by the end user.**

****Once Save is clicked, the system automatically “discharges” from this service.**

You can view the entries in the Crisis Response tab in the Manage Encounter module.

Manage Encounter (253264)

Status

Consumer

Crisis Response

Demographics

Health Status

Trauma History

Diagnosis

Substance Use

Reviews

Notes

Cancel

Current State

Discharged

Report a Data Issue

Name

Jay Jay

Consumer #

84793

SSN

xxx-xx-1111

Date of Birth

10/5/1970

Service Provider

BOX BUTTE General Hospital

Funding Region

Region 1

Service to be Provided

Crisis Response - MH

Admission Date

10/9/2017 12:32 PM

Discharge Date

10/9/2017 12:32 PM

Update History

Update Date	State	Event	Updated By	Actions
10/9/2017 12:32 PM	Discharged	Discharged	BF200LNK\ssstrau1	View Details
10/9/2017 12:32 PM	Admitted	Consumer Admitted	BF200LNK\ssstrau1	View Details
10/9/2017 12:32 PM	New	Encounter Edited	BF200LNK\ssstrau1	View Details

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Chapter 15: Questionnaire

Questionnaire

Introduction to Questionnaire

This chapter deals with the Centralized Data System (CDS) authorization questionnaires. Two distinct questionnaires have been developed: one for use with mental health authorized level of services, and one for use with substance use disorder authorized level of services. Questionnaires must be completed by a provider to obtain:

- New Authorizations,
- Continued Stay Reviews,
- Discharge from authorized services.

End users should complete the authorization questionnaire as the last step in obtaining an authorization. Complete all of the Consumer tabs before completing the questionnaire. The automated authorization processes users information contained across multiple Consumer tabs to evaluate the consumer's qualification for an authorized service.

To prepare for an authorization, end users should consult the **Utilization Guidelines and Service Definitions** of the Division of Behavioral Health (DBH) found on the agency website. Authorizations are required for all authorized level of services; however, they are not required for registered services.

There are several steps in preparing for an authorization:

- Complete or update the Consumer tabs, paying special attention to diagnosis, functional deficits, and substance use history.
- Complete an Initial Questionnaire (or in the case of a reauthorization, a Progress Report).
- Submit for Authorization or Continued Stay, and receive a system response indicating an authorization approval or denial.
- Act on the system response:
 - a. If approved, Admit to Authorized Service, or
 - b. View Details in case of a denial.

An initial authorization begins with creating an encounter, followed by completing the Consumer tabs and an Initial Questionnaire. Continued Stay Reviews begin with review of the Consumer tabs (updating data fields as needed) and completing a Progress

Report. To discharge an authorized encounter, review Consumer tabs (updating data fields as needed) and complete a Discharge Report.

The authorization and re-authorization processes are semi-automated within CDS, using prebuilt logic to determine approvals and denials.

- Questionnaires compare end user input against a logarithm that considers severity of the consumer's condition and the service being sought.
- To get an authorization, end users select the most appropriate responses to all components of the authorization questionnaire, considering the consumer's condition at the time of authorization request.
- End users must evaluate the consumer's condition as it compares to the general population, not just those with mental health or substance use disorders.
- Once the system approves an authorization, the end user then clicks on the Admit for Authorized Service button. Doing anything else stops the approval process (If stopped, end users must complete another questionnaire).

End users can make three (3) attempts to gain automated approval.

- If the three (3) attempts continue to result in a denial, end users may appeal the automated decision.
- Check the View Details of the Managed Encounter window's Encounter History to see a listing of reasons for denials.
- End users can appeal the results after any of the three (3) attempts.

Uncertainty in Funding

Providers must track consumer funding eligibility status, and secure necessary authorization through the appropriate funding source, even when a consumer's eligibility changes during the course of a treatment episode. Providers are accountable for accurately identifying, seeking authorization, and billing the appropriate payer source consistent with ongoing consumer eligibility. DBH is the payer of last resort, and shall not pay for services shared with Medicaid for Medicaid-eligible consumers.

Authorizations are required at the beginning of service. If an agency is uncertain about funding, obtain the authorization from CDS before admission. While the authorization is valid only up to seven (7) days, if alternative funding should fail, the agency has knowledge of authorization approval, and can backdate the admission. If backdating is required in excess of ninety (90) days from the current date, admit the encounter with the current date, then Report a Data Issue and request that the admit date be corrected.

Complete a Questionnaire

Open the Consumer tab [Questionnaire](#) and click on the type of questionnaire required ([Initial Status Report](#) or [Progress Report](#)). Use [+ Add Initial Status Report](#) at the beginning of treatment for initial authorization requests. Use [+ Add a Progress Report](#) for re-authorization requests.

About the Questionnaires

All questionnaires have similar parts:

- The scale designed to indicate severity (Likert 0-9). A description of the Likert scale criteria appears in popup windows.
- Statements designed to describe the consumer's situation.

Each set of questions begins with the end user selecting a level of severity, and then answering questions about the consumer's response to their condition.

Each authorized service uses a different set of responses that reflect the severity of the consumer's condition against the population at large. Substance Use Disorder questionnaires are different from Mental Health questionnaires. The six dimensions of *The ASAM Criteria, Third Edition* form the basis of the SUD questionnaire. The mental health questionnaire uses a set of six domains appropriate to mental health disorders, and which describe the clinical criteria as reflected in the **Utilization Guidelines and Service Definitions**. End users can find the entire set of questions by domain or dimension in the **System Documentation and Training** website of CDS.

Risk of Harm									
Please select the number that most appropriately corresponds to the consumer's current risk of harm.									
0 - No problems indicated ☒	1 ☐	2 ☐	3 ☐	4 ☐	5 ☐	6 ☐	7 ☐	8 ☐	9 - Extreme problem indicated ☐

☐ Previous periods of suicidal ideation or previous suicide attempts or history of self-harm behavior but current risk for significant self-harm or suicide risk is low.

End users begin each section by selecting the severity of the consumer's condition on a scale of zero (0) to nine (9). For mental health, the end user selects from a list of statements that further define the consumer's clinical presentation, along with related functional deficits and activity. The system compares the answers of the end user to the service definitions and utilization criteria to automatically approve or deny an authorization.

☐ The consumer has demonstrated a lack of capacity to resolve his or her problem(s). Treatment		
☐ The consumer has been resistant to work on the treatment plan.		
☐ The individual does not require a more intensive level of care.		
☐ This level of care is appropriate and there is reasonable expectation of benefits demonstrated.		
Risk of Harm Please select the number that most appropriately describes the consumer's current condition.		
0 - No problems indicated ☒	1 ☐	2 ☐
☐ Previous periods of suicidal ideation or previous suicidal ideation.		
☐ Consumer reports chronic suicidal ideation there is no suicidal ideation.		
☐ Remote history of physically aggressive behavior toward others.		
☐ Consumer's ability to care for self has deteriorated to the point that requires behavioral health intervention but does not prevent consumer from living in community setting. Does not experience significant episodes of potentially harmful behaviors due to substance use.		
☐ Consumer presents with intermittent episodes of dangerous self-harm or suicidal ideation which requires intervention.		

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Pop-up statements on each of the mental health severity indices help to describe the severity of the consumer's condition. Severity ranges from zero (0) to nine (9). The depiction above is for the 1-3 severity index for Risk of Harm in the Mental Health questionnaire for ACT. These statements should be used as a general guide when determining what scale section to select. Not every detail of the statement may be true for every consumer.

Add Initial Status Report

Initial Status Reports

The Initial Status Reports include any of the first three (3) attempts to secure an authorization.

Use the [View Detail](#) button on the [Actions](#) column of the Update History list to review the reasons for any denials.

Mental Health Questionnaire

The six domains utilized on the mental health questionnaire are as follows:

- Risk of Harm
- Historical Responsiveness to Treatment
- Functional Status
- Co-Morbidity
- Level of Support
- Engagement in Treatment

Initial Status Report

Risk of Harm

Please select the number that most appropriately corresponds to the consumer's current risk of harm.

0 - No problems indicated	1	2	3	4	5	6	7	8	9 - Extreme problem indicated
☒	☐	☐	☐	☐	☐	☐	☐	☐	☐

☐ Previous periods of suicidal ideation or previous suicide attempts or history of self-harm behavior but current risk for significant self-harm or suicide risk is low.
 ☐ Consumer reports chronic suicidal ideation there is no change in the duration, frequency or intensity of these ideations to evidence increased risk for suicide or self-harming currently.
 ☐ Remote history of physically aggressive behavior toward others, property destruction or previous attempts to harm others but no current risk identified.
 ☐ Consumer's ability to care for self has deteriorated to the degree that they are risk for significant self-neglect without the service(s) requested.
 ☐ Consumer presents with intermittent episodes of dangerous self-harm or suicidal ideation which have not improved despite multiple treatment attempts of various intensity and the requested level of care is expected to lead to improvement of these symptoms.
 ☐ Consumer presents with intermittent episodes of dangerous behavior toward others which have not improved despite multiple treatment attempts of various intensity and the requested level of care is expected to lead to improvement of these symptoms.
 ☐ Consumer presents with chronic psychiatric instability, with or without treatment compliance, which has not improved despite multiple treatment attempts of various intensity and the requested level of care is expected to lead to improvement in these psychiatric symptoms.

Historical Responsiveness to Treatment

Please select the number that most appropriately corresponds to the consumer's responsiveness to treatment.

0 - Not Applicable	1	2	3	4	5	6	7	8	9 - Negligible response to treatment
☒	☐	☐	☐	☐	☐	☐	☐	☐	☐

☐ Consumer has a history of high utilization of psychiatric inpatient and emergency services.
 ☐ Response to previous levels of treatment and rehabilitation interventions have been unsatisfactory.
 ☐ Despite previous unsuccessful attempts at treatment, this service setting is expected to promote improvement in the consumer's condition to the degree that services will no longer be necessary.

Functional Status

Please select the number that most appropriately corresponds to the consumer's functional status and mark all functional deficits present as a result of the mental health diagnosis.

0 - No functional impairment indicated	1	2	3	4	5	6	7	8	9 - Severe functional impairment indicated
☒	☐	☐	☐	☐	☐	☐	☐	☐	☐

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Substance Use Questionnaire

American Society of Addiction Medicine (ASAM) Criteria National Practice Guidelines Dimensions. The six dimensions included on the substance use disorders questionnaire are as follows:

- Acute Intoxication and/or Withdrawal Potential
- Biomedical Conditions and Complications
- Emotional, Behavioral, or Cognitive Conditions and Complications
- Readiness to Change
- Likelihood of Relapse, Continued Use, or Continued Problem potential
- Danger level and supportiveness of Recovery Environment

Initial Status Report									
Dimension One <i>Acute Intoxication and/or Withdrawal Potential</i>									
0 - No Risk ☒	1 ☐	2 ☐	3 ☐	4 ☐	5 ☐	6 ☐	7 ☐	8 ☐	9 - Maximum Withdrawal Potential ☐
Dimension Two <i>Biomedical Conditions and Complications</i>									
0 - None or not a distraction from treatment ☒	1 ☐	2 ☐	3 ☐	4 ☐	5 ☐	6 ☐	7 ☐	8 ☐	9 - Extreme problem indicated ☐
Dimension Three <i>Emotional, Behavioral, or Cognitive Conditions and Complications</i>									
0 - No problems indicated ☒	1 ☐	2 ☐	3 ☐	4 ☐	5 ☐	6 ☐	7 ☐	8 ☐	9 - Extreme conflict indicated ☐
Dimension Four <i>Readiness to Change</i>									
0 - Ready for Recovery ☒	1 ☐	2 ☐	3 ☐	4 ☐	5 ☐	6 ☐	7 ☐	8 ☐	9 - Extreme opposition to treatment indicated ☐
Dimension Five <i>Likelihood of Relapse, Continued Use or Continued Problem Potential</i>									
0 - No Likelihood of Relapse ☒	1 ☐	2 ☐	3 ☐	4 ☐	5 ☐	6 ☐	7 ☐	8 ☐	9 - Extreme Likelihood of Relapse ☐
Dimension Six <i>Danger level and supportiveness of Recovery Environment</i>									
0 - No risk in current recovery ☒	1 ☐	2 ☐	3 ☐	4 ☐	5 ☐	6 ☐	7 ☐	8 ☐	9 - Extreme risk in current recovery ☐

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Complete the initial status report by indicating the level of severity in the Likert scale, and clicking on the dimension statements that further describe the consumer's situation and level of treatment need.

After completing the questionnaire, click on the Save button.

Finally, click on the Submit for Authorization button. The results of the request for authorization are shown within a minute in the Update History table on the Manage Encounter window.

Manage Encounter (208207)		
Status	Add to Waitlist Submit for Authorization Cancel Without an Admission Remove Encounter Save Cancel	
Consumer	Current State	New Copy Encounter
Demographics	Name	ALEC LEE Cannaday
	Consumer ID	000059732

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

If approved, immediately click on the Admit to Authorized Service button. Doing anything else terminates the authorization, and you must request a new authorization.

Manage Encounter (677442)

Status	Admit for Authorized Service Re-open for Editing Cancel Without an Admission Remove Encounter Save Cancel	
Consumer	Current State	Authorized
	Name	Lauren Larker
Demographics	Consumer ID	256028935

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

If the system issues a denial, click on the [View Details](#) button on the [Actions](#) column to see the system response. Carefully check the denial reasons and complete the necessary revisions.

Manage Encounter (203764)

Status	Re-open for Editing Appeal Decision Cancel Without an Admission Remove Encounter Approve Request Save (ADMIN ONLY) Cancel	
Consumer	Current State	Pending Appeal
	Name	CALYM DUNKINS
Demographics	Consumer ID	000059418
	SSN	
Health Status	Date of Birth	8/5/1959
Trauma History	Service Provider	Douglas CMHC - 4102 Woolworth Ave., Omaha
	Funding Region	Region 6
Diagnosis	Service to be Provided	Day Treatment - MH

Update History

Update Date	State	Event	Updated By	Actions
4/29/2018 7:59 AM	Pending Appeal	Encounter Edited	BF200LNK\hwood	View Details
4/29/2018 7:59 AM	Pending Appeal	Encounter Edited	BF200LNK\hwood	View Details
5/17/2016 5:42 PM	Pending Appeal	Authorization Denied (automated)	BF200LNK\pjon13	View Details
5/17/2016 5:42 PM	Authorization Submitted	Authorization Requested	BF200LNK\pjon13	View Details
5/17/2016 5:42 PM	New	Encounter Edited	BF200LNK\pjon13	View Details

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

New Status	Pre-Admitted / Pending Appeal
Authorization Results	
Result	Denied
Denial Reasons	- Dimension Value - 'Functional Status - Please select the number that most appropriately corresponds to the consumer's functional status and mark all functional deficits present as a result of the mental health diagnosis.' does not meet criteria. - Service Exclusion - The consumer is currently receiving a conflicting service. Note that this service may be provided by another agency. - Service Exclusion - The consumer is currently receiving a conflicting service. Note that this service may be provided by another agency.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Review the responses on the questionnaire for each area triggering a denial reason. Correct any deficiencies or errors in entry and submit a new questionnaire with corrections made. Observe in the above example that the overriding denial is that the consumer is currently authorized in another service. **All conflicting services must be discharged before another attempt is made at an authorization.**

Progress reports

Are made at each Continued Stay review. The system sends an alert to end users two (2) weeks in advance of the end date of an authorization. As with initial status reports, continued stay reviews can be attempted up to three (3) times. Each attempt requires a new Progress Report. Review the detail of any denials by clicking on the [View Details](#) button on the [Action](#) column of the Update History list to review the reasons for denial. An approved re-authorization begins the day after the end date of the previous authorization.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Again, an authorization questionnaire is required for any new authorization requests, and a progress report is required for continued stay reviews. A Discharge report is required at discharge. The questionnaires are located in the consumer tab labeled [Questionnaire](#).

Add a Progress Report

Created On	Form Name	Report Type	Created By	Actions
6/22/2018 4:35 PM	NE-DBH-MH	Initial Status Report	BF200LNK\KHOVE	View

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

The Progress Report uses the same dimension statements, along with a progress section, to review the current needs of a consumer in treatment.

As with the initial status report, end users select a severity index and the statements that best describe the consumer's situation. Begin with statements that summarize the consumer's progress.

Do not forget to update all the consumer tabs before completing the progress report. Progress Report for Mental Health first section:

Progress Report

Progress Report

Select the best option to describe the consumer's progress.

The consumer is making progress. ☒	The consumer is not yet making progress. ☐	The consumer has presented with new problems during the course of treatment. ☐	The consumer has experienced an intensification of his or her problem(s). ☐	The individual has achieved the goals articulated in his or her individual treatment plan. ☐
--	---	---	--	---

The consumer's progress has been ☐ Minimal ☐ Acceptable ☐ Substantial

☐ The consumer has achieved the goals articulated in his or her treatment plan.

☐ The consumer's treatment plan has been adjusted to focus on specific behaviors presented during treatment.

☐ Continued treatment at this level of care is assessed as necessary to permit the individual to continue to work toward his or her treatment goals.

☐ The treatment plan addresses the consumer's changing condition with realistic and specific goals and objectives stated.

☐ The consumer has demonstrated a lack of capacity to resolve his or her problem(s). Treatment at another level of care or type of service is therefore indicated.

☐ The consumer has been resistant to work on the treatment plan and would benefit from another level of care or type of service.

☐ The individual does not require a more intensive level of service.

☐ This level of care is appropriate and there is reasonable likelihood of substantial benefits demonstrated by measurements of improvement in functional areas and this will continue.

☐ Continues to require 24-hour awake staff to assist with psychiatric rehabilitation.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Progress Report for Substance Use Disorder first section:

Progress Report

Progress Report

Select the best option to describe the consumer's progress.

The consumer is making progress. ☒	The consumer is not yet making progress. ☐	The consumer has presented with new problems during the course of treatment. ☐	The consumer has experienced an intensification of his or her problem(s). ☐	The individual has achieved the goals articulated in his or her individual treatment plan. ☐
--	---	---	--	---

The consumer's progress has been ☐ Minimal ☐ Acceptable ☐ Substantial

☐ The consumer has achieved the goals articulated in his or her treatment plan.

☐ The consumer's treatment plan has been adjusted to focus on specific behaviors presented during treatment.

☐ Continued treatment at this level of care is assessed as necessary to permit the individual to continue to work toward his or her treatment goals.

☐ The treatment plan addresses the consumer's changing condition with realistic and specific goals and objectives stated.

☐ The consumer has demonstrated a lack of capacity to resolve his or her problem(s). Treatment at another level of care or type of service is therefore indicated.

☐ The consumer has been resistant to work on the treatment plan and would benefit from another level of care or type of service.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

As with the initial status report, the Progress Report continues with the end user selecting responses to each of the dimensions. End users will save the Progress Report and then click on the Submit for Continued Stay button. If the progress report is approved, immediately click on the Admit for Continued Stay button.

Submit for Authorization Button

The screenshot shows the 'Manage Encounter (306004)' interface. On the left is a sidebar with menu items: Status, Consumer, Demographics, Health Status, Trauma History, Diagnosis, Substance Use, and Questionnaire. The main area has a top bar with buttons: 'Add to Waitlist', 'Submit for Authorization' (circled in red), 'Cancel Without an Admission', 'Remove Encounter', 'Save', and 'Cancel'. Below this is a 'Progress Reports' section with an 'Add Initial Status Report' button. A table with columns 'Created On', 'Form Name', 'Report Type', 'Created By', and 'Actions' is visible below the reports section.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

This will begin the process of an authorization request. This button appears at the top of the Manage Encounter screen. For a registered service, you will not see this button.

The 'Authorization Results' dialog box displays the following information:

- Authorization # 39550
- Authorization Period 12/2/2015 to 12/7/2015
- Authorized Units 5 (Per Diem)

A 'Close' button is at the bottom left.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

If approved, *immediately* click on the Admit to Authorized Service button. Doing anything else terminates the authorization, and you must request a new authorization.

Admission must occur within seven (7) days of the authorization. Authorizations expire seven (7) days after approval. For admission more than seven (7) days after the authorization approval, end users must start a new authorization, using the information previously entered, along with any new updates to the consumer disposition. This seven (7) day overlap creates opportunity for interagency coordination of care.

The screenshot shows the 'Manage Encounter (376495)' interface. The sidebar is the same as the previous screenshot. The top bar now includes the 'Admit to Authorized Service' button (circled in red), along with 'Re-open for Editing', 'Cancel Without an Admission', 'Remove Encounter', 'Save', and 'Cancel'. Below the top bar, the 'Current State' is 'Authorized', and there are 'Copy Encounter' and 'Report a Data Issue' buttons.

Please note: This example was created in the CDS Test Site. This example does not contain any genuine PHI.

There are three general reasons for a denial:

- Medicaid eligibility,
- Conflicting service, or
- Inappropriate level of care.

Review the details of the denial by clicking on the [View Details](#) button to the right of the denial statement on the Manage Encounter window found on the Status tab.

Update Date	State	Event	Updated By	Actions
3/30/2018 10:18 AM	Pending Appeal	Encounter Edited	BF200LNK\hmurdoc	View Details
6/27/2017 2:11 PM	Pending Appeal	Authorization Denied (automated)	bf200lnk\ngardne	View Details
6/27/2017 2:11 PM	Authorization Submitted	Authorization Requested	bf200lnk\ngardne	View Details

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Medicaid Denial – If request for authorization is denied due to Medicaid eligibility, **do not** repeat the authorization request and **do not** appeal the authorization decision. More information about authorization and denials due to Medicaid eligibility is available in [Chapter 11](#) of this manual.

Conflicting Service – If the error reports a Conflicting Service, contact the region for further instructions. **Do not** repeat the authorization request until the conflicting service is resolved. **Do not** appeal the denial. Additional information concerning authorization denials due to conflicting services is available in [Chapter 11](#) of this manual.

Authorization Results	
Result	Denied
Denial Reasons	• Service Exclusion - The consumer is currently receiving a conflicting service. Note that this service may be provided by another agency.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Other – A list of other denial reasons appears in the [View Details](#) next to the denial report. Correct any errors by using these statements as a guide. Read the denial report carefully to be assured you are making all corrections necessary, and responses match clinical expectations for the particular service in which an authorization request is being made. If uncertain, refer to the **Utilization Guidelines and Service Definitions** found in the **System Documentation and Training** webpage.

End users can attempt three (3) requests for authorization. After the third denial, agencies can appeal the automated decision, or Cancel Without Admission, and select/recommend another service level. To appeal the automated decision, click on the Appeal Decision button, and complete a response to the information requested on the appeal form.

Discharge Progress Report

As with the progress reports, end users will complete an update of the Consumer tabs and a new Discharge Progress Report. The Discharge Progress Reports are similar to Progress Reports in that the end user selects from the Likert scales the status of a consumer as they conclude the service level. The Discharge Report also has statements that further define the consumer's situation. Lastly, the Discharge Progress Report request information on the Discharge Criteria.

Discharge questionnaires conclude with statements about the consumer's specific service discharge.

Discharge Criteria

- ☐ Treatment plan goals and objectives have been substantially met.
- ☐ The consumer no longer meets admission Guidelines or meets Guidelines for a less intensive level of care.
- ☐ The consumer's physical condition necessitates transfer to a medical facility.

In many cases, the Discharge Criteria are very broad. End users having difficulty discharging an authorized encounter can select "The consumer no longer meets admission Guidelines or meets Guidelines for a less intensive level of care." This response is appropriate for an encounter where the funding has, or is going to, shift to another payer source.

Mental Health Community Support:

Discharge Criteria

- ☐ Maximum benefit has been achieved and consumer can function independently without extensive support (Deficits in daily living have improved. Deficits in functional areas have improved and now manageable without extensive supports).
- ☐ Rehabilitation goals have been substantially achieved and the consumer can function independent of active supports.
- ☐ Services are primarily monitoring in nature.
- ☐ Sustainability plan for supports is in place.
- ☐ Formal and informal supports have been established.
- ☐ A crisis relapse plan is in place.
- ☐ The consumer requests discharge from the service.
- ☐ The consumer is not making progress toward rehabilitation goals despite alterations to the treatment plan and/or increased contacts.
- ☒ The consumer no longer agrees to participate at the necessary level of intensity for rehabilitation.

Mental Health Day Rehab:

Discharge Criteria

- ☐ Maximum benefit has been achieved and consumer can function independently without extensive supports. (Deficits in daily living have improved. Deficits in functional areas have improved and now manageable without extensive supports.)
- ☐ Services are primarily monitoring in nature. Consumer can function such that she/he can live successfully in the residential setting of his/her choice.
- ☐ Sustainability plan for supports is in place.
- ☐ Formal and informal supports have been established.
- ☐ A crisis relapse plan is in place.
- ☐ The consumer requests discharge from the service.

ACT Program:

Discharge Criteria

- ☐ Maximum treatment/rehabilitation benefit and goals have been achieved. The consumer can function independently without extensive professional multidisciplinary supports. (Deficits in daily living have improved. Deficits in functional areas have improved and now manageable without extensive supports.) Services are primarily monitor in nature and can be sustained with a lesser level of care.
- ☐ Sustainability plan for supports is in place.
- ☐ Formal and informal supports have been established.
- ☐ A crisis relapse plan is in place.
- ☐ The consumer requests discharge.
- ☒ The consumer relocates out of the ACT team's geographic area.
- ☐ The consumer is admitted to a higher level of care (inpatient, residential levels of care) for a period to exceed 10 days.

MH Day Treatment:

Discharge Criteria

- ☒ The consumer's documented treatment plan, goals and objectives have been substantially met.
- ☒ The consumer no longer meets Continued Stay Guidelines, or meets Guidelines for a less or more restrictive level of care.
- ☒ Symptoms are stabilized.

Chapter 16: Supported Employment

Supported Employment MH and SUD

Create Encounter

As with any service, going to the Add Encounter tab is the first step when admitting a consumer to supported employment services. Be sure to double check that the consumer is not already in the system by selecting the Search option first.

Official Nebraska Government Website
NEBRASKA DEPARTMENT OF HEALTH & HUMAN SERVICES
Division of Behavioral Health - Centralized Data System
NEBRASKA
Good Life. Great Mission.
DEPT. OF HEALTH AND HUMAN SERVICES

Create New Encounter

Consumer ID

OR

Last Name First Name

Date of Birth Zip Code

SSN Gender

Search Create New Consumer Record

Please note: This example was created in the CDS Test Site. This example does not contain any genuine PHI.

Once Create New Consumer Record is selected, a separate pop-up window will open titled “Create New Encounter”. The consumer information entered on the previous page should auto-populate.

Create New Encounter

Name (first/middle/last/suffix)

Date of Birth Zip Code

SSN Gender

Service Provider

Funding Region

Service to be Provided

Create Cancel

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Select the appropriate Service Provider site if you have access to multiple sites. Funding Region should auto-populate based on the chosen Service Provider. “Service to be Provided” should be “Supported Employment – MH” or “Supported Employment – SUD”.

- “Supported Employment – MH” – This service will be selected if the consumer has primary mental health diagnoses and has been receiving other mental health services.
- “Supported Employment – SUD” – This service will be selected if the consumer has primary substance use diagnoses and has been receiving other substance use services.
- If the consumer has both mental health and substance use disorders, then choose the funded service.

Supported Employment Consumer Tab

After selecting Create, the Status page will be displayed. Double check that the Service to be Provided is Supported Employment of some form. On the left are the Consumer Index tabs. Review each tab to ensure information is correct and make changes as necessary. The remainder of this chapter will deal with the Employment consumer tab.

NEBRASKA DEPARTMENT OF HEALTH & HUMAN SERVICES

Division of Behavioral Health - Centralized Data System

Manage Encounter (306007)

Status

Consumer

Employment

Demographics

Health Status

Trauma History

Diagnosis

Substance Use

TADS History

Reviews

Notes

Current State: New

Name: yyy xxx

Consumer ID: 357173636

SSN: xxx-xx-1111

Date of Birth: 1/1/1990

Service Provider: Goodwill Industries of Greater Nebraska, Inc - Grand Island

Funding Region: Region 3

Service to be Provided: Supported Employment - MH

Update History

Update Date	State	Event	Updated By	Actions
10/25/2018 7:32 AM	New	Encounter Edited	BF200LNK\krichne	View Details

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Employment Tab

The Employment tab page has a number of text box entries and check box lists, allowing for multiple selections across the five milestones of Supported Employment.

Once the end user has completed the electronic form, a printout is available to place in agencies files. The printout adds encounter-identifying information to the electronic form.

Manage Encounter (308918)

Status: [Discharge] [Save] [Cancel] [Print]

Consumer: Encounter # 308918
Name LISA ANN Alivizar
Consumer ID 913457909
Service Provider Goodwill Industries of Greater Nebraska, Inc - North Platte
Funding Region Region 2
Referred to VR Date [Date Picker]
VR Office [Text Field]
Projected Supports
☐ Worksite Accommodation Needs
☐ Transportation Plan
☐ Personal Appearance Needs
☒ Symptom Management / Coordination with Mental Health Providers
☒ Problem Solving
☒ Employer Advocacy / Follow-up
☒ On the Job Coaching / Support
☐ Review of Job Safety Risks and Safety Precautions
☐ Support / Training / Assistance to Report Income
☒ Other
Other Projected Supports [Text Field]
M1 - Referral / Initiate Services Date [Date Picker]

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI.

Encounter

Taken from the initial registration for the consumer.

Name, Consumer ID, Service Provider, Funding Region

Taken from the initial pages of the encounter.

Referred to VR Date

Enter the date that the referral to Vocational Rehabilitation was made.

VR Office

Enter the name of the Vocational Rehabilitation office to which the referral was made.

Projected Supports

This is a multiple-select variable. Select all the supports that the consumer needs to successfully enter the workforce.

Other Projected Supports

What are the number of other supports that might be necessary for the consumer to select anticipated supports that will be necessary to allow the consumer to be successful in obtaining or maintaining employment.

Measure (M1)

Enter the Referral/Initiate Service Date.

Measure (M2)

Start Date- Enter the start date for Milestone 2 in Month/Day/Year (four digit) format (MM/DD/YYYY).

M2 - Start Date	
Employment Goal	
Employment Barriers	
M2 - End Date (Job Start)	

Employment Goal -State the employment goal of the consumer.

Employment Barriers -This is a free text field to list any employment barriers.

M2 - End Date (Job Start) -Enter the date that the job starts. This start date corresponds to the end of Milestone 2. Use Month/Day/Year (4 digit) format (MM/DD/YYYY).

Job Placement Date	
Employer	
Job Title	
Type of Work Acquired	
Hourly Wage	
Hours Per Week	

Job Placement Date -Enter the job placement date as Month/Day/Year (4 digit) format (MM/DD/YYYY).

Employer -Enter the employer's name (company or individual).

Job Title- Enter the consumer's job title.

Type of Work Acquired – List the type of work for the consumer (i.e. Plumber, Support Staff, Janitorial, Housekeeper, etc.).

Hourly Wage -List the consumer's wage in dollar.cent format (e.g. 10.45).

Hours Per Week – How many hours, in an average week, will the consumer work?

Employer Benefits Offered – Check the employer benefits being offered to the consumer. Check all that apply.

Employer Benefits Offered (Check all that apply)	☐ None	☐ Health Insurance
	☐ Dental	☐ Paid Sick Leave
	☐ Paid Vacation	☐ Retirement Plan
	☐ Other	
Date of Review of Benefits Plan / Work Incentives Plan		
Benefits Service Provider		

Date of Review of Benefits Plan/Work Incentives Plan – Enter the date in Month/Day/Year (4 digit) format (MM/DD/YYYY).

Benefits Service Provider – List the benefits service provider.

Job Search Supports Provided

Topics may be skills that the service provider taught the consumer, educational materials provided, how often they were in contact, review of job application materials, etc. Check all that apply.

Job Search Supports Provided (Check all that apply)	☐ Weekly Contact ☐ Interview Skills ☐ Job Leads / Information ☐ Networking ☐ Employer Advocacy / Follow-up ☐ Internet Search Training / Computer Access ☐ Other	☐ Application Assistance ☐ Symptom Management / Coordination with Mental Health Providers ☐ Personal / Appearance Needs ☐ Problem Solving ☐ Cover Letter / Resume ☐ Transportation Assistance
Involvement with Employer (Check all that apply)	☐ We may contact employer / supervisor about work performance ☐ Employer is aware of disability ☐ Employer is aware of SE Provider involvement ☐ No Employer contact per client requests ☐ Employer Contact	
Job Placement Support Provided (Check all that apply)	☐ Identifying Worksite Accommodation Needs ☐ Development of Transportation Plan ☐ Personal Appearance Needs ☐ Symptom Management / Coordination with Mental Health Providers ☐ Problem Solving	☐ Employer Advocacy / Follow-up ☐ On the Job Coaching / Support ☐ Review of Job Safety Risks and Safety Precautions ☐ Support / Training / Assistance to Report Income ☐ Other
Job Search Supports Provided (Check all that apply)	☐ Weekly Contact ☐ Interview Skills ☐ Job Leads / Information ☐ Networking ☐ Employer Advocacy / Follow-up ☐ Internet Search Training / Computer Access ☐ Other	☐ Application Assistance ☐ Symptom Management / Coordination with Mental Health Providers ☐ Personal / Appearance Needs ☐ Problem Solving ☐ Cover Letter / Resume ☐ Transportation Assistance
Involvement with Employer (Check all that apply)	☐ We May Contact Employer / Supervisor About Work Performance ☐ Employer is Aware of Disability ☐ Employer is Aware of SE Provider Involvement ☐ No Employer Contact per Client Requests ☐ Employer Contact	
Job Placement Support Provided (Check all that apply)	☐ Worksite Accommodation Needs ☐ Transportation Plan ☐ Personal Appearance Needs ☐ Symptom Management / Coordination with Mental Health Providers ☐ Problem Solving	☐ Employer Advocacy / Follow-up ☐ On the Job Coaching / Support ☐ Review of Job Safety Risks and Safety Precautions ☐ Support / Training / Assistance to Report Income ☐ Other

Involvement with Employer – Topics should be areas that the service provider discussed with the employer, and the level of contact that the consumer wants the service provider to have with the employer. Check all that apply.

Job Placement Support – Topics cover the ways that the service provider assisted the consumer – such as problem-solving work issues, discussing personal barriers, and trainings. Check all that apply.

Measure (M3)

M3 - Job Stabilization Date	
Stabilization Criteria (Check all that apply)	☐ Consumer Satisfied with Job and Progress ☐ On the job Minimum of 30 Days ☐ Consumer Performance Meets Employer Expectations / Employer Satisfied ☐ Supports are Sufficient to Maintain Job
Support Provided Through Stabilization (Check all that apply)	☐ Job Coaching On-Site ☐ Implementation of Transportation Plan ☐ Assistance Learning the Job ☐ Conflict Resolution ☐ Attendance Skills ☐ Worksite Accommodations ☐ Consumer Contact Face To Face ☐ Employer Contact
	☐ Job Coaching Off-Site ☐ Personal Appearance Needs ☐ Problem Solving ☐ Symptom Management / Coordination with Mental Health Providers ☐ Assistance in Reporting Income ☐ Develop Work / Life Balance ☐ Consumer Contact Phone, Email, Text ☐ Other

Milestone three (M3) covers job stabilization and the date that this milestone began. This should be entered in MM/DD/YYYY format.

Stabilization Criteria – Assesses why the consumer qualified for transition to M3.

Support Provided Through Stabilization – Covers the various assistance, contacts, and other skills coached throughout M3. Providers should be focusing in on these areas and trying to accomplish as many of these as possible during this milestone. Check all that apply.

Measure (M4)

M4 – VR Closure Date	
Closure criteria (Check all that apply)	☐ Consumer Satisfaction ☐ Employer Satisfaction ☐ Number of Work Hours is Steady and in Line with Goal
	☐ On the Job at Least 90 Days ☐ Long Term Supports Identified
Supported Employment Services Following Stabilization (Check all that apply)	☐ Advocacy with Employer ☐ Job Coaching Off-Site ☐ Problem Solving ☐ Employer Contact: Face to Face ☐ Transportation Plan Support ☐ Symptom Management ☐ Consumer Contact Face to Face
	☐ Job Coaching On-Site ☐ Social Skills / Interpersonal Relationships on the Job ☐ Employer Contact: Calls ☐ Job Skill Performance ☐ Income Reporting Process Developed / Implemented ☐ Work / Life Balance ☐ Consumer Contact Phone, Email, Text

The fourth milestone (M4) closes out VR involvement and should be updated once this is approved.

Closure Criteria – Assesses information from M3 as to why the consumer now qualifies for M4. Check all that apply.

Supported Employment Services Following Stabilization – Focuses on various interactions with the consumer that further promote job skills and continued contact with the consumer. This assessment should occur reflecting back on the previous milestone when closing M4. Check all that apply.

Measure (M5)

M5 – Long Term Supports Start Date	
Initial Job Retention Plan Date	
Job Retention Plan Updated Date	
Consumer Long Term Supports (Check all that apply)	☐ Work Performance Skills ☐ Work / Life Balance ☐ Job Attendance ☐ Continuity of Worksite Accommodations ☐ Personal Appearance ☐ Symptom Management ☐ Interpersonal Relationships (Employer, Supervisor, Co-workers) ☐ Bi-Monthly Check-ins ☐ Work Related Social Skills ☐ Problem Solving ☐ Conflict Resolution ☐ Coping Skills ☐ Transportation Plan Implemented ☐ Natural Supports ☐ Continued Income reporting (SSA / Medicaid / Housing / SNAP) / Implementation of Work Incentive Plan (WIP) ☐ Other

The final milestone of Supported Employment (M5) focuses on the consumer continuing job placement that has been obtained throughout the other milestones. The service provider should provide dates in MM/DD/YYYY format on the start of the long term supports and discussion of job retention.

Consumer Long Term Supports – Covers additional skills that the consumer has been taught at this time to maintain the job. This category also covers implementation of check-ins and other follow-ups. Check all that apply.

Chapter 17: Assertive Community Treatment (ACT)

Create New Encounter

As with any service, going to the Add Encounter tab is the first step when admitting a consumer to Assertive Community Treatment (ACT) services. Be sure to double check that the consumer is not already in the system by selecting the Search option first.

The screenshot shows the 'Create New Encounter' form on the Nebraska Department of Health & Human Services website. The form is titled 'Create New Encounter' and is part of the 'Division of Behavioral Health - Centralized Data System'. It features a sidebar with navigation icons. The main form area has two sections: 'Consumer ID' and 'OR'. The 'Consumer ID' section has a text input field. The 'OR' section has fields for 'Last Name', 'First Name', 'Date of Birth', 'Zip Code', 'SSN', and 'Gender' (with a dropdown menu set to 'Unknown'). Below these fields are two buttons: 'Search' and 'Create New Consumer Record'.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

Once you have selected Create New Consumer Record, a separate pop-up window will open titled “Create New Encounter”. The consumer information entered on the previous page should auto-populate.

Select the appropriate Service Provider site, if you have access to multiple ones. Funding Region should auto-populate based on the chosen Service Provider. Service to be Provided should be “Assertive Community Treatment-MH”.

The screenshot shows a pop-up window titled 'Consumer Identification'. It contains fields for 'Name (first/middle/last/suffix)' (with a text input field containing '456' and a dropdown menu set to '123'), 'Date of Birth' (with a text input field containing '01/01/1991'), 'Zip Code' (with a text input field), 'SSN' (with a text input field), and 'Gender' (with a dropdown menu set to '-- Select --'). Below these fields are three dropdown menus: 'Service Provider' (set to 'CenterPointe - PIER ACT Program - 650 J St., STE 100, Lincoln'), 'Funding Region' (set to 'Region 5'), and 'Service to be Provided' (set to 'Assertive Community Treatment - MH'). At the bottom are two buttons: 'Create' and 'Cancel'.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

ACT Tab

After selecting Create, the Manage Encounter page will be displayed. Double check that the Service to be Provided is “Assertive Community Treatment”. On the left are the Consumer Index tabs. Review each tab to ensure the information is correct, and make changes as necessary. The remainder of this chapter will deal with the ACT consumer tab.

Manage Encounter (806384) Flinstone, Fred

Discharge Save Cancel

Assertive Community Treatment

Period Start	Period End	Living Situation	Educational Activity	Stage of SUD Tx	Updated On	Updated By	Actions
7/1/2015	12/31/2015						Add

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

The ACT tab functions as a report. This report captures information regarding the progress consumers make in Assertive Community Treatment.

Once authorized and admitted, select the ACT tab.

Select Add to report on a 6-month period.

- NOTE: For each encounter, ACT reports are required every six (6) months. CDS sends an alert two (2) weeks before the six (6) month deadline for reporting, as a reminder to the end user.

Assertive Community Treatment

Report Period: 1/1/2021 to 6/30/2021

How many days has the client been:

Condition	# Days	# Incidents	# Days Not Reimbursed
Homeless?	0	0	0
Incarcerated?	0	0	0
Hospitalized for MH reasons?	0	0	0
Hospitalized for SUD reasons?	0	0	0
Hospitalized for medical reasons?	0	0	0
In BH emergency services?	0	0	0
Competitively employed?	0		

Was the client involved in pre-employment activities? (Check all that apply):

☐ Engagement
☐ Vocational Assessment
☐ Job Development
☐ Job/Education Placement

☐ Job/Education Coaching & Supports
☐ Benefits Counseling
☐ None
☐ Unknown

Which of the following services have been used:

☐ Residential MH Treatment
☐ Residential SUD Treatment
☐ Day Rehabilitation

☐ Day Programming
☐ Detox
☐ Other BH Services

Living Arrangements
Private Residence Receiving
Education Level
2nd Year of College or Associate D

Employment Status
Unemployed - Not Seeking
Social Supports
No Attendance in past month

PCP Last Seen
1-6 months
DDS Last Seen
1-6 months

Stage of Substance Treatment
NA
Educational Activity
No Participation

Comorbidities
☐ Diabetes
☐ Cardiovascular Disease
☐ Obesity
☐ COPD

Save Cancel

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

How many days has the client been: – Enter the number of days associated with each condition in the table, the number of incidents, and the number of days not reimbursed in the matrix.

Which of the following services have been used: – Select the appropriate checkbox(s) to indicate which services the consumer has used.

Living Arrangements

Select the appropriate response from the drop-down menu.

-- Select --

- Assisted Living Facility
- Child Living with Parents/Relative
- Child Residential Treatment
- Crisis Residential Care
- Foster Home
- Homeless
- Homeless Shelter
- Jail/Correction Facility
- Other 24 Hr Residential Care
- Other Institutional Setting
- Private Residence Receiving Support
- Private Residence w/Housing Assistance
- Private Residence w/o Support
- Regional Center
- Residential Treatment
- Youth Living Independently
- Other
- Unknown

-- Select --

Education Level—Select the appropriate response from the drop-down menu.

Less Than One Grade Completed or No Schooling

Nursery School, Preschool

Kindergarten

Grade 1

Grade 2

Grade 3

Grade 4

Grade 5

Grade 6

Grade 7

Grade 8

Grade 9

Grade 10

11 Years

12 Years = GED

1st Year of College or University

2nd Year of College or Associate Degree

3rd Year of College or University 4th Year

Bachelor's Degree

Some Graduate Study - Degree Not Completed

Post Graduate Study

Master's Degree

Doctorate Degree

Technical Trade School

Vocational School

Self-contained Special Education Class

Special Education Class

Unknown

PCP Last Seen— Using the drop-down menu, select the most recent physical health service.

< 1 month
1-6 months
6-12 months
> 12 months

DDS Last Seen –Using the drop-down menu, select the most recent dental service.

< 1 month
1-6 months
6-12 months
> 12 months

Stage of Substance Treatment – Using the drop-down menu, select the most appropriate response.

NA
Pre-engagement
Engagement
Early Persuasion
Late Persuasion
Early Active Treatment
Late Active Treatment
Relapse Prevention
In Remission or Recovery

Educational Activity–Summarize the education activity during this reporting period.

No Participation
Pre-educational Exploration
Basic Educational Skills
Attending Vocational or High School
Avocational Involvement
Attending college: 1-6 hours
Attending college: 7 or more hours
Working on GED
Working on English (ESL)
Other

Comorbidities – Select the relevant comorbidities of the consumer.

Comorbidities ☐ Diabetes ☐ Cardiovascular Disease ☐ Obesity ☐ COPD

Other:

Save

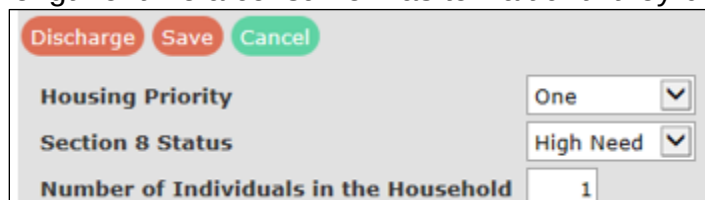
Cancel

Once the matrix is completed, click the Save button.

Chapter 18: Housing

Housing Tab

This tab records information to support the consumer's housing choice. Housing coordination staff complete the information in conjunction with all other consumer tabs, including the waitlist. Waitlist is used for Supported Housing in an effort to measure the length of time a consumer has to wait until they begin receiving housing assistance.

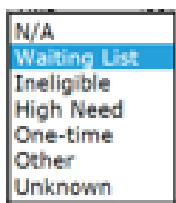


The screenshot shows a form with three buttons at the top: "Discharge" (red), "Save" (red), and "Cancel" (green). Below the buttons are three fields: "Housing Priority" with a dropdown menu showing "One", "Section 8 Status" with a dropdown menu showing "High Need", and "Number of Individuals in the Household" with a text input field containing the number "1".

Please note: This example was created in the CDS Test Site. This example does not contain any genuine PHI

Housing Priority – From the drop-down menu, select One, Two, Three, or Unknown.

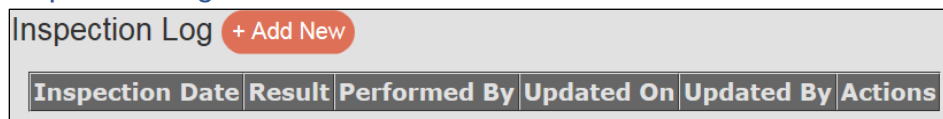
Section 8 Status – From the drop-down menu, select the consumer's level of need.



The screenshot shows a dropdown menu with the following options: "N/A", "Waiting List" (highlighted in blue), "Ineligible", "High Need", "One-time", "Other", and "Unknown".

Number of Individuals in the Household – In the space provided, indicate the consumer's household size.

Inspection Log



The screenshot shows a table with the following header: "Inspection Log" and a red button labeled "+ Add New". Below the header is a table with the following columns: "Inspection Date", "Result", "Performed By", "Updated On", "Updated By", and "Actions".

Click on Add New to begin the log. This log will assist the housing coordinator in determining the frequency of inspections required, and results of those inspections in support of the consumer. Add New creates a new row.

Housing Offer Date	10/13/2017
Housing Offer Result	Accepted v
(Expected) Move in Date	10/16/2017
Housing Notes	
Payments + Add New	

Payment Date	Type	Amount (\$)	Location	Updated Date	Updated By	Actions
--------------	------	-------------	----------	--------------	------------	---------

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

Housing Offer Date – The date housing was offered to the applicant/consumer.

Housing Offer Result – Indicate the results of the offer to the consumer.

(Expected) Move in Date – Indicate the date the consumer is anticipated to occupy the rental unit.

Housing Notes – Free-text field to make notes to support the housing choice.

Payments

This matrix is used to list payments made in support of the consumer's housing. Click on Add New to create a new row in which to list payments.

Additional BH Services									
Month	ACT - Assertive Community Treatment	CS(MH) - Community Support MH	CS (SA) - Community Support SA	DR - Day Rehabilitation	ECS(MH) - Emergency Community Support MH	MM - Medication Management	SE - Supported Employment	OP - Outpatient Therapy	O - Other

Additional BH Services -- Indicate, by month, the services that the consumer is engaged in.

Click Save to complete your work.

Chapter 19: Emergency Coordination

Start by clicking Emergency Coord from the Left Index tabs located on the CDS Home page.



The Emergency Coordination screen comes up after the end user successfully clicks Emergency Coord from the CDS Home page.

Division of Behavioral Health - Centralized Data System

Emergency Coordination

Funding Region: Region 6 From: 01/2018 To: 11/2018 Search Print

Month	Updated	EPCs	Dropped EPCs	IP Commits	OP Commits	OP Warrants	Other Warrants	2 In 13 Months	3+ In 13 Months	Holding Time	Continuances	Complaints	Actions
Region 6													
11/2018													Edit
10/2018	11/15/2018	200	187	2	0	0	69				13	0	Edit

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

This form is for data entry of specific information collected in support of the emergency system operated by the regions. Usually, the regions report on the previous month during the first few days of the month. The division and regions use this information to monitor the flow of consumers into the emergency system.

Funding Region – The region making the report.

From–To – The period of time to display on the report screen.

Month – The month of the report.

Updated – The most recent update to the month being reported.

EPC – The number of Emergency Protective Custody placements during the specific month.

Dropped EPC – The number of EPC's during the month that did not result in a commitment.

IP Commits – The number of inpatient commitments during the month.

OP Commits – The number of outpatient commitments during the month.

OP Warrants – The number of outpatient warrants for the month.

Other Warrants – The number of other warrants issued for the month by a Mental Health Board.

2 in 13 Months – The number of consumers with two (2) or more commitments that were EPCs in the month.

3+ in 13 Months – The number of consumers with three (3) or more commitments that were EPCs in the month.

Holding Time – A measure of the amount of time needed to move a commitment to the treatment location.

Continuances – The number of consumers held for continuances during the month.

Complaints – The number of complaints received in the month.

Actions – Click on Edit to change monthly information. The Updated date will be changed.

Open the form through the Edit function in the Action column, and enter the information requested.

Emergency Coordination Entry

Region

Region 1

Month

03/2020

EPCs

Dropped EPCs

IP Commitments

OP Commitments

OP Warrants

Other Warrants

2 In 13 Months

3+ In 13 Months

Holding Time

Continuances

Complaints

Complaint Notes

Save

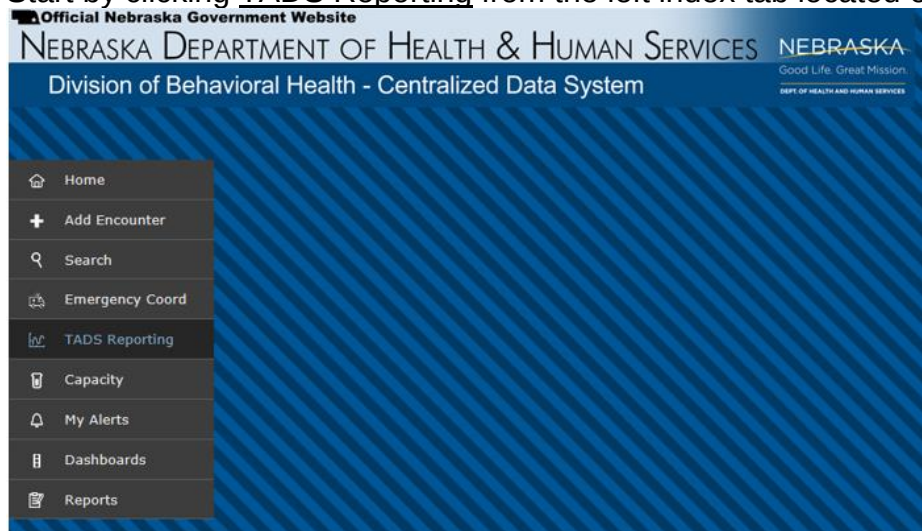
Cancel

The Emergency Coordinator entry form carries a time stamp of the last save. You must Save the information to change the time stamp. This will help end users to determine when the last update was completed.

Chapter 20: TADS Reporting

TADS Reporting

Start by clicking TADS Reporting from the left index tab located on the Home page.



Setting Up Your Report

The TADS Reporting screen is generated after end user successfully clicks the TADS Reporting left index tab from the Home page.

The end user has the option to select the following:

- Service
 - Default value = “---All Services---”
 - Or the end user can click the Service drop down menu to select a specific service.
- Funding Region
 - Default value = “---All Regions---”
 - Or the end user can click the Funding Region drop down menu to select a specific region.
- Provider
 - Default value = “---All Providers---”
 - Or the end user can click the Provider drop down menu to select a specific provider.
- Parent Provider
 - Default value = “---All Parent Providers---”
 - Or the end user can click the Parent Provider drop down menu to select all locations for a specific provider.

- Month
 - Month field defaults to, and auto-fills with, the current month.
 - To change months, click in the Month field and enter the desired month and year (e.g. 04/2020).

The end user then clicks the Search button.

The TADS report displays any encounters that were open (those recognized as “in service”) during the month selected, whether or not any activity occurred. The end user may need to scroll down if there is a long list of records. Services in the TADS Reporting section may have options to bill for telehealth services. Most information contained in this chapter will be relevant to both in person and telehealth services, but telehealth will be covered more specifically in the Alternative TADS Reporting chapter.

Encounter #	Name	Consumer ID	SSN	Admission Date	Service Details	Standard / In Person	Telehealth	Telephone	Total	Last Update	Sent to EBS
387934	ABDI, Crystall	404219289	###-##-9289	3/5/2019	Youth - Assessment - 1 Assessment	0	0	0	0		
361050	Abeles, Lizetteh	568691950	###-##-1950	10/11/2018	Youth - Assessment - 1 Assessment	0	0	0	0		
360125	ADENIYI, LAKEITHA	000024709	###-##-4709	9/5/2018	Youth - Assessment - 1 Assessment	0	0	0	0		
350830	ALLARD, Marico	748050255	###-##-0255	7/2/2018	Youth - Assessment - 1 Assessment	0	0	0	0		

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

The TADS Report

Each row represents one encounter and contains:

- Encounter #
- Consumers' Name
- SSN (last 4 digits)
- Admission Date
- Authorization Period (if an authorized service), or multiple Authorization Periods
- Units Authorized (if an authorized service), or multiple Authorization Periods
- Service Details
- Any check boxes for specialized funding
- Last update
- Sent to EBS

If desired, end users can print the list using the Print icon in the upper right corner of the window. End users may want to print the TADs and compare to the Monthly Utilization Report for the month selected. To get to the Utilization report, click on the Reports left

index tab. Click on the Provider tab, and then click on either PROV003 Monthly Utilization Report or PROV004 Monthly Utilization by Parent Organization. Select from the available drop down choices and run the report.

Encounter Number – Click on the number to bring up this encounter.

Client Name – As recorded in the Client Identification screen.

SSN – The last four digits of the consumer’s social security number.

Admission Date – The date of the admission as established in the Admission window.

Authorization Period – The dates of the authorization from beginning to end. If there are re-authorizations for the encounter, each re-authorization period has its own line. If there is no authorized period for the month of TADS the text will display in red that there is no authorization for this period.

Units Authorized – Total number of units authorized. To assist the end user in determining units available, CDS provides a popup showing the number authorized units which have already been used in other months. A note about mid-month authorizations for encounters reimbursed on a single unit per month appears at the end of this chapter. If there are any reauthorizations, they will appear on their own line.

Encounter #	Name	Consumer ID	SSN	Admission Date	Authorization Period	Units Authorized	Service Details
391465	ABOL, ALEXANDRA	429398910	###-##-8910	3/25/2019	No Authorization in this Month		There is no current contract for this encounter. Please contact system sup
392034	AMEND, LAJENNIFER	751703939	###-##-3939	3/26/2019	No Authorization in this Month		
422100	AMEND, LAJENNIFER	751703939	###-##-3939	1/1/2021	1/1/2021 - 3/1/2021	90.00	Standard / In Person Telehealth Telephone Total +Add Adult - 1 Hour .33 .33 .34 1

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

Service Details – Displays the types of units available to select from such as Per Diem, 15 Minutes, 50 Minutes etc. The unit type will depend on the service to be provided. When the drop down menu is available, click to select all the available service details, and select the appropriate type for the units of service provided during the month. Review **Contract Details** for additional meaning of the Service Details drop down menu. Available service details include HIPAA descriptions, and are specific to DHB/Region to service provider contracts.

Alternative TADS Units - Certain services will also have the ability to record Standard/In Person, Telehealth, and Telephone services. Units entered into these services will add up into the total units box. The total units cannot be adjusted. Partial units can be added across these services, but will need to add up to a rounded number.

Field to enter the number of units – Adjacent and to the right of the Service Details is a field to enter the number of units provided during the month. This field is pre-populated with “0” (zero). CDS auto-populates this field and the value doesn’t disappear when clicked in the field. So, the end user might inadvertently enter in “10” when meaning to enter in “1”.

About entering units: Let’s say that a service can be billed for multiple types of units within the same month. For that client, click the blue +Add button. A second row will appear beside the consumer’s name. Select the appropriate service detail and enter in the number of units. In the example below, a billing for Halfway House-SUD shows either Adult Days or Adult Days Therapeutic Leave. Some clients used both types of units; click on the +Add button to add a row, and enter the units to be billed for the additional service detail.

TADS Reporting

Search Encounters

Service: Halfway House - SUD Funding Region: Region 5 Provider: HOUSES OF HOPE Month: 09/2018 Search

Save

Halfway House - SUD

Encounter #	Name	SSN	Admission Date	Authorization Period	Units Authorized	Service Details	Last Update	Sent to EBS
336657	ALZAYADI, Veonta		5/16/2018	5/16/2018 - 11/11/2018	180.00	Adult - Per Diem 12.00 +Add	10/2/2018 3:44:33 PM	10/2/2018 11:48:45 PM
354805	BALCAZAR, GARED		9/4/2018	9/4/2018 - 3/2/2019	180.00	Adult - Per Diem 27.00 +Add	10/2/2018 3:46:24 PM	10/2/2018 11:48:45 PM
312007	CLOONAN, Dawan		4/10/2018	4/10/2018 - 10/6/2018	180.00	Adult - Per Diem 26.00 +Add Adult - Per Diem - Therap Leave 1.00	10/2/2018 3:44:32 PM	10/2/2018 11:48:45 PM
332976	Earlywine, SHANE		4/25/2018	4/25/2018 - 10/21/2018	180.00	Adult - Per Diem 30.00 +Add	10/2/2018 3:44:32 PM	10/2/2018 11:48:45 PM

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

CDS Check Boxes – TADS Checkbox Rules

Therapeutic Community - SUD

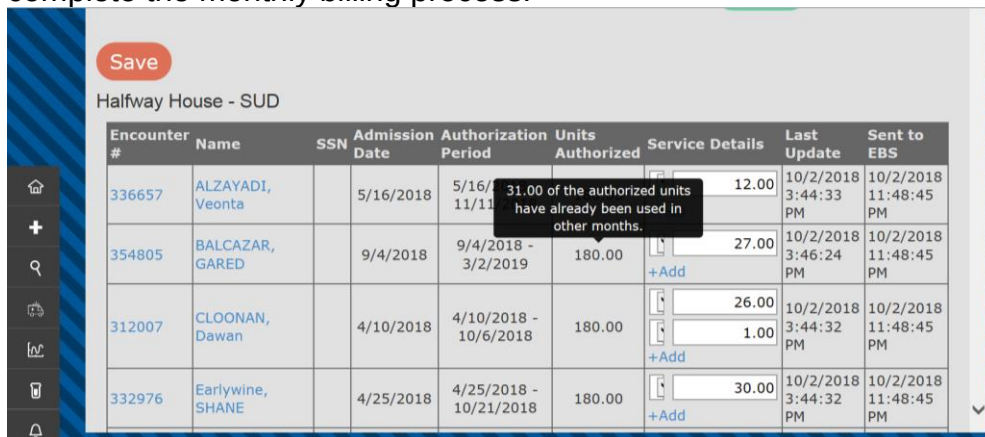
Encounter #	Name	SSN	Admission Date	Authorization Period	Units Authorized	Service Details	Last Update	Sent to EBS
336547	Camacho-Perez, TERRY RAO		5/15/2018	5/15/2018 - 11/10/2018	180.00	Adult - 1 ☒ WSA 17.00 +Add	10/1/2018 1:52:24 PM	10/1/2018 11:49:18 PM
353684	Cingel, TIMOTHY G.		8/28/2018	8/28/2018 - 2/23/2019	180.00	Adult - 1 ☐ WSA 0 +Add		
315021	Dobish, John Jr		3/13/2018	3/13/2018 - 9/8/2018	180.00	Adult - 1 ☒ WSA 8.00 +Add	10/1/2018 1:52:24 PM	10/1/2018 11:49:18 PM
			9/9/2018	9/9/2018 - 10/31/2018	53.00	Adult - 1 ☒ WSA 22.00 +Add	10/1/2018 1:52:24 PM	10/1/2018 11:49:18 PM
358622	PINEDA-ROCHA, NYAMAL		9/27/2018	9/27/2018 - 3/25/2019	180.00	Adult - 1 ☒ WSA 4.00 +Add	10/1/2018 1:52:24 PM	10/1/2018 11:49:18 PM
352126	VANVOLTEBERG, HILDA		8/16/2018	8/16/2018 - 2/11/2019	180.00	Adult - 1 ☒ WSA 30.00 +Add	10/1/2018 1:52:24 PM	10/1/2018 11:49:18 PM

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

Certain services have multiple funding sources. In these cases, check boxes designate the funding source. If multiple funding types are allowed, such as Women’s Set Aside (WSA), Vocational Rehabilitation (VR) or First Episode Psychosis (FEP), a checkbox will appear, and should be selected if it is the appropriate source for funding those particular units. If no check box is displayed, there is only one funding source. One of the flags is ON for one service detail and OFF for another.

Last Update – When unit revisions are made to an encounter row, and the information is saved, the detail on the date and time of the save will appear. End user must Save the information before the update occurs. End users can save multiple times across any one TADS.

Sent to EBS – EBS is the Electronic Billing System. EBS transmission occurs at the top of every hour. A red indicator will alert CDS users that the saved TADS has not yet been submitted to EBS. This TADS entry screen update will assist staff working on encounters and TADS to see the most recent updates by encounter. Don't forget to click on Refresh in EBS PRR screen in order to retrieve all unit updates that have come in overnight! Refer to the **EBS Manual** on how to handle the finalization of information to complete the monthly billing process.



Save

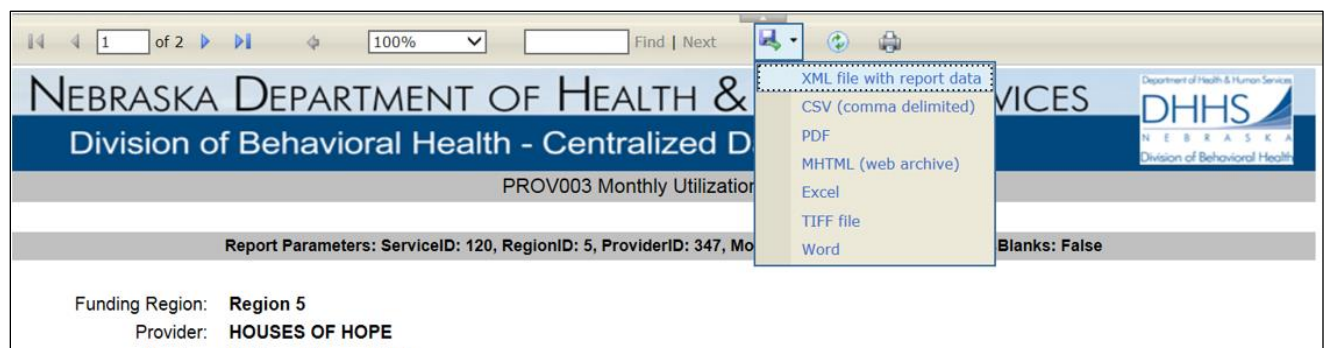
Halfway House - SUD

Encounter #	Name	SSN	Admission Date	Authorization Period	Units Authorized	Service Details	Last Update	Sent to EBS
336657	ALZAYADI, Veonta		5/16/2018	5/16/2018 - 11/11/2018	31.00 of the authorized units have already been used in other months.	12.00	10/2/2018 3:44:33 PM	10/2/2018 11:48:45 PM
354805	BALCAZAR, GARED		9/4/2018	9/4/2018 - 3/2/2019	180.00	27.00	10/2/2018 3:46:24 PM	10/2/2018 11:48:45 PM
312007	CLOONAN, Dawan		4/10/2018	4/10/2018 - 10/6/2018	180.00	26.00	10/2/2018 3:44:32 PM	10/2/2018 11:48:45 PM
332976	Earlywine, SHANE		4/25/2018	4/25/2018 - 10/21/2018	180.00	1.00	10/2/2018 3:44:32 PM	10/2/2018 11:48:45 PM

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

Save Button – Click on the Save button just above the top of the first TADS. Any data entries not saved are lost. Multiple saves are allowed when working on TADS.

Print Button – The Print button produces a popup screen depicting the TADS as of the time of the print. End users can select how to save this information by clicking on the icon of a floppy disk in the header above the Nebraska Department of Health and Human Services masthead.



Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

Available choices for saving to local computers include:

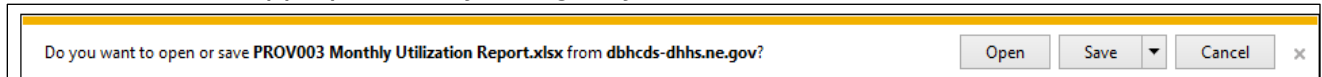
- XML file with report data
- CSV (comma delimited)
- PDF
- MHTML (web archive)
- Excel
- TIFF file
- Word

A full report will look similar to the following:

NEBRASKA DEPARTMENT OF HEALTH & HUMAN SERVICES Division of Behavioral Health - Centralized Data System								
PROV003 Monthly Utilization Report								
Report Parameters: ServiceID: 120, RegionID: 5, ProviderID: 347, MonthDateID: 20180901, SuppressBlanks: False								
Funding Region:		Region 5						
Provider:		HOUSES OF HOPE						
Service:		Halfway House - SUD						
Utilization Month:		2018-09						
Encounter ID	Consumer Name	Patient ID	Admit Date	Auth Start	Auth End	Auth Units	Adult - Per Diem	Adult - Per Diem - Therap Leave
336657	ALZAYADI, Veonta		2018-05-16	2018-05-16	2018-11-11	180.00	12.00	
354905	BALCAZAR, GARED		2018-09-04	2018-09-04	2019-03-02	180.00	27.00	
312007	CLOONAN, Dewan		2018-04-10	2018-04-10	2018-10-06	180.00	26.00	1.00
332976	Earlywine, SHANE		2018-04-25	2018-04-25	2018-10-21	180.00	30.00	
353706	ELLENBERGER, CALEY		2018-08-28	2018-08-28	2019-02-23	180.00	30.00	
342158	EURE, JEFRI		2018-06-14	2018-06-14	2018-12-10	180.00	30.00	
355450	Kael, IESA		2018-09-06	2018-09-07	2019-03-05	180.00	25.00	
344286	KOHMETSCHER, Derrika		2018-06-27	2018-06-27	2018-12-23	180.00	25.00	2.00
345163	KRIVDA, Ducra		2018-07-02	2018-07-03	2018-12-29	180.00	30.00	
347225	KUHNS, JanaLee		2018-07-16	2018-07-16	2019-01-11	180.00	30.00	
344434	Luebbert, DORABETH		2018-06-28	2018-06-28	2018-12-24	180.00	30.00	
352970	MCNAUGHTON, BRICEIDI		2018-08-22	2018-08-22	2019-02-17	180.00	3.00	1.00
348130	MELHORN, DAVID GLEN		2018-07-24	2018-07-24	2019-01-19	180.00	15.00	1.00
358463	Morales-Roman, Hinman		2018-09-26	2018-09-26	2019-03-24	180.00	5.00	
353190	MURUA, Tino		2018-08-23	2018-08-23	2019-02-18	180.00	30.00	
332343	Nations-Ziems, Sims		2018-04-23	2018-04-23	2018-10-19	180.00	29.00	1.00
314975	ODONOVAN, JERRY MICH		2018-03-14	2018-03-14	2018-09-09	180.00	9.00	
338293	Paap, MARILYN		2018-05-30	2018-05-30	2018-11-25	180.00	20.00	1.00
346530	PLOG, JASONN		2018-07-12	2018-07-12	2019-01-07	180.00	30.00	
345724	RATIGAN, JEREK		2018-07-05	2018-07-05	2018-12-31	180.00	28.00	2.00
356176	RECH, JOHNATHON		2018-09-12	2018-09-12	2019-03-10	180.00	19.00	
334025	Retchless, 94J		2018-05-03	2018-05-03	2018-10-29	180.00	30.00	
314444	RUBA, WILLI		2018-04-11	2018-04-11	2018-10-07	180.00	30.00	
349266	SAUNSOI, JUSTIN TAY		2018-07-31	2018-07-31	2019-01-26	180.00	29.00	1.00
335827	Schiefelbus, Jazlyn		2018-05-10	2018-05-10	2018-11-05	180.00	30.00	
310366	SCHOER, JIAXING		2018-02-22	2018-02-21	2019-02-16	180.00	21.00	2.00
345445	Sitton, DAVID LYNN		2018-07-03	2018-07-03	2018-12-29	180.00	30.00	
335694	Solis Silva, Kegan		2018-05-09	2018-05-09	2018-11-04	180.00	30.00	
357978	SORCE, KEELIE		2018-09-24	2018-09-25	2019-03-23	180.00	7.00	
358619	SPOOR, Wensier		2018-08-08	2018-08-27	2019-03-25	180.00	4.00	

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

The end user can click on an option, and a box will show up at the bottom of the screen prompting you to Open or Save your document. We recommend clicking the down arrow beside Save and choosing Save As so that you can save your document in the location deemed appropriate for your agency.



Saving the report as a PDF will create an external document with the information generated from the CDS. The date, time, name of the person who made the document, and the number of pages is generated at the bottom of each page. As these reports will contain PHI it is recommended that any saved reports should be saved to a secure location and not used for external communication. All TADS info will appear in your reports. This text is currently auto-generated in CDS reports.

Revisions to TADS and effect on EBS/CDS

Save

Intensive Outpatient / Adult - SUD

Encounter #	Name	SSN	Admission Date	Authorization Period	Units Authorized	Service Details
236236	ABLERS, CAEDMON	###-##-8100	1/9/2017	1/9/2017 - 4/8/2017	90	Adult - Hours 16 +Add
246854	ALVAREZ RODRIGUEZ, Jama	###-##-7642	3/3/2017	3/3/2017 - 5/31/2017	90	Adult - Hours 20 +Add
241668	BLAZKA, EARL	###-##-9462	1/26/2017	1/26/2017 - 4/25/2017	90	Adult - Hours 18 +Add
242197	BONNO, INEZ	###-##-7637	1/30/2017	1/30/2017 - 4/29/2017	90	Adult - Hours 9 +Add
236580	CAMPBELL-II, JODY LEE	###-##-2836	1/17/2017	1/17/2017 - 4/16/2017	90	Adult - Hours 16 +Add
246850	DE LA CRUZ, JAMocca	###-##-7643	3/3/2017	3/3/2017 - 5/31/2017	90	Adult - Hours 4 +Add
240711	DICKIE, MAXIMILLIAN	###-##-9591	1/18/2017	1/18/2017 - 4/17/2017	90	Adult - Hours 4 +Add
247118	ESTELL, DELFINA	###-##-9451	3/6/2017	3/6/2017 - 6/3/2017	90	Adult - Hours 4 +Add

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

Above is the March 2017 billing for Intensive Outpatient/Adult – SUD service at Test agency.

In late May, the accountant reviewed insurance coverage, and determined that encounter 236580 was paid by another funding source. The TAD was changed.

Save

Intensive Outpatient / Adult - SUD

Encounter #	Name	SSN	Admission Date	Authorization Period	Units Authorized	Service Details
236236	ABLERS, CAEDMON	###-##-8100	1/9/2017	1/9/2017 - 4/8/2017	90	Adult - Hours 16 +Add
246854	ALVAREZ RODRIGUEZ, Jama	###-##-7642	3/3/2017	3/3/2017 - 5/31/2017	90	Adult - Hours 20 +Add
241668	BLAZKA, EARL	###-##-9462	1/26/2017	1/26/2017 - 4/25/2017	90	Adult - Hours 18 +Add
242197	BONNO, INEZ	###-##-7637	1/30/2017	1/30/2017 - 4/29/2017	90	Adult - Hours 9 +Add
236580	CAMPBELL-II, JODY LEE	###-##-2836	1/17/2017	1/17/2017 - 4/16/2017	90	Adult - Hours 0 +Add
246850	DE LA CRUZ, JAMOCCA	###-##-7643	3/3/2017	3/3/2017 - 5/31/2017	90	Adult - Hours 4 +Add
240711	DICKIE, MAXIMILLIAN	###-##-9591	1/18/2017	1/18/2017 - 4/17/2017	90	Adult - Hours 4 +Add
247118	ESTELL, DELFINA	###-##-9451	3/6/2017	3/6/2017 - 6/3/2017	90	Adult - Hours 4 +Add

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

The CDS system will send to the EBS a detail of negative 16 units to make the correction to EBS for March, when the March TADS is revised by the provider to "0". Providers should never enter negative numbers on the TADS. TADS can be altered up to three (3) months prior to the month for which reimbursement/payment is being billed, without requiring special permission. For instance: if requesting reimbursement for April, the TADS for January, February or March are allowed to be revised. This is also true for any units that require change from one month to the next. If the change is made from 16 units down to 6 units, providers will enter the correct number of "6" and EBS will receive from CDS the required change of -10 needed to correct the end amount to 6 units.

When a correction is made by revising a reimbursement request to '0' in the number of units to be reimbursed, there will be no information presented in either the 'Last Update' or "Sent to EBS" columns.

Regarding retro reimbursement from another payer source: If another payer source reimburses for all or part of the service for a month, revise the monthly TADS by entering the actual number of units reimbursed, using the Division/Region funding. Again, revisions will be calculated and sent to the EBS.

Medicaid Conflicting Information

After confirming conflicting information for Authorizations/Reauthorizations:

The Division of Behavioral Health now has an updated file that will automatically check for Medicaid eligibility against providers and services. Denials based on Medicaid eligibility mean that the consumer is in a service that is eligible for payment through Medicaid and will not be eligible for this service through the CDS. The file is uploaded weekly and matches services registered during the Provider Eligibility at the time of contract upload, with the consumer record. This authorization check happens when the consumer is first entered into the CDS, as well as any time TADS units are entered, and

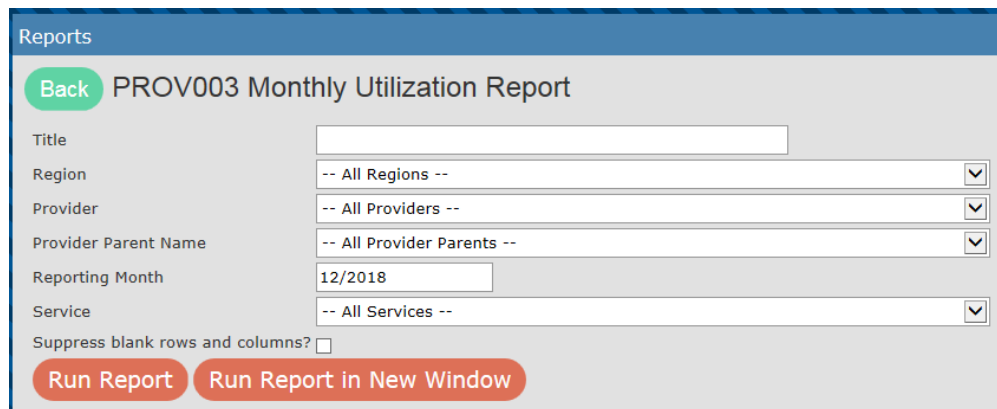
during a Continued Stay Review. If a consumer receives this denial reason, it will be necessary to seek payment through Medicaid.

Single Unit Reimbursements Made for a Month – Authorizations are recorded from the beginning to end date. If the basis for reimbursement is a single unit for a calendar month, then the number of units cannot exceed the total number of months starting from the beginning date. That is, if an authorization is for twelve (12) calendar months, then the authorization will start on the admission day for 365 days. Encounters being reimbursed for that first month cannot be reimburse for the thirteenth (13th) calendar month. A Continuing Stay Authorization is required.

Updates to TADS and EBS – TADS are updated once the Save button is clicked. Wait up to fifteen (15) minutes for the update to show on the TADS. TADS transfer to EBS at the top of every hour. Updates in EBS become available during the next hour. Click on the Update PRR button to see if the updates are successful. Check **EBS Manual** for further details.

TADS and Monthly Utilization Report

The Monthly Utilization Reports derive their information from the TADS. The Monthly Utilization Report is contained under the Reports left index tabs. Click on the Provider tab at the top of the Reports menu. Once the Provider tab is showing, click on either the PROV003 Monthly Utilization Report or PROV004 Monthly Utilization by Parent Org. Complete the drop down menus on the Report window. If the end user wants to suppress blank lines (encounters where no units have been entered for the month), there is a check box for this purpose just above the Run Report buttons.

The screenshot shows a web application interface for the 'PROV003 Monthly Utilization Report'. At the top, there is a blue header bar with the word 'Reports' in white. Below this, a green 'Back' button is visible. The main title of the form is 'PROV003 Monthly Utilization Report'. The form contains several input fields and dropdown menus: 'Title' (a text box), 'Region' (a dropdown menu showing '-- All Regions --'), 'Provider' (a dropdown menu showing '-- All Providers --'), 'Provider Parent Name' (a dropdown menu showing '-- All Provider Parents --'), 'Reporting Month' (a text box showing '12/2018'), and 'Service' (a dropdown menu showing '-- All Services --'). Below these fields is a checkbox labeled 'Suppress blank rows and columns?'. At the bottom of the form, there are two red buttons: 'Run Report' and 'Run Report in New Window'.

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

The setup of the Utilization Report mirrors the various funding options of the TADS as established in the contracts for the location, but in a spreadsheet format.

Report Parameters: ServiceID: -99, RegionID: -99, ProviderID: -99, MonthDateID: 20181001, SuppressBlanks: False										
Encounter ID	Consumer Name	Patient ID	Admit Date	Auth Start	Auth End	Auth Units	Adult - Per Diem	Adult - Per Diem - Therap Leave	Adult - Per Diem - Therap Leave - WSA	Adult - Per Diem - WSA
363287	Cherecwich, TEYLER		2018-10-24	2018-10-24	2019-04-21	180.00	8.00			
362635	CLANG, Dayvion		2018-10-19	2018-10-19	2019-04-16	180.00	8.00			
355406	De Conde Vega, STUCATO		2018-09-04	2018-09-04	2019-03-02	180.00	29.00			
331087	Duval, CHERRIE		2018-04-17	2018-04-17	2018-10-13	180.00	8.00			
356394	FUENTES, DESERAL		2018-08-28	2018-08-28	2019-02-23	180.00	31.00			

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

In the example above, the program has funding for Adult – Per Diem (with and without Therapeutic Leave), and for Women’s Set Aside (WSA) for Per Diem (with and without Therapeutic Leave).

Chapter 21: Alternative TADS Reporting

Ability to Track Telehealth Services

This chapter deals with the Alternative TADS Reporting implemented in response to an increase in the need for Telehealth services and tracking. We will explain what services are eligible for this Alternative TADS reporting method, how to enter these units, and how to split one unit across services.

SSN	Admission Date	Service Details				Last Update	Sent to EBS
###-##-9348	8/3/2018	Standard / In Person	Telehealth	Telephone	Total	+Add	
		Adult - 1 Encounter	0	1	0	1	1/12/2021 12:44:13 PM
###-##-4741	3/13/2019	Standard / In Person	Telehealth	Telephone	Total	+Add	
		Adult - 1 Encounter	0	0	0	0	
###-##-8961	12/28/2017	Standard / In Person	Telehealth	Telephone	Total	+Add	
		Adult - 1 Encounter	0	0	0	0	
###-##-0566	10/11/2018	Standard / In Person	Telehealth	Telephone	Total	+Add	
		Adult - 1 Encounter	0	0	0	0	
###-##-3467	4/2/2019	Standard / In Person	Telehealth	Telephone	Total	+Add	

Please note: This example was created in the CDS Test Site and is based on test data. This example was not derived using any genuine PHI

Alternative TADS: Services

Alternative TADS reporting units can utilized/reported for the following services:

Assessment - SUD	Recovery Support - MH
Peer Support - MH	Opioid Treatment Program (OTP) - SUD
Community Support - MH	Family Peer Support - MH
Intensive Outpatient / Adult - SUD	Day Rehabilitation - MH
Recovery Support - SUD	Peer Support - SUD
Medication Management - MH	Assessment – MH
Homeless Transition - MH	
Crisis Response - MH	
Outpatient Psychotherapy - SUD	
Intensive Outpatient - Matrix - SUD	
Community Support - SUD	
Client Assistance Program - MH	
Outpatient Psychotherapy - MH	
Outpatient Dual Disorder - SUD	
Family Navigator - MH	
Client Assistance Program - SUD	
Outpatient Dual Disorder - MH	

Alternative TADS Units

For services with the capacity to report Alternative TADS, there is the option to record units for: Standard/In Person, Telehealth, and Telephone services. Units entered into these services will add up into the “total units” box. The total units cannot be adjusted. Partial units can be added across these services and will add up to a rounded number.

In order to enter Alternative TADS Reporting simply navigate to the TADS reporting section and enter the number of units provided by each service delivery type.

1/1/2021 - 3/1/2021	90.00	Standard / In Person	Telehealth	Telephone	Total	+Add
		Adult - 1 Hour	.33	.33	.34	1

Please note: This example was created in the CDS Test Site. This example does not contain any genuine PHI

Correcting the most common error

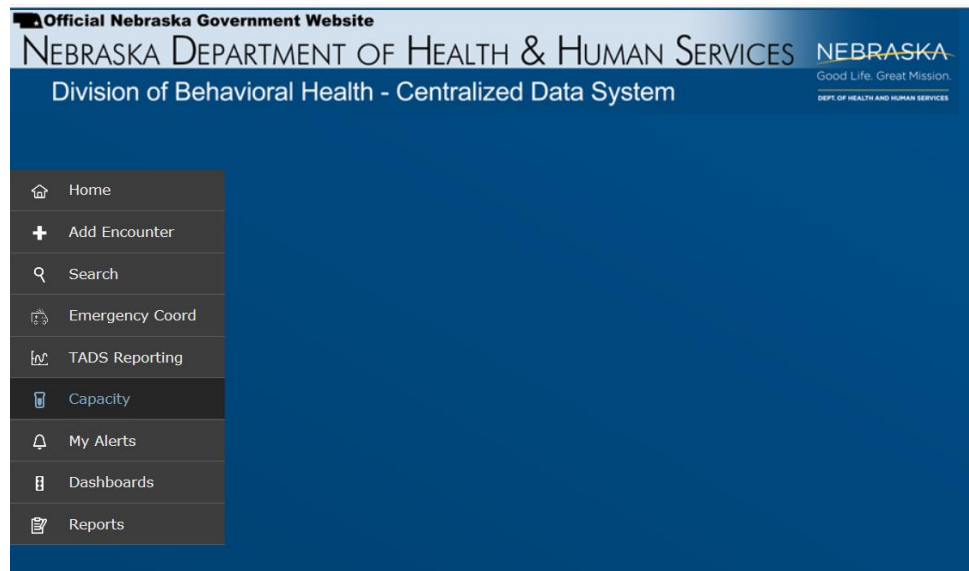
If an encounter has leftover units displayed in the total after removing them from all three delivery methods, this is a known error and can be corrected by entering units into any service method in order to change the total, then replacing those units with a 0.

Chapter 22: Capacity Management

Please note: This example was created in the CDS Test Site and is based on test data about an entirely fictitious character. This example does not contain any genuine PHI

Capacity Management

Clicking on the Capacity tab presents the User with a Capacity Management page in which you can enter the Provider Location and Week. The home page includes the Left Index tabs, the user name, special features in the drop down menu, and for administrators, a gateway to administrative functions. Capacity Management entry can be found using the Capacity tab.



Overview of Capacity within CDS

Capacity values are provided either in terms of "beds" or "units". "Beds" refers to the number of beds available or in use at any one time. "Units" refers to the number of sessions, appointments, etc. that can be provided within the full fiscal year. Units are then divided evenly to generate values for monthly and weekly values. Capacity entry in CDS is based on weekly-available and weekly-used beds and units. Information is collected at both the provider and location level (regardless of funding source) and region level (for all regions funding the service at that provider location).

The screenshot shows the 'Capacity Management' interface. At the top, a blue header bar contains the title 'Capacity Management'. Below this, a grey instruction box states: 'Select the Provider Location and Reporting Week. For all services listed, please enter the Total Agency capacity available and Total Agency capacity used for each Region in which you have a contract for services. Percent Used (capacity) will be calculated. Accuracy and make any corrections necessary.' Below the instructions, there are two dropdown menus: 'Provider Location: -- Select --' and 'Week (Monday-Sunday): 5/18/2020 - 5/24/2020'. Below these is a light grey area with the text 'Select a provider and a week.' Two blue callout bubbles with arrows point to the dropdowns. The first bubble, pointing to the 'Provider Location' dropdown, contains the text 'The page populates after a provider is selected'. The second bubble, pointing to the 'Week' dropdown, contains the text 'Date range defaults to previous week'.

The initial Capacity Management screen includes a statement of the intent of this management system, drop down menus to select the provider/location, and for the week for which the capacity management report is being completed or revised. The week defaults to the most recent past week, based on the date of access by the end user. Prior weeks (up to twelve (12) weeks in the past) can be accessed and amended by selection from the drop down menu. Reporting weeks end on Sunday. Report entry is requested to be made on Monday or early Tuesday for consideration by the Regions on Tuesday, and finalization by the State on Thursday. Capacity Formulas for Services and Data Entry Requirements in CDS are described in the **Weekly Capacity Reporting** found in Section 2 of this document.

This provider

Provider Location: Douglas CMHC - 4102 Woolworth Ave., Omaha Week (Monday-Sunday): 12/25/2017 - 12/31/2017

Has contracts for these services

For this time period

For these regions

Services	Provider Location <<				Region 1 <<				Region 6 <<			
	Capacity Available	Capacity Used	% Used	Updated	Capacity Available	Capacity Used	% Used	Updated	Capacity Available	Capacity Used	% Used	Updated
Acute Inpatient Hospitalization - MH	0	0	0%	1/5/2018	0	0	0%	1/5/2018	0	0	0%	1/5/2018
Assessment - SUD	0	0	0%	1/5/2018					0	0	0%	1/5/2018
Day Treatment - MH	0	0	0%	1/5/2018								
Emergency Protective Custody - MH	0	0	0%	1/5/2018								1/5/2018
Intensive Outpatient / Adult - SUD	0	0	0%	1/5/2018								1/5/2018
Medication Management - MH	0	0	0%	1/5/2018					0	0	0%	1/5/2018
Outpatient Psychotherapy - MH	0	0	0%	1/5/2018					0	0	0%	1/5/2018
Peer Support - MH	0	0	0%	1/5/2018					0	0	0%	1/5/2018

Definitions

Service – The services for which the provider location has contracts within the Centralized Data System (CDS) and Electronic Billing System (EBS), either for regions or directly with the state.

Provider Location Capacity Available – The number of beds, billable slots, etc. existing at the location for the specified service during the week, regardless of funding source.

Provider Location Capacity Used – The number of those beds, billable slots, etc. that were occupied or used for a specified service during the week you are reporting on, regardless of funding source.

Percent Utilization – Describes the percentage calculated from these (Provider Capacity Used divided by Provider Capacity Available). The value entered in CDS for a service's Provider Location Capacity Available carries over each week, saving time on data entry. Providers will likely only enter Capacity Available values at the beginning of the fiscal year, unless there are changes over a period of time or for a given week (i.e., changes in funding, loss of a prescriber, etc.) that affect the provider's capacity. However, *Provider Location Capacity Used* must be entered into CDS every week.

Region Capacity Available – For a service is the number of beds, billable slots, etc. your location has allotted to the Region per your contract.

Region Capacity Used – For a service is the number of those allotted beds, billable slots, etc. that were occupied or used during the week. The percentage calculated from these (Region Capacity Used divided by Region Capacity Available) is the Percent Utilization. As with Provider Location Capacity Available, the value entered in CDS for a service's Region Capacity Available carries over each week and providers will likely only enter Capacity Available values at the beginning of the fiscal year, unless there are changes that affect the provider's capacity. However, *Region Capacity Used* must be entered into CDS every week.

Updated – Describes the date of the last saved update to the record. Recording capacity used can be done for the current week or prior weeks if changes need to be made. Once the form is saved, the update is changed to the current date.

Capacity For	Provider Location <<				Region 1 <<				Region 6 <<			
Services	Capacity Available	Capacity Used	% Used	Updated	Capacity Available	Capacity Used	% Used	Updated	Capacity Available	Capacity Used	% Used	Updated
Acute Inpatient Hospitalization - MH	5	3	60%	1/5/2018	100	90	90%	1/5/2018	0	0	0%	1/5/2018
Assessment - SUD	0	0	0%	1/5/2018					0	0	0%	1/5/2018
Day Treatment - MH	0	0	0%	1/5/2018					0	0	0%	1/5/2018
Emergency Protective Custody - MH	0	0	0%	1/5/2018					0	0	0%	1/5/2018
Intensive Outpatient / Adult - SUD	0	0	0%	1/5/2018					0	0	0%	1/5/2018

In this example, this provider only has a contract for one service in Region 1, so all of the other services show no values for Region 1.

***Percentage note:** Percentages over ninety (90) percent are highlighted in accordance with requirements to monitor capacity greater than ninety (90) percent. Capacities used can be greater than one hundred (100) percent, based on the number of capacity used vs. available. Region capacity used and available may not exceed overall provider capacity used or available.

Provider Location <<				Region 1 <<			
Capacity Available	Capacity Used	% Used	Updated	Capacity Available	Capacity Used	% Used	Updated
5	3	60%	1/5/2018	2	3	150%	1/5/2018
0	0	0%	1/5/2018				

***Data are from test site and are fake.**

Columns can be collapsed or expanded by clicking the ">>" and "<<" at the top of the column.

Capacity For	Provider Location >>				Region 1 <<				Region 6 <<			
Services	% Used	Capacity Available	Capacity Used	% Used	Updated	Capacity Available	Capacity Used	% Used	Updated	Capacity Available	Capacity Used	% Used
Acute Inpatient Hospitalization - MH	60%	100	90	90%	1/5/2018	0	0	0%	1/5/2018	0	0	0%
Assessment - SUD	0%					0	0	0%	1/5/2018	0	0	0%
Day Treatment - MH	0%					0	0	0%	1/5/2018	0	0	0%
Emergency Protective Custody - MH	0%					0	0	0%	1/5/2018	0	0	0%

In this example, Provider is collapsed. Neither of the regions are.

Section 2: Capacity Formulas for Services and Data Entry Requirements in CDS

Refer to CDS System Documentation and Training section on the website for training videos and presentations offering in-depth review of Capacity and Utilization.

Overview

In general, Provider Location Capacity Available for a service is the number of beds, billable slots, etc. existing at the location for the service at any time during the week, regardless of funding source. Provider Location Capacity Used for a service is the number of those beds, billable slots, etc. that were occupied or used during the week you are reporting on, regardless of funding source. The percentage calculated from these (Provider Capacity Used divided by Provider Capacity Available) is the Percent Utilization.

The value entered in CDS for a service's Provider Location Capacity Available carries over each week, saving time on data entry. Providers will likely only enter Capacity Available values at the beginning of the fiscal year unless there are changes (i.e., changes in funding, loss of a prescriber, etc.) that affect the provider's capacity. However, Provider Location Capacity Used must be entered into CDS every week.

Region Capacity Available for a service is the number of beds, billable slots, etc. your location has allotted to the Region per your contract. Region Capacity Used for a service is the number of those allotted beds, billable slots, etc. that were occupied or used during the week. The percentage calculated from these (Region Capacity Used divided by Region Capacity Available) is the Percent Utilization.

As with Provider Location Capacity Available, the value entered in CDS for a service's Region Capacity Available carries over each week, and providers will likely only enter Capacity Available values at the beginning of the fiscal year, unless there are changes that affect the provider's capacity. However, Region Capacity Used must be entered into CDS every week.

Services and Formulas

Services That Require Only Counts of Weekly Capacity Used

For the following services, providers only need to enter Provider and Region Capacity Used values into the CDS unless required by your Region. Capacity Used for these services are simply counts for the week. In parentheses beside the name of the service is the item you will count for Capacity Used. Read the following for more detail on Provider Capacity and Region Capacity for these services. Additionally, see the **Crosswalk of Services and Units Indicate and Payments**.

Provider Location Capacity –

- Capacity Available: not required in CDS unless instructed by your Region to enter it.
- Capacity Used: number completed/number persons served/number persons enrolled as of the last day of the week, regardless of payer.
- % Capacity Used: not applicable.

Region Capacity –

- Capacity Available: Not required in CDS, unless instructed by your Region to enter it.
- Capacity Used: number completed/number persons served/number persons enrolled as of the last day of the week, where the Region is the payer.
- % Capacity Used: not applicable.

Services –

- 24-Hour Crisis Line - MH (# CALLS RECEIVED)
- 24-Hour Crisis Line - SUD (# CALLS RECEIVED)
- Assessment - MH (# COMPLETED)
- Assessment - SUD (# COMPLETED)
- Crisis Assessment - MH (# COMPLETED)
- Crisis Assessment - SUD (# COMPLETED)
- Crisis Inpatient - Youth - MH (# PERSONS SERVED - if person served more than once in week, count both)
- Crisis Response - MH (# EVENTS)
- Crisis Response - SUD (# EVENTS)
- Day Support - MH (# ENROLLED)
- Emergency Protective Custody - MH (# EVENTS)
- Emergency Psychiatric Observation - MH (# EVENTS)
- ERCS Transition - MH (# ENROLLED)
- Family Navigator - MH (# ENROLLED)
- Family Navigator - SUD (# ENROLLED)
- Family Peer Support - MH (# ENROLLED)
- Family Peer Support - SUD (# ENROLLED)
- Homeless Transition - MH (# PERSONS SERVED - if person served more than once in week, count both)
- Hospital Diversion Less Than 24 hours - MH (# PERSONS SERVED)
- Inpatient Post Commitment Treatment Days (IPPC) - MH (# PERSONS SERVED)
- Inpatient Post Commitment Treatment Days (IPPC) - SUD (# PERSONS SERVED)

- Peer Support - MH (# ENROLLED)
- Peer Support - SUD (# ENROLLED)
- Psychological Testing - MH (# COMPLETED)
- Therapeutic Consultation - MH (# COMPLETED)
- Urgent Medication Management - MH (# PERSONS SERVED)
- Urgent Outpatient Psychotherapy - MH (# PERSONS SERVED)
- Youth Assessment - MH (# COMPLETED)
- Youth Assessment - SUD (# COMPLETED)
- Youth Transition Services - MH (# COMPLETED)
- Youth Transition Services - SUD (# COMPLETED)

Services with Bed-Based Capacity

For the following services, Provider Capacity Available is based on the number of beds the provider has available for the service regardless of payer source. Region Capacity Available is based on the number of beds the agency/provider is contracted with the Region to provide. Read the following for more detail on Provider Capacity and Region Capacity for these services.

Provider Location Capacity –

- Capacity Available: number of beds available during the week regardless of payer.
- Capacity Used: number of beds occupied on the last day of the reporting period regardless of payer.
- % Capacity Used: $\text{Provider Capacity Used} \div \text{Provider Capacity Available}$.

Region Capacity –

- Capacity Available: number of beds available during the week where the region is payer.
- Capacity Used: number of beds occupied on the last day of the week where the region is payer.
- % Capacity Used: $\text{Region Capacity Used} \div \text{Region Capacity Available}$.

Services –

- Acute Inpatient Hospitalization - MH
- Civil Protective Custody - SUD
- Crisis Stabilization - MH
- Dual Disorder Residential - MH
- Dual Disorder Residential - SUD
- Halfway House - SUD
- Hospital Diversion Over 24 hours - MH
- Intermediate Residential - SUD

- Mental Health Respite - MH
- Psychiatric Residential Rehabilitation - MH
- Secure Residential - MH
- Short Term Residential - SUD
- Social Detoxification - SUD
- Sub-acute Inpatient Hospitalization - MH
- Therapeutic Community - SUD

Services with Slot-Based Capacity

For the following services, Provider Capacity Available is based on the number of billable slots the provider has available for the service regardless of payer source. Region Capacity Available is based on the number of billable slots the agency/provider is contracted with the Region to provide. Read the following for more detail on Provider Capacity and Region Capacity for these services.

Provider Location Capacity –

- Capacity Available: number of billable slots available during the week, regardless of payer
- Capacity Used: number of billable slots used during week, regardless of payer
- % Capacity Used: $\text{Provider Capacity Used} \div \text{Provider Capacity Available}$

Region Capacity –

- Capacity Available: number billable slots available during the week where the region is payer
- Capacity Used: number of billable slots used during week where the region is payer
% Capacity Used: $\text{Region Capacity Used} \div \text{Region Capacity Available}$

Services –

- Intensive Outpatient / Adult - MH
- Intensive Outpatient / Adult - SUD
- Intensive Outpatient / Youth - MH
- Intensive Outpatient / Youth - SUD
- Medication Management - MH
- Multi-Systemic Therapy - MH
- Opioid Treatment Program (OTP) - SUD
- Outpatient Dual Disorder - MH
- Outpatient Dual Disorder - SUD
- Outpatient Psychotherapy - MH
- Outpatient Psychotherapy - SUD
- Supported Housing - MH
- Supported Housing - SUD
- Supportive Living - MH

- Supportive Living - SUD

Case Rate-Based Capacity – Professional Partner Program Only

For Professional Partner Program, Capacity Available values are based on case rate and funding. Read the following for more detail on Provider Capacity and Region Capacity for Professional Partner Program (PPP).

Provider Location Capacity –

- Capacity Available – $\text{[Total Region funding (\$) for ALL LEVELS of PPP divided by the case rate divided by 12]} + \text{[Total funding from CFS (\$) for PPP divided by the case rate divided 12]}$
- Capacity Used – Total number of Region-funded youth enrolled in ALL LEVELS of PPP on the last day of the week + total number of youth enrolled in CFS-funded PPP on the last day of the week.
- % Capacity Used – $\text{Provider Capacity Used} \div \text{Provider Capacity Available}$.

Region Capacity –

- Capacity Available – Total Region funding (\$) for ALL LEVELS of PPP divided by case rate divided by 12
- Capacity Used – Total number of DBH-funded youth enrolled in ALL LEVELS of PPP on the last day of the week
- % Capacity Used: $\text{Region Capacity Used} \div \text{Region Capacity Available}$

Services –

- Professional Partner Program - MH

Services with Ratio-Based Capacity

Capacity for the following services is based on Consumer-to-Staff ratio described in the **Lime Book**, which contains the **Utilization Guidelines** for the services. Read the following for more detail on Provider Capacity and Region Capacity for these services.

Provider Location Capacity –

- Capacity Available: based on service-specific staff-to-consumer ratio
- Capacity Used: based on service-specific staff-to-consumer ratio
- % Capacity Used: $\text{Provider Capacity Used} \div \text{Provider Capacity Available}$

Region Capacity –

- Capacity Available: based on service-specific staff-to-consumer ratio
 - Capacity Used: based on service-specific staff-to-consumer ratio
- $\text{Capacity Used} = \text{Region Capacity Used} \div \text{Region Capacity Available}$

Services –

- Assertive Community Treatment - MH
- Community Support - MH

- Community Support - SUD
- Day Rehabilitation - MH
- Day Treatment - MH
- Emergency Community Support - MH
- Intensive Case Management - MH
- Intensive Case Management - SUD
- Intensive Community Services - MH
- Intensive Community Services - SUD
- Recovery Support - MH
- Recovery Support – SUD

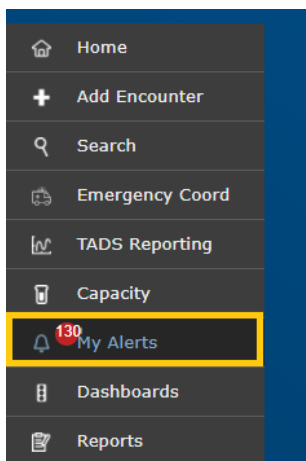
Supported Employment - SUD

Chapter 23: My Alerts

My Alerts Tab

The Centralized Data System has a feature to alert end users of encounters needing attention.

The My Alerts tab will list the type of action required to complete tasks for each encounter needing attention.



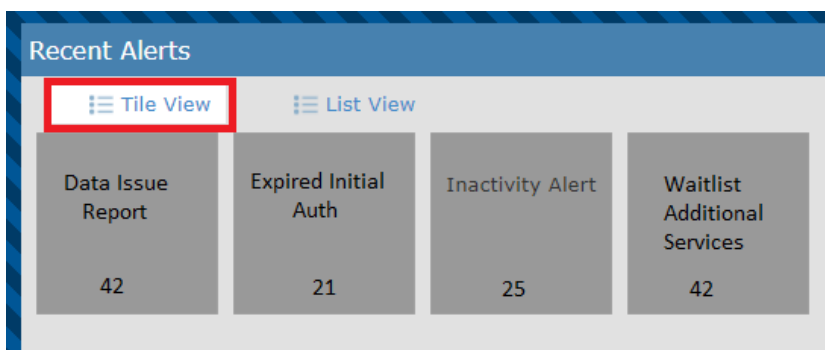
Depending on the level of permission of end users, My Alerts provides end users of an organization with an opportunity to keep encounters up to date.

Encounters with Expired Initial Authorizations, Continued Care Reviews, Continued Stay Reviews, ACT updates, those in Appeal, and any Appeals granted or denied are listed.

The webpage lists the first 200 alerts, but a full list can be generated using the export function in the upper right-hand corner of the window.

How to check alerts

1. Start by clicking My Alerts from the Left Index tabs.
2. The end user can tell how many alerts exist by the red dot with a number displayed on the banner . The number varies according to the number of alerts.
3. After clicking My Alerts, the Recent Alerts report window appears. The default for this window is Tile View. Tile View shows the types of alerts and number of records requiring attention.



Please note: This example was created in the CDS Test Site.

4. Clicking on List View switches the end user to a more detailed view that reveals up to 200 of the most recent alerts, regardless of type.

Recent Alerts

Tile View List View

Recent Alerts

Export Alerts

Alert ID	Scope	Subject	Message	Date
35260		Inactivity Alert	User 'bf200lnk'sbutter (Shea Butter-Cup) has not logged in since 2021-08-05 15:55:53.302 (60 days).	10/4/2021 12:00:00 AM
35259	Region 1	Waitlist Additional Services not Offered (Encounter #422181)	Encounter 422181 added to waitlist but additional services were not offered.	9/30/2021 3:43:54 PM

Please

note: This example was created in the CDS Test Site, the end user cited and the alerts are fictitious.

- The end user selects an alert by clicking on any grayed box displayed on the Recent Alerts screen.

Alert Details

Alert ID	35259
Alert Event Type	Waitlist Additional Services
Time Sent	9/30/2021 3:43 PM
Scope	Region 1
Sent To	
Subject	Waitlist Additional Services not Offered (Encounter #422181)
Alert Message	Encounter 422181 added to waitlist but additional services were not offered.

Please note: This example was created in the CDS Test Site for demonstration purpose only.

How to export alerts

The end user can export the details of the message or messages by clicking on the green Export Alerts button in the upper right-hand corner of the Alert window.

The Export Alerts button extracts the data. A pop-up window will invite the end user to save or open a file. This file contains an excel spreadsheet of the alerts. The end user can save the file locally if necessary. Administrators will appreciate the excel spreadsheet as it contains all alerts, which is useful to monitor encounter activity.

Chapter 24: Definitions and Variable Explanations

Centralized Data System Definitions

General Definitions

42 CFR – Code of Federal Regulations Title 42 Part 2 – Confidentiality of Alcohol and Drug Abuse Patient Records. Stringent regulations designed to maintain confidentiality of alcohol and drug abuse consumer information.

Compass Data System – A proprietary data collection system and customized instance of Compass (H4 Technology LLC). The system is a web-based, cloud solution that offers reporting and analysis capabilities. The background operating system for the centralized data system (CDS).

Centralized Data System (CDS) – The Division of Behavioral Health’s data system for tracking number of consumers in service and their progress.

DBH – The Division of Behavioral Health within the Department of Health and Human Services at the State.

DHHS – Department of Health and Human Services. The parent department to the Division of Behavioral Health.

H4 Technology LLC – The sub-contractor on the NE DBH CDS implementation that was chosen by Orion Healthcare to handle the custom development.

HIPAA – Health Insurance Portability and Accountability Act – Federal legislation that establishes accountability, disclosure and confidentiality standards for health services.

HUD – US Department of Housing and Urban Development.

IS&T – Information Systems and technology. Typically referred to as IS&T, in this case is representing DHHS IS&T.

Orion Healthcare – The contractor chosen by the NE DBH to organize, implement, and maintain the CDS.

Definitions Used in this Manual

Consumer Tabs – The series of tabs located on the status window that provide diagnostic, social, and demographic information in support of the consumer's admission to treatment.

Left Index Tabs – The left most tabs on the home screen that initiate various functions of the CDS. These are sometimes referred to as chiclets.

Managed Encounter Window – The window that appears with the encounter number in the upper left-hand corner, designed to keep a history of the encounter.

Update History Table – A table within the Managed Encounter Window that contains the order of events related to data entry for an encounter.

EBS: Electronic Billing System – An automated system that supplies information to the Division of Behavioral Health on budgets, reimbursements, and units of service by agency location to support requests for payment.

Encounter – An Encounter is defined, within CDS, as a period of time over which a service takes place. Not to be confused with a visit. The CDS defined encounter could have several visits, over a period of time. Ex.: John Doe received 6 months of Outpatient Psychotherapy. John may have visited every day for 6 months, but John's encounter was the entire stretch of service.

NOMS – National Outcome Measures – select variables collected and reported to a national data repository to describe the improvement of mentally ill and substance use disordered consumers program participation and improvement. NO PHI is divulged.

Registration – Creating a record and beginning to fill out all the information for a consumer, before an admission.

Variables and Drop Down Menu Explanations

Admission – Admitting a consumer into the service. This is when the clock begins on the service that is being rendered.

Authorization – The approval for payment of service. This does not necessarily mean the admission has occurred.
A change in the admission date implies a change in the authorization date.

Discharges – Dismissing a consumer from a service. Discharging also closes and encounter for editing.

Address – Two (2) lines are available for recording the consumer’s address. Record the consumer’s home address. Home address is that place to which the consumer will be returning upon completion of treatment. Do not enter the address of a residential treatment center (consumer survey uses the home address). Consumers who are homeless (having no address) are recorded as “NO PERMANENT ADDRESS” on the address line. Complete the city and zip code based on the current treatment service location (i.e. a consumer residing at Lincoln Homeless Shelter and receiving outpatient services from a downtown treatment entity in Lincoln should be recorded as NO PERMANENT ADDRESS, Lincoln, NE, 68508).

Admission Date – The date the consumer, as represented by the encounter, began to receive NBHS/Region funded service. Multiple admissions can occur on a single day if the consumer enrolls into more than one service. Each service has its own encounter.

Cluster – Before using this box, training is required on cluster analysis. Using the drop-down menu, select the cluster that best describes the consumer.

M1: Men who expect others to meet their many perceived needs
M2: Men who are unable to deal with high expectations for their performance
M3: Men who use threats & intimidation to get their needs met
M4: Men who are more culturally isolated & see little need to change their substance use behavior
M5: Men addicted to opiates or pain medications
M6: Younger men addicted to heroin or cocaine & who have ended up out on the street
M7: Men with serious substance abuse, mental health & community living problems (SAMI)
M8: Men with severe substance abuse problems & less severe MH problems
W1: More mature women addicted to crack, narcotics and other street drugs
W2: Women addicted to the exciting lifestyle
W3: Women addicted to medications or other drugs (and may have avoided legal consequences for years)
W4: More mature women who abuse alcohol
W5: Women with more severe mental health problems (SAMI)
W6: Women with MH issues whose histories of trauma make it difficult for them to move forward
W7: Women whose lives have been controlled by others and their expectations limited
W8: Younger women who have used drugs to deal with family & social problems
W9: Women who have become unintentionally dependent upon drugs
W10: Younger women who seem worn down from generational poverty & addiction
1: Adults with chronic & serious health conditions & psychiatric disabilities
2A: Adults with serious substance abuse, mental health & community living problems
2B: Adults with severe substance abuse problems & less severe mental health problems
3A: Adults whose psychiatric problems have caused them to miss out on opportunities
3B: Adults whose illnesses began more recently and are not convinced of the usefulness of treatment
4A: Adults with trauma histories, anxiety & depression, who have difficulty moving forward
4B: Adults who struggle with anxiety and tend to focus on their physical health conditions
5: Adults who have functioned well in their communities
1: Youth who have ADHD or other neuro-behavioral conditions
2: Vulnerable youth who are depressed and/or suicidal
3: Youth with serious behavior problems
4: Youth who have been sexually, physically or emotionally abused
5: Youth affected by traumatic events
6: Youth with substance abuse issues
7: Very anxious youth
8: Youth not adjusting to stressful life events or crises
9: Youth involved in sexual offenses
10: Youth with both cognitive limitations and behavioral problems

Cluster Certainty –

Unknown
Don't know well enough
Very certain
Certain
Somewhat uncertain
Very uncertain
Doesn't fit in any cluster

Continuance of Service – This is an event in which the consumer was contacted in a telephone conversation, face to face contact, or teleconference specifically for the purpose of determining the future of the service relationship.

Date of Last Contact – The date the consumer was last contacted for the continuance of service, whether or not additional administrative services occurred after that date.

Discharge Date – The date in which the organization formally released the consumer from service as represented by the encounter.

Discharge Type –

Administrative DC – Actions of an agency to discharge a consumer, and having no record of the consumer's intent to discharge, or for whom contact has been lost.	Other – E.g. moved, illness, hospitalization, or other reasons somewhat out of consumer's control.
Aged out (youth) – Consumers between 17 and 19 years who, because of age/maturity, have been admitted to adult services.	Terminated by Facility – this differs from an administrative DC, in that the program participant violated rules sufficient to jeopardize the safety/recovery of others in the program.
Change in Funding – Consumer's insurance or Medicaid status changes such that they no longer qualify for NBHS funds.	Transferred to Different Location, Same Agency – Consumer transferred from one location operated by an agency to another. No change in service, just location.
Chose to decline additional Tx – The consumer, meeting with staff has chosen to discontinue treatment although they may have met continued stay criteria.	Transferred to Another SA Tx Prgm – Did Report: Consumer was transferred to another substance abuse treatment program, provider or facility, and reported or it is not known whether consumer reported
Client seen for Assess Only- 1x Contact – One or more contacts specifically for an assessment.	Transferred to Another SA Tx Prgm - Did not Report: Consumer was transferred to another substance abuse treatment program, provider or facility, and it is known that consumer did not report.
Death, not Suicide	Transferred to another MH Tx Pgm – and did report - Consumer was transferred to another mental health treatment program, provider or facility, and reported or it is not known whether consumer reported.
Death, Suicide Completed	Transferred to Another Service – Within an agency, the consumer required a different service.
Did not Show for First Appointment	Treatment Completed – the consumer and program staff agree that the consumer has made sufficient recovery such that the consumer no longer meets the continued stay requirements.
Incarcerated – consumers with whom the agency no longer has contact, and it is known they were sent to prison or	Unknown - Consumer status at discharge is not known because, for example, discharge record is lost or incomplete. DO NOT use this category for consumers who drop out of

jailed or are on house confinement for offenses.	treatment, whether reason for drop-out is known or unknown.
Left Against Prof Advice (Drop Out) – consumer did not come back to appointments/residence and has not spoken to staff.	

Education – Select the last grade completed. Education is a NOMS variable.

Less Than One Grade Completed or No Schooling
Nursery School, Preschool
Kindergarten
Grade 1
Grade 2
Grade 3
Grade 4
Grade 5
Grade 6
Grade 7
Grade 8
Grade 9
Grade 10
11 Years
12 Years = GED
1st Year of College or University
2nd Year of College or Associate Degree
Some Graduate Study - Degree Not Completed
3rd Year of College or University 4th Year
Bachelor's Degree
Post Graduate Study
Master's Degree
Doctorate Degree
Vocational School
Technical Trade School
Self-contained Special Education Class
Special Education Class
Unknown

Employment Definition and Explanation

Persons in the Labor force

Employed – This is a broad category of full or part time employment under the competitive labor market environment and supported employment. Includes armed services/active duty military.

Full Time – Working 35 hours or more each week, including active duty members of the uniformed services.

Part Time – Working fewer than 35 hours each week.

Unemployed – Looking for work during the past 30 days, or on layoff from a job. According to the U.S. Department of Labor: Persons are classified as unemployed if they do not have a job, have actively looked for work in the prior 4 weeks, and are currently available for work.

Persons Not in Labor Force – Consumers who are not employed, not actively looking for employment during the past 30 days, or a student, homemaker, disabled, retired, or an inmate of an institution. Includes consumers who work in non-competitive employment settings, such as sheltered workshops or other sheltered employment.

Health Insurance Status – The consumer’s status of other sources of insurance. This does not exclude consumers from receiving funding, but it is important to know the population served.

-- Select --
No Insurance
Child Welfare
HMO
Indian Health Services
Medicaid
Medicare
PPO
Private Self Paid
Veterans Administration
Other Direct Federal
Other Direct State
Other Insurance
Unknown

Household Income – Annual Taxable – Annual Taxable income is defined as alimony, wages, tips or other money received for a food or service. This information can be obtained by review of, paycheck records, SSI/SSDI eligibility, Medicaid eligibility, and/or a signed statement from the consumer. For purposes of the Eligibility Worksheet, the taxable income of the consumer and other adult dependents should be used to determine Taxable Monthly Income. For the purposes of completing the Eligibility Worksheet, the following items are NOT included as taxable income: SSI, SSDI, Child support or monetary assistance received from family or non-family members. Calculate Monthly figure and multiple by 12 to determine annual taxable income. Enter only the digits for the thousands (\$25,000 is entered as “25”).

Household Income - Gross Annual – Determined based on the receipt of those various forms of income including wages, earned interest income, SSI, SSDI payments, etc. Used for housing assistance encounters.

Impact on School Attendance – Select the statement that best describes the impact of service on school attendance.

-- Select --
Greater Attendance
About the Same
Less Attendance
Does Not Apply-Expelled From School
Does Not Apply-No problem Before Service
Does Not Apply-Too Young to be in School
Does not Apply-Other
Does not Apply-Home Schooled
Does not Apply-Dropped out of School
N/A (at admission)
No Response-(Unable to Assess)
Unknown

Juvenile Service Status

Indicate if the consumer is enrolled in one of the listed juvenile services

-- Select --
Drug Court
OJS State Ward
Other Court Involvement
Probation
Not Involved with Juvenile Services
Unknown

Preferred Language – Select from the list of languages.

-- Select --
Arabic
Chinese
Dakota
English
Farsi
French
German
Hebrew
Hindi
Ho-Chunk
Italian
Japanese
Korean
Lakota
Laotian
Neir
Ponca
Portuguese
Russian
Sign language
Spanish
Tagalog
Umonhon
Vietnamese
Other
Unknown

Legal Status – Select from among available choices.

-- Select --
Civil Protective Custody (CPC)
Court Order
Court: Competency Evaluation
Court: Juvenile Commitment
Court: Juvenile Evaluation
Court: Mentally disordered sex offender
Court: Presentence Evaluation
Emergency Protective Custody (EPC)
Juvenile High Risk Offender
MHB Commitment
MHB Hold/Custody Warrant
Not responsible by reason of insanity
Parole
Probation
Voluntary
Voluntary by Guardian
Ward of the State
Unknown

Living Arrangements (At Admission and Discharge) – This is a NOMS measure. See the **NOMS** description in this manual.

-- Select --
Assisted Living Facility
Child Living with Parents/Relative
Child Residential Treatment
Crisis Residential Care
Foster Home
Homeless
Homeless Shelter
Jail/Correction Facility
Other 24 Hr Residential Care
Other Institutional Setting
Private Residence Receiving Support
Private Residence w/Housing Assistance
Private Residence w/o Support
Regional Center
Residential Treatment
Youth Living Independently
Other
Unknown

Medicaid/Medicare Eligibility –

Det. to Be Inelig-NA – Determined to be ineligible (Not Applicable). The consumer's income and dependent classification clearly shows the consumer not to be eligible for these benefits.	Elig/Recv. Payments – Eligible and could be Receiving Payments. Consumers who are found to be eligible and may not be receiving benefits, or consumers who may be eligible and receiving benefits.
Elig/Not Recv. Benefits – Eligible but not receiving benefits. Consumers who are eligible but who are not now receiving benefits.	Potential. Eligible – Potentially Eligible. Those consumers who at first review may be potentially eligible for benefits. No determination has been officially made.

Marital Status – Select the description that most fits the consumer's situation.

Cohabiting – Individuals who are living together and having no marital relationship but who through roles and maintenance of responsibilities typically associated with marriage maintain an association similar to marriage, but where there is not legally recognized marriage.	Never Married – includes those consumers whose marriage has been annulled.
Divorced – having been married and now having a decree of divorce and having no subsequent marriage.	Separated – includes those separated legally or otherwise absent from spouse because of marital discord.
Married – includes those who are living together in an officially recognized marital relationship.	Widowed – Having been married and experiencing the death of the marital partner without any further marriage.

Number of Dependents – A dependent is defined as any person, married or cohabitating, with the consumer, or any child under the age of 19, who depends on the consumer's income for food, shelter, and care. Dependents may include parents, grandparents, or adult children if the individual(s) are living with the consumer, and they are dependent on the consumer's income for their food, shelter or care.

If there is no one dependent upon the consumer's income other than the consumer, then enter one (1).

If the consumer is a child and is dependent upon others for support, then enter zero (0).

If the consumer is in a "cohabitating" relationship and does not rely on the support of the other individual(s) of the relationship, and has no other source of support, then enter one (1).

Type of Phone – Select from available choices:

-- Select --
Land Line
No Phone
Pay by Minute Cell Phone
Unlimited Subscription Cell Phone
Unknown

*If the phone type is unknown, then the phone number is not required.

Primary Income Source – Select from the drop down menu that best describes the consumer's situation.

Disability – Payments made to the consumer because of disability (SSI/SSDI etc).	Other – Include here interest income and other sources of income not elsewhere identified whether legal or illegal. Include here Child Support or Alimony as well as any support from family members of a monetary nature.
Employment – Any employment regardless of number of hours worked.	Public Assistance – County, State or Federal payment to support the consumer.
None – no income	Retirement/Pension – Systematic saving plan being drawn down in support of the consumer because of previous employment.
Unknown – No information is known about this data element. Please update when information becomes available.	

Race– this is a multi-select variable – select all that apply.

American Indian – origins in any of the original people of North American and South America (including Central America) and who maintain cultural identification through tribal affiliation or community attachment.	Native Hawaiian - Persons whose origin is in any of the original peoples of Hawaii.
Asian – Origins in any of the original people of the Far East, the Indian subcontinent, or Southeast Asia, including Cambodia, China, India, Japan, Korea, Malaysia, Philippine Island, Thailand and Vietnam.	Other Pacific Islander – Origins in the pacific islands of Guam, Samoa or other Polynesian islands.

Alaska Native – Origins of any of the original people of Alaska.	White – (Caucasian) Origins in any of the original people of Europe, North Africa or the Middle East.
Black American – (Negro) Origins in any of the black racial groups of Africa.	

Referral Source (at admission and discharge)

-- Select --

Self (e.g. Self/Internet/Yellow Pages)
Community: Community/Social Services Agency
Community: Employer or Employee Assistance Program (EAP)
Community: Family or Friend
Community: Homeless Shelter
Community: Nebraska Vocational Rehabilitation
Community: School
Community: Self-Help Group
Community: Tribal Elder or Official
Deceased - Not Suicide
Deceased - Suicide
Emergency/Crisis MH Services
Emergency/Crisis SUD Services
Justice System: Pre-trial Diversion
Justice System: Corrections
Justice System: Court Order
Justice System: Court Referral
Justice System: Defense Attorney
Justice System: Drug Court
Justice System: Law Enforcement Agency (e.g. Police/Sheriff/Highway Patrol)
Justice System: Mental Health Court
Justice System: Parole
Justice System: Probation
Justice System: Prosecutor
MH Commitment Board

Provider: Medical/Health Care Provider
Provider: MH Services Provider
Provider: SUD Services Provider
Provider: Transfer Inter Agency
Regional Center/State Psychiatric Hospital
No Referral Made
Other
Unknown

School Absences – From the list of times, select the most appropriate response that describes this consumer’s situation. This is a NOMS indicator.

1 day every 2 weeks
1 day per week
1 or less days per month
2 or more days per week
Home Schooled
Not Enrolled

SED – Seriously Emotionally Disturbed

NE State SED Definition: Client is age 3-17 years AND has at least one of the following ICD-10 diagnoses: F20.0, F20.1, F20.2, F20.3, F20.5, F20.81, F20.89, F20.9, F22, F23, F24, F25.0, F25.1, F25.8, F25.9, F28, F29, F30.10, F30.11, F30.12, F30.13, F30.2, F30.3, F30.4, F30.8, F30.9, F31.0, F31.10, F31.11, F31.12, F31.13, F31.2, F31.30, F31.31, F31.32, F31.4, F31.5, F31.60, F31.61, F31.62, F31.63, F31.64, F31.70, F31.71, F31.72, F31.73, F31.74, F31.75, F31.76, F31.77, F31.78, F31.81, F31.89, F31.9, F32.0, F32.1, F32.2, F32.3, F32.4, F32.5, F32.8, F32.9, F33.0, F33.1, F33.2, F33.3, F33.40, F33.41, F33.42, F33.8, F33.9, F34.8, F34.9, F39, F44.89, 300.01, 300.21, 300.3, 301.13, 307.1, 307.23, 307.51, 309.81, 312.34, 314, 314.01, 314.1, 314.2, 314.8, 314.9, F40.01, F41.0, F42, F43.10, F43.11, F43.12, F44.89, F50.00, F50.01, F50.02, F50.2, F63.81, F90.0, F90.1, F90.2, F90.8, F90.9, F95.2

AND meets at least one of the following criteria: is SSI/SSDI eligible or potentially eligible; was admitted to Professional Partner Services, Special Education Services, Day Treatment, Intensive Outpatient, Therapeutic Consultation/School Wrap, or Respite Care

OR Client is age 3-17 years AND Provider selected YES for Consumer Meets NE SED Criteria.

OR Client is age 3-17 years AND provider has indicated three or more functional deficits of physical functioning, community living skills, vocational/education attainment, personal care skills, mood, interpersonal relationship, psychological status, daily living skills and/or social skills.

SMI – Serious Mentally Ill

NE State SMI Definition: Client is age 18 or older AND has at least one of the following ICD-10 diagnoses: F20.0, F20.1, F20.2, F20.3, F20.5, F20.81, F20.89, F20.9, F22, F23, F24, F25.0, F25.1, F25.8, F25.9, F28, F29, F30.10, F30.11, F30.12, F30.13, F30.2, F30.3, F30.4, F30.8, F30.9, F31.0, F31.10, F31.11, F31.12, F31.13, F31.2, F31.30, F31.31, F31.32, F31.4, F31.5, F31.60, F31.61, F31.62, F31.63, F31.64, F31.70, F31.71, F31.72, F31.73, F31.74, F31.75, F31.76, F31.77, F31.78, F31.81, F31.89, F31.9, F32.0, F32.1, F32.2, F32.3, F32.4, F32.5, F32.8, F32.9, F33.0, F33.1, F33.2, F33.3, F33.40, F33.41, F33.42, F33.8, F33.9, F34.8, F34.9, F39, F44.89

AND meets at least one of the following criteria:

GAF score less than 60; indicated a functional deficit AND is SSI/SSDI eligible or potentially eligible;

OR Client is age 18 or older AND Provider selected YES for Meets SMI Criteria.

OR provider has indicated three or more functional deficits of physical functioning, community living skills, vocational/education attainment, personal care skills, mood, interpersonal relationship, psychological status, daily living skills and/or social skills.

Social Supports– This should be selected if, in the past 30 days, the consumer has participated in recovery activities, such as self-help groups or support groups (defined as attending self-help group meetings, attending religious/faith affiliated recovery or self-help group meetings, attending meetings of organizations other than organizations described above, or interactions with family members and/or friends supportive of recovery).

-- Select --
No Attendance in past month
1-3 times in past month
4-7 times in past month
8-15 times in past month
16-30 times in past month
Some attendance in past month
Unknown

SSD/SSDI Eligibility –

Det. to Be Inelig-NA – Determined to be ineligible (Not Applicable). The consumer's income and dependent classification clearly shows the consumer not to be eligible for these benefits.	Elig/Recv. Payments – Eligible and could be Receiving Payments. Consumers who are found to be eligible and may not be receiving benefits, or consumers who may be eligible and receiving benefits.
Elig/Not Recv. Benefits – Eligible but not receiving benefits. Consumers who are eligible but who are not now receiving benefits.	Potential. Eligible – Potentially Eligible. Those consumers who at first review may be potentially eligible for benefits. No determination has been officially made.

Stable Environment – Select the best fit describing the consumer's situation.

Emancipated minor
Guardian
Parent(s)
Ward of the State

Chapter 25: CDS Fields and National Outcome Measures (NOMS)

Centralized Data System (CDS) and Federal Reporting

After the close of each quarter, the Division of Behavioral Health (DBH) submits a dataset to Substance Abuse and Mental Health Services Administration (SAMHSA) called the Treatment Episode Data Set (TEDS). TEDS is a compilation of demographic, substance use, mental health, clinical, legal, and socioeconomic characteristics of consumers who are receiving substance abuse and/or mental health services funded by DBH. It does not contain any personal identifying information in accordance with the Health Insurance Portability and Accountability Act (HIPAA). The state's role in submitting the data to SAMHSA is critical since TEDS is the only national data source for consumer-level information on consumers who use Behavioral Health treatment services. This reporting framework supports SAMHSA's initiative to build a national behavioral health dataset (with appropriate confidentiality protection) for comparisons and trends on the characteristics of consumers receiving substance abuse and mental health treatment services. TEDS provides outcomes data in support of SAMHSA's program, performance measurement, and management goals.¹

Overview of National Outcome Measures

SAMHSA administers mental health and substance abuse prevention and treatment block grant funding for each state, with a focus on performance and management, and making states accountable for outcomes based on key measures. The agency developed and implemented ten (10) National Outcome Measures (NOMS) domains that indicate "meaningful, real-life outcomes for people who endeavor to attain and sustain recovery and become reintegrated into their communities. All states are required to report the ten (10) NOMs domains."²

We have listed the ten (10) NOMs domains and their related fields within CDS on the following pages. For fields which have dropdown lists with multiple response options, descriptions have been included to help users understand the intended meaning of each response. We have noted where some measures, such as those related to customer satisfaction which is collected through the Annual Consumer Survey, are determined by other data sources. All screenshots are from the CDS Test Site and reflect test data.

REFERENCES

¹ SAMHSA, Center for Behavioral Health Statistics and Quality. Combined SAMHSA Treatment Episode Data Set (TEDS) State Instruction Manual – Version 4.2, with Data Submission System (DSS) Guide. June 2017.

² New York State Office of Alcoholism and Substance Abuse Services (OASAS).

<https://apps.oasas.ny.gov/reportsdoc/OASASclinicianscourse/NYC10101/NYC101010030.html>. Accessed 6/12/2018.

³ Ohio Department of Mental Health Definitions: Records and Data Entry Fields in Treatment Episode Outcomes. ODMH Program and Policy Development/Office of Research & Evaluation. December 2011.

NOM DOMAIN: ABSTINENCE – Reduced symptomatology from mental illnesses or abstinence from drug use and alcohol abuse.

Outcome Used To Measure NOM DOMAIN – Abstinence from alcohol/drug use.

CDS Field – Frequency of Use (Admission) vs. Frequency of Use (Discharge).

Field Location – Substance Use tab

Field Description – Specifies the frequency of use of the corresponding substance at admission and at discharge.

Frequency of Use Options	Description (if additional detail needed)
Daily	
3-6 Times In Past Week	
1-2 Times In Past Week	
1-3 Times in Past Month	
No Use In Past Month	
No Use In Past 3 Months	
No Use In Past 6 Months	
No Use In Past 12 Months	
No Use In Past 1-3 Years	
No Use In Past 4-5 Years	
No Use In More Than 5 Years	
Not Applicable	"Not Applicable" should be used when use is not relevant to treatment, such as when the service being provided is a mental health service, or when the consumer does not use a substance.
Unknown	Frequency of use is unknown.

The screenshot shows the 'Manage Encounter (4335)' form in the CDS system. The 'Substance Use' tab is active. The form includes fields for 'Total Num Prior Treatments' (5), 'Number of days waiting to enter treatment' (empty), and 'Medication assistance treatment is planned' (No). Below these are three columns for 'Primary Substance', 'Secondary Substance', and 'Tertiary Substance'. The 'Primary Substance' is 'Marijuana/Hashish', 'Secondary Substance' is 'Alcohol', and 'Tertiary Substance' is 'Unknown'. The 'Age of First Use' is 17. The 'Frequency of Use (Admission)' and 'Frequency of Use (Discharge)' fields are highlighted with yellow boxes. A dropdown menu is open for the 'Frequency of Use (Admission)' field, showing options like 'Unknown', 'Daily', '3-6 Times In Past Week', '1-2 Times In Past Week', '1-3 Times in Past Month', 'No Use In Past Month', 'No Use In Past 3 Months', 'No Use In Past 6 Months', 'No Use In Past 12 Months', 'No Use In Past 1-3 Years', 'No Use In Past 4-5 Years', 'No Use In More Than 5 Years', 'Not Specified', and 'Not Applicable'.

NOM DOMAIN: EMPLOYMENT/EDUCATION – Getting and keeping a job, or enrolling and staying in school.

Outcome Used to Measure NOM DOMAIN – Increased/retained employment, or return to/stay in school.

CDS Field – Employment Status at admission *and* at discharge (Adults); School Absences at admission *and* at discharge (Youth).

Field Location – Demographics tab

Field Description – Employment Status: Employment Status specifies the consumer's employment status. It is meant to reflect employment in the past 30 days. This data element is reported to SAMHSA for all consumers 16 years old and over who are receiving services in non-institutional setting. Institutional settings include correctional facilities like prison, jail, detention centers, and mental health care facilities like state hospitals, other psychiatric inpatient facilities, nursing homes, or other institutions that keep a consumer, otherwise able, from entering the labor force. *'Not in the Labor Force' is defined as not employed and not actively looking for work during the past 30 days. 'Not in Labor Force' also includes any person who is a student, homemaker, volunteer, disabled, retired, in non-competitive employment, or an inmate of an institution.*¹

Employment Status Options	Description (if additional detail needed) ¹
Active/Armed Forces (< 35 Hrs)	Consumer is employed by armed forces, and working less than 35 hours per week in the past 30 days.
Active/Armed Forces (35+ Hrs)	Consumer is employed by armed forces, and working over 35 hours per week in the past 30 days.
Employed Full Time (35+ Hrs)	Consumer is employed, and working more than 35 hours a week in the past 30 days. If employed by armed forces, and working more than 35 hours a week in the past 30 days, please use "Active/Armed Forces (35+ Hrs)".
Employed Part Time (< 35 Hrs)	Consumer is employed, and working less than 35 hours a week in the past 30 days. If employed by armed forces, and working less than 35 hours a week in the past 30 days, please use "Active/Armed Forces (< 35 Hrs)".
Unemployed - Laid Off/Looking	Consumer who is not employed, but was actively seeking employment in past 30 days.
Unemployed - Not Seeking	Consumer who is not employed, and was not actively seeking employment in the past 30 days.
Disabled	Consumer is unable to work due to disability, and qualifies for federal assistance.

Homemaker	Consumer is not employed, not seeking, and takes care of a home.
Resident of Institution	Consumers receiving services from institutional facilities such as hospitals, jails, prisons, long-term residential care, etc.
Retired	Consumer has retired.
Sheltered Workshop	Sheltered/Non-Competitive Employment.
Student	Consumer is a student.
Volunteer	Consumer donates their time.
Unknown	Employment Status is unknown.

Manage Encounter (280987)

[Continue Care](#)
[Discharge](#)
[Save](#)
[Cancel](#)

Status
Consumer
Demographics
Health Status
Trauma History
Diagnosis
Substance Use
TADS History
Reviews
Notes

Priority Population
None

Gender
Female

Pregnancy Status
No

Disability Code
☐ Blindness or Severe Impairment
☐ Deafness or Severe Impairment
☐ Developmental Disabilities
☐ Non-use/Amputation of Limb
☐ Non-Ambulation
☒ None

Education Level
12 Years = GED

Employment Status
-- Select --
Active/Armed Forces (< 35 Hrs)
Active/Armed Forces (35+ Hrs)
Disabled
Employed Full Time (35+ Hrs)
Employed Part Time (< 35 Hrs)
Homemaker
Resident of Institution
Retired
Sheltered Workshop
Student
Unemployed - Laid Off/Looking
Unemployed - Not Seeking
Volunteer
Unknown

Race (Select all that apply)

Ethnicity
Is US Citizen
Is Veteran

Field Description – School Absences specifies the frequency of school absences for school-aged children and adolescents (3-17 years old), including young adults (18-21 years old) who are protected under the Individuals with Disabilities Education Act (IDEA), and receiving mental health services. These young adults are in Special Education Program and continue to receive mental health services through the state's Children Mental Health system. It is not the intent of this data element to identify children who are in Special Education. The intent is to ensure reporting of consumers who are 18-21 years old who meet the IDEA eligibility criteria. It is to reflect attendance over the past three months, counting from the day the information is collected.¹

Manage Encounter (305262)

[Continue Care](#)
[Discharge](#)
[Save](#)
[Cancel](#)

Status	Priority Population	None
Consumer	Gender	Male
Demographics	Disability Code	☐ Blindness or Severe Impairment ☐ Deafness or Severe Imp ☐ Developmental Disabilities ☐ Non-use/Amputation of ☐ Non-Ambulation ☒ None
Health Status	Education Level	11 Years
Trauma History	Employment Status	Employed Part Time (< 35 Hrs)
Diagnosis	Race (Select all that apply)	☐ American Indian/Alaska Native ☐ Asian ☐ Black/African American ☐ Native Hawaiian/Other Pacific Islander ☒ White ☐ Other
Substance Use	Ethnicity	Hispanic
TADS History	Is US Citizen	☒
Reviews	Is Veteran	☐
Notes	School Absences	-- Select -- Absent 2 or More Days per Week Absent 1 Day per Week Absent 1 Day Every 2 Weeks Absent 1 or Less Days per Month Home Schooled Not Enrolled Unknown
	Stable Environment	
	Juvenile Services Status	
	Impact on School Attendance	
	Is Receiving Professional Partnership	

School Absences Options	Description (If additional detail needed)
Absent 2 or More Days per Week	
Absent 1 Day per Week	
Absent 1 Day Every 2 Weeks	
Absent 1 or Less Days per Month	
Home schooled	
Not Enrolled	
Unknown	Frequency of absences is not known.

NOM DOMAIN: CRIME & CRIMINAL JUSTICE – Decreasing involvement with the criminal justice system.

Outcome Used to Measure NOM DOMAIN – Decreased criminal justice involvement.

CDS Field – Num Arrests in Past 30 Days (at admission *and* at discharge).

Field Location – Demographics tab.

Field Description – Specifies the number of arrests in the past thirty (30) days. This item is intended to capture the number of times the consumer was arrested for any cause. Any formal arrest is to be counted, regardless of whether incarceration or conviction resulted, and regardless of the status of the arrest proceedings.

NOM DOMAIN: STABILITY IN HOUSING – Finding safe and stable housing.

Outcome Used to Measure NOM DOMAIN – Increased stability in housing.

CDS Field – Living Arrangements (at admission *and* at discharge).

Field Location – Demographics tab.

Field Description – Identifies whether the consumer is homeless, a dependent (living with parents or in a supervised setting), or living independently on his or her own.

Living Arrangements Options	Description (if additional detail needed) ^{1,3}
Assisted Living Facility	Consumer resides in an assisted living facility, i.e. a housing facility for people with disabilities or for adults who cannot or choose not to live independently.
Child Living with Parents/Relative	Consumer is an adolescent (youth 17 years or younger) living with parents, relatives, or a legal guardian. This does NOT include foster care.
Child Residential Treatment	Consumer is an adolescent (youth 17 years or younger) living in a residential treatment setting.
Crisis Residential Care	Consumer is in a time-limited residential stabilization program that delivers services for acute symptom reduction.
Foster Home	Consumer resides in a foster home, i.e. a home that is licensed by a county or state department to provide foster care to children, adolescents, and/or adults. This category includes therapeutic foster care facilities, a service that provides treatment for troubled children within private homes of trained families.
Homeless Shelter	Consumer has no fixed address and IS residing in a shelter that provides overnight lodging for homeless persons.
Homeless	Consumer has no fixed address and IS NOT residing in a shelter that provides overnight lodging for homeless persons. For consumers residing in shelters, please select "Homeless Shelter."
Jail/Correction Facility	Consumer resides in a jail, correctional facility, detention center, prison, or other institution under the justice system, with care provided on 24 hours/day, 7 days/week.
Other	Consumer lives in a setting not indicated by any other available Living Arrangements options.

Other 24 Hr. Residential Care	Consumer lives in a 24-hour supervised setting not indicated specified by Living Arrangements options.
Other Institutional Setting	EXCLUDING REGIONAL CENTERS, consumer resides in an institutional care facility providing care 24 hours/day, 7 days/week. This may include skilled nursing/intermediate care facility, nursing homes, institute of mental disease (IMD), inpatient psychiatric hospital, psychiatric health facility, veterans' affairs hospital, or Intermediate Care Facility/MR. If consumer resides in the Lincoln Regional Center, Hastings Regional Center, or Norfolk Regional Center, please select "Regional Center."
Private Residence Receiving Support	Consumer lives alone or with others in a private residence, and needs assistance in daily living. This includes consumers who receive case management services. This does NOT include youth (17 years old or younger) living with parents, relatives, or guardians or in foster care or adults (18 years old or older) who receive supported housing assistance. If consumer receives supported housing services, select "Private Residence w/ Housing Assistance".
Private Residence w/Housing Assistance	Consumer lives in a private residence, receiving supported housing assistance.
Private Residence w/o Support	Consumer lives alone, or with others, without supervision. This includes adult children (age 18 and over) living with parents but does NOT include adolescents (youth 17 years old or younger) living independently.
Regional Center	Consumer resides in Lincoln Regional Center, Hastings Regional Center, or Norfolk Regional Center.
Residential Treatment	Consumer lives in a setting designated for residential treatment.
Youth Living Independently	Consumer is an adolescent (17 years or younger), and lives alone or with others, without supervision.
Unknown	Consumer's living arrangement is unknown. Please update this field once living arrangements are known.

NOM DOMAIN: ACCESS/CAPACITY – Increased access to services.

Outcome Used to Measure NOM DOMAIN – Increased access to services (service capacity).

DS Field – Date of Birth, Ethnicity.

Field Location – Demographics tab.

Field Description –

Date of Birth – used to determine age.

Gender – selection should align with the consumer’s biological sex (per instructions from SAMHSA).

Race – identifies the consumer’s most recent reported race.

Ethnicity – identifies whether or not the consumer is of Hispanic or Latino origin, based on the consumer’s most recent reported ethnicity.

Race Options <i>Check boxes. Multiple options can be selected.</i>	Description (if additional detail needed) ¹
American Indian/Alaska Native	Persons having origins in any of the original peoples of North America and South America, including Central America and the original peoples of Alaska.
Asian	Persons having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.
Black/African American	Persons having origins in any of the black racial groups of Africa.
Native Hawaiian/Other Pacific Islander	Persons having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

White	Persons having origins in any of the original peoples of Europe, the Middle East, or North Africa.
Other	Persons not identified in any category above, or whose origin group, because of area custom, is regarded as a racial class distinct from the above categories.
*** Two or More Races ***	*** When multiple options are selected, the person is coded as being of Two or More Races. ***

Ethnicity Options	Description (if additional detail needed) ¹
Hispanic	Person is of known Spanish culture or origin including Central America, South America, Puerto Rico, Mexico, Cuba, or Spain, regardless of race.
Non-Hispanic	Person is not of Hispanic or Latino origin.
Unknown	Person's ethnicity is unknown.

NOM DOMAIN: RETENTION - Retention in substance abuse treatment or decreased inpatient hospitalizations for mental health treatment.

Outcome Used to Measure NOM DOMAIN – Increased retention in treatment in Substance Use Disorder (SUD) services, or reduced utilization of psychiatric inpatient beds (MH).

DS Field – Admission Date, Discharge Date, Service.

Field Location – Status tab.

Field Description – This NOM collects information regarding the length of stay of consumers completing treatment.

Manage Encounter (167643)

Status **Save (ADMIN ONLY)** Cancel

Consumer **Current State** Discharged **Copy Encounter** **Report a Data Issue**

Name REBAIKA TESTPATIENT

Consumer ID 000050327

SSN xxx-xx-0327

Date of Birth 4/18/1981

Service Provider Mid-Plains Center for BHS - Grand Island

Funding Region Region 3

Admission Date 2/20/2015 12:00 AM

Discharge Date 3/9/2016 12:00 AM

Update History

Update Date	State	Event	Updated By	Actions
3/9/2016 12:00 AM	Discharged	Data Loaded	ETL	View Details
2/20/2015 12:00 AM	Admitted	Data Loaded	ETL	View Details

NOM DOMAIN: SOCIAL CONNECTEDNESS – Improving social connectedness to others in the community.

Outcome Used to Measure NOM DOMAIN – Increased social supports or social connectedness.

DS Field – Social Supports at admission *and* at discharge.

*** DBH Annual Consumer Survey is also used to address this outcome, but data from the survey is not housed within CDS. ***

Field Location – Demographics tab

Field Description – Specifies the frequency of attendance at a self-help group in the thirty (30) days prior to the reference date (the date of admission or date of discharge). It includes attendance at any self-help groups, or peer/mutual support groups focused on recovery. Examples are: Alcoholics Anonymous (AA), Narcotics Anonymous (NA), SMART Recovery, Al-Anon/ALATEEN.

Social Supports Options	Description (if additional detail needed) ¹
No Attendance in past month	
1-3 times in past month	Equivalent to less than once a week in past thirty (30) days.
4-7 times in past month	Equivalent to about once a week in past thirty (30) days.
8-15 times in past month	Equivalent to two (2) to three (3) times a week in past thirty (30) days.
16-30 times in past month	Equivalent to at least four (4) times a week in past thirty (30) days.
Some attendance in past month	It is known that consumer attended, but the number of times and frequency are not known.
Unknown	Attendance is not known.

NOM DOMAIN: PERCEPTION OF CARE – Consumer’s perception of care.

Outcome Used to Measure NOM DOMAIN – Person perception of care.

CDS Field – *** DBH Annual Consumer Survey. Not collected through CDS ***

Field Location – N/A.

Field Description –

Survey items were grouped into scales consistent with the groupings required for the SAMHSA’s Uniform Reporting System. Below are the scales and the survey questions included in each scale.

ADULT SURVEY QUESTIONS AND MHSIP SCALES

Access:

1. The location of services was convenient (parking, public transportation, distance, etc.).
2. Staff were willing to see me as often as I felt it was necessary.
3. Staff returned my call in 24 hours.
4. Services were available at times that were good for me.
5. I was able to get all the services I thought I needed.
6. I was able to see a psychiatrist when I wanted to.

Quality and Appropriateness:

1. I felt free to complain.
2. I was given information about my rights.
3. Staff encouraged me to take responsibility for how I live my life.
4. Staff told me what side effects to watch out for.
5. Staff respected my wishes about who is and who is not to be given information about my treatment.
6. Staff here believe that I can grow, change and recover.
7. Staff were sensitive to my cultural background (race, religion, language, etc.).
8. Staff helped me obtain the information I needed so that I could take charge of managing my illness.
9. I was encouraged to use consumer-run programs (support groups, drop-in centers, crisis phone line, etc.).

Outcomes:

As a direct result of services I received:

1. I deal more effectively with daily problems.
2. I am better able to control my life.
3. I am better able to deal with crisis.
4. I am getting along better with my family.
5. I do better in social situations.
6. I do better in school and/or work.
7. My housing situation has improved.
8. My symptoms are not bothering me as much.

Participation in Treatment Planning:

1. I felt comfortable asking questions about my treatment and medication.
2. I, not staff, decided my treatment goals.

General Satisfaction:

1. I like the services that I received here.
2. If I had other choices, I would still get services from this agency.
3. I would recommend this agency to a friend or family member.

CONSUMER SURVEY RESULTS, FY 2017

STATE: Nebraska

Reporting Period: 7/1/2016 To: 6/30/2017

Indicators	Children: State	Children: U.S. Average	States Reporting	Adults: State	Adults: U.S. Average	States Reporting
Reporting Positively About Access	84.4%	87.4%	46	82.5%	89.2%	49
Reporting Positively About Quality and Appropriateness				85.3%	90.9%	49
Reporting Positively About Outcomes	57.0%	73.1%	46	66.6%	82.8%	49
Reporting on Participation in Treatment Planning	84.1%	88.6%	48	76.4%	87.4%	49
Family Members Reporting High Cultural Sensitivity of Staff	93.1%	93.3%	47			
Reporting positively about General Satisfaction with Services	73.1%	88.2%	48	86.0%	90.8%	49

Note: U.S. Average Children & Adult rates are calculated only for states that used a version of the MHSIP Consumer Survey

NOM DOMAIN: COST EFFECTIVENESS – Cost-effectiveness.

Outcome Used to Measure NOM DOMAIN – Cost effectiveness (average cost).

CDS Field – Service to be Provided, with information on cost from the Electronic Billing System (EBS).

Field Location – Create New Encounter, Status tab.

Field Description – Count served by service type; average cost per consumer.

Create New Encounter

Name (first/middle/last/suffix)

vitamin

multi

Date of Birth

06/14/2002

Zip Code

SSN

Gender

Male

Service Provider

ARCH - 1502 N. 58th Street, Omaha

Funding Region

Region 6

Service to be Provided

Halfway House - SUD

Create

Cancel

Manage Encounter (4335)

Status

Save (ADMIN ONLY)

Cancel

Consumer

Current State

Discharged

Copy Encounter

Report a Data Issue

Demographics

Name

WASSON BUGAY

Health Status

Consumer ID

000001023

Trauma History

SSN

xxx-xx-1023

Diagnosis

Date of Birth

8/15/1965

Substance Use

Service Provider

CenterPointe - 1000 S 13th St., Lincoln

TADS History

Funding Region

Region 6

Reviews

Service to be Provided

Outpatient Psychotherapy - SUD

Notes

Discharge Date

7/10/2014 12:00 AM

Update History

Update Date	State	Event	Updated By	Actions
7/10/2014 12:00 AM	Discharged	Data Loaded	ETL	View Details
6/11/2014 12:00 AM	Admitted	Data Loaded	ETL	View Details

NOM DOMAIN: EVIDENCE-BASED PRACTICES (EBPs) – Use of evidence-based treatment practices.

Outcome Used to Measure NOM DOMAIN – Use of evidence-based treatment practices.

CDS Field – Service to be Provided.

Field Location – Create New Encounter, Status tab

Field Description – Count served by service type; number served in specific EBP services, i.e. Supported Housing, Supported Employment, Assertive Community Treatment (ACT), Multi-Systemic Therapy (MST).

Create New Encounter

Name (first/middle/last/suffix) vitamin multi

Date of Birth 06/14/2002 Zip Code

SSN Gender Male

Service Provider ARCH - 1502 N. 58th Street, Omaha

Funding Region Region 6

Service to be Provided Halfway House - SUD

Create Cancel

Manage Encounter (4335)

Status Save (ADMIN ONLY) Cancel

Current State Discharged Copy Encounter Report a Data Issue

Consumer Name WASSON BUGAY

Demographics Consumer ID 000001023

SSN xxx-xx-1023

Health Status Date of Birth 8/15/1965

Trauma History Service Provider CenterPointe - 1000 S 13th St., Lincoln

Diagnosis Funding Region Region 5

Substance Use Service to be Provided Outpatient Psychotherapy - SUD

Discharge Date 7/10/2014 12:00 AM

Update History

Update Date	State	Event	Updated By	Actions
7/10/2014 12:00 AM	Discharged	Data Loaded	ETL	View Details
6/11/2014 12:00 AM	Admitted	Data Loaded	ETL	View Details

Chapter 26: Super User Responsibilities

Super Users

Super Users are agency users who serve as the liaison between the Division of Behavioral Health (DBH) and the Provider agency or the whole Region, functioning as the local troubleshooter for agency problems. Super Users may have access to the Centralized Data System (CDS) Test Site and Location Specific Information. Provider Super Users are for the provider agency, while Regional Super Users are for the whole region.

Super User Responsibilities

Super Users are responsible for submitting new user access requests to DBH, as well as monitoring current users. Super Users are able to request reactivation of restricted users, submit permission or location change requests as needed, and submit deletions for users who are no longer employed by the agency. Super Users are also responsible for relaying communications regarding the CDS in addition to offering training to agency users in use of the CDS.

Super Users can also track their users using the reports available in the CDS (PROV916/Admin915), which provides information like showing what users have access and last login date.

Submitting New Access Requests

One of the main responsibilities of Super Users is to request CDS access for new users. In order to submit a request for new access, some basic information will need to be sent to DBH.

- Super User will need to fill out the “*CDS Access Template*” excel spreadsheet with the relevant information, as well as the confidentiality form, that can be found in the “*System Documentation and Training*” section of the CDS.
- Once these forms are completed, they should be submitted to DHHS.CDSDBH@nebraska.gov. Please allow up to ten (10) business days for this request to be completed.
- A welcome e-mail to be sent to the new user.
- Further instructions can be found in the “*TemplateforCDSAddsChanges*” excel sheet.

Super User Meetings

DBH hosts a Regional Super User call every month, and a Provider Super User call quarterly, on the 4th Tuesday of the month. If a Super User would like to be added to the invite list for these meetings, please send an e-mail to DHHS.DBHCDS@nebraska.gov to request invitations.

- These meetings are held to disseminate information about the CDS to the Super Users, who in turn should communicate this information to the provider agencies and other staff as applicable.
- These meetings also serve as a place for Super Users to ask questions and offer feedback about the CDS and implementation of new processes.
- Meeting attendance is not mandatory for Super Users but is strongly encouraged as meetings can be very helpful for Super Users looking for clarification on different aspects of the CDS, as well as new enhancements, which may be implemented in the future.

Super User Resources

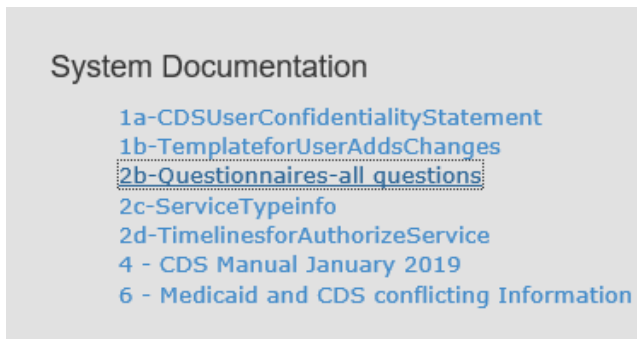
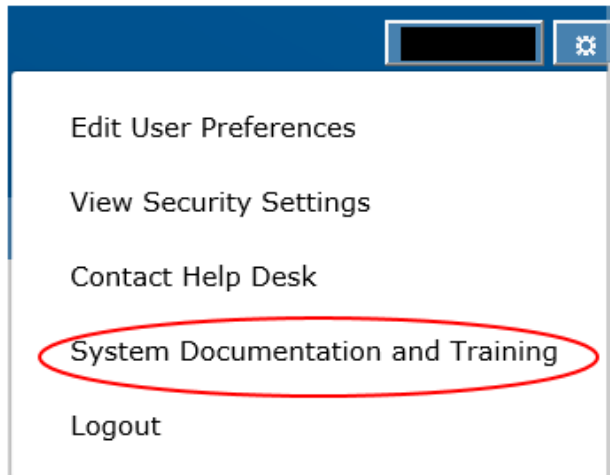
Super Users have several resources to help them in their tasks, including the CDS Test Site, the “System Documentation and Training” section of the CDS, Super User Meetings, the Orion Health Care Support Desk, CDS Reports, Region Super Users, and DHHS CDS Staff. The CDS Test Site is available at <https://dbhcds-tst-dhhs.ne.gov/Account/Login> and **should only be used** for training purposes. All data in the test version of CDS is fake. No actual PHI should ever be used when working with the CDS test site.

Reports

There are several different reports in CDS that may be helpful to Super Users. One example of this is the Admin915 report, which shows the permission levels that users have, and the date that users last logged into the CDS.

System Documentation and Training

The “System Documentation and Training” section can be found by logging into the CDS and clicking on your name in the top right-hand corner. Click on “System Documentation and Training”. Once you have brought up the “System Documentation and Training” section, you will have several different resources that may be helpful to the training of other users, as well as documents that are essential to the creation of new users.



These resources include the “CDSUserConfidentialityStatement”, which is required for all users, and should be completed and submitted with the “TemplateforCDSAddsChanges” when a new account is needed.

The “Questionnaires-all questions”, “ServiceTypeInfo”, and “TimelinesforAuthorizeService” documents are technical information on CDS services and can be referenced for details about services in the CDS.

The “CDS Manual” is available for download in full. The new CDS manual will be uploaded and be able to be downloaded by chapter, or in full. “Medicaid and CDS conflicting Information” is a guide on what to do with cases that currently show as active in Medicaid.

This Section also has several different tutorial and video guides for use with the CDS. Users can explore these trainings at their leisure. As more resources become available, they will also be uploaded to this section.

Tutorials	Videos
2016-02-EligibilityWorksheet 2019-01-Supported-Employment-Template Assertive Community Tx Form Assertive Community Tx Form_PDF Authorization-Training-Slides-4-27-16 AuthQuestionnaire_MHServices AuthQuestionnaire_MHServices_PDF AuthQuestionnaire_SUDServices AuthQuestionnaire_SUDServices_PDF Auth_ProgressReport Auth_ProgressReport_PDF CCR_vs_CSR_5-24-16 DischargeQuestionnaire_MHServices DischargeQuestionnaire_MHServices_PDF DischargeQuestionnaire_SAServices DischargeQuestionnaire_SAServices_PDF ICD-10 Codes-all ICD-10-CodesforCDSMH&SUD Utilization Guidelines (LimeBook_2017) Youth-Encounters-SUD-Special-Ques	12-2017-Report A Data Issue Guidance Acute and Sub-Acute CDS Training June 3_16. AuthorizationTrainingVideo-4-27-16 CDS-Super-User-Responsibilities Community Support - MH and Day Rehab 9-28-16 Create a New Encounter 2018-02-27 DBHCDS_02_SupportRequest DBHCDS_05_AdmitRegServ DBHCDS_06_UsingAutomatedAuth_MH DBHCDS_07_UsingAutomatedAuth_SA DBHCDS_08_Denial_ResubmitCancel DBHCDS_09.1_DenialFirstAppeal DBHCDS_09.2_DenialSecondAppeal_IDR DBHCDS_10_AfterauthorizationAdmission DBHCDS_11_DischargeAuthorizedService DBHCDS_15_CSR-Auths DBHCDS_16_CCR-Registered Waitlist-Guidance-2018-02-27

Orion Help Desk

The Orion Help Desk can resolve some technical issues within the CDS. Certain changes such as reversing a discharge, or changing an authorization period, must be processed through the Orion Help Desk. If you are not aware of whether an issue should be sent as a data issue, or as an issue to the Orion Help Desk, submit a data issue and a DBH CDS security administrator will review the request, then forward the issue to the Orion Help Desk if appropriate.

Further Training

The Division of Behavioral Health is now conducting New User Training, as well as Advanced CDS Function Training on alternating months. To sign a user up for either training please send a request to DHHS.DBHCDS@nebraska.gov with the user's username, e-mail address, and signed confidentiality release form. That user will receive an invite to the training by e-mail.

If requested, CDS training can be scheduled with the DBH Team. To schedule a training, please send an e-mail to DHHS.DBHCDS@nebraska.gov with an anticipated number of attendees, a requested time for when training should take place, and any specific subjects that the training should cover.

Appendix: Super User Responsibilities